



SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS
900 Wilshire Blvd., Ste. 1700
Los Angeles, CA 90017
T: (213) 236-1800
www.scag.ca.gov

REGIONAL COUNCIL OFFICERS

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Bill Jahn, Big Bear Lake

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Rex Richardson, Long Beach

Second Vice President
Clint Lorimore, Eastvale

Immediate Past President
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County Transportation Authority**

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Community, Economic &
Human Development
**Peggy Huang, Transportation
Corridor Agencies**

Energy & Environment
Linda Parks, Ventura County

Transportation
Cheryl Viegas-Walker, El Centro

MEETING NO. 615

REGIONAL COUNCIL

***Thursday, October 3, 2019
12:15 p.m. – 2:00 p.m.***

**SCAG MAIN OFFICE
900 Wilshire Blvd., Ste. 1700
RC Board Room
Los Angeles, CA 90017
(213) 236-1800**

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Tess Rey-Chaput at (213) 236-1908 or via email at REY@scag.ca.gov. Agendas & Minutes are also available at: www.scag.ca.gov/committees

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 236-1908. We request at least 72 hours (three days) notice to provide reasonable accommodations and will make every effort to arrange for assistance as soon as possible.

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RC - Regional Council *Members – October 2019*

1. **Hon. Bill Jahn**
President, Big Bear Lake, RC District 11
2. **Hon. Rex Richardson**
1st Vice President, Long Beach, RC District 29
3. **Hon. Clint Lorimore**
2nd Vice President, Eastvale, RC District 4
4. **Hon. Alan Wapner**
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5. **Hon. Sean Ashton**
Downey, RC District 25
6. **Hon. Rusty Bailey**
Riverside, RC District 68
7. **Hon. Kathryn Barger**
Los Angeles County
8. **Hon. Megan Beaman-Jacinto**
Coachella, RC District 66
9. **Hon. Ben Benoit**
Air District Representative
10. **Hon. Stacy Berry**
Cypress, RC District 18
11. **Hon. Bob Blumenfield**
Los Angeles, RC District 50
12. **Hon. Mike Bonin**
Los Angeles, RC District 58
13. **Hon. Art Brown**
Buena Park, RC District 21
14. **Hon. Wendy Bucknum**
Mission Viejo, RC District 13
15. **Hon. Joe Buscaino**
Los Angeles, RC District 62

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



- 16. Hon. Michael Carroll**
Irvine, RC District 14
- 17. Hon. Gilbert Cedillo**
Los Angeles, RC District 48
- 18. Hon. Margaret Clark**
Rosemead, RC District 32
- 19. Hon. Jonathan Curtis**
La Canada Flintridge, RC District 36
- 20. Hon. Steve DeRuse**
La Mirada, RC District 31
- 21. Hon. Margaret Finlay**
Duarte, RC District 35
- 22. Hon. Eric Garcetti**
Member-at-Large
- 23. Hon. James Gazeley**
Lomita, RC District 39
- 24. Sup. Curt Hagman**
San Bernardino County
- 25. Hon. Jan Harnik**
RCTC Representative
- 26. Hon. Marqueece Harris-Dawson**
Los Angeles, RC District 55
- 27. Hon. Steven Hofbauer**
Palmdale, RC District 43
- 28. Hon. Tim Holmgren**
Fillmore, RC District 47
- 29. Hon. Peggy Huang**
TCA Representative
- 30. Hon. Jose Huizar**
Los Angeles, RC District 61
- 31. Hon. Cecilia Iglesias**
Santa Ana, RC District 16

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- 32. Hon. Mike Judge**
VCTC Representative
- 33. Hon. Kathleen Kelly**
Palm Desert, RC District 2
- 34. Hon. Paul Koretz**
Los Angeles, RC District 52
- 35. Hon. Paul Krekorian**
Los Angeles, RC District 49
- 36. Randall Lewis**
Business Representative, Non-Voting Member
- 37. Hon. Marisela Magana**
Perris, RC District 69
- 38. Hon. Steve Manos**
Lake Elsinore, RC District 63
- 39. Hon. Jorge Marquez**
Covina, RC District 33
- 40. Hon. Ray Marquez**
Chino Hills, RC District 10
- 41. Hon. Nury Martinez**
Los Angeles, RC District 53
- 42. Hon. Larry McCallon**
Highland, RC District 7
- 43. Hon. Brian McDonald**
Tribal Govt Regl Plng Board
- 44. Hon. Dan Medina**
Gardena, RC District 28
- 45. Hon. L. Dennis Michael**
Rancho Cucamonga, RC District 9
- 46. Hon. Fred Minagar**
Laguna Niguel, RC District 12
- 47. Hon. Judy Mitchell**
Rolling Hills Estates, RC District 40

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- 48. Hon. Steve Nagel**
Fountain Valley, RC District 15
- 49. Hon. Frank Navarro**
Colton, RC District 6
- 50. Hon. Mitch O'Farrell**
Los Angeles, RC District 60
- 51. Hon. Trevor O'Neil**
Anaheim, RC District 19
- 52. Hon. Hector Pacheco**
San Fernando, RC District 67
- 53. Sup. Linda Parks**
Ventura County
- 54. Hon. Jeannine Pearce**
Long Beach, RC District 30
- 55. Sup. Luis Plancarte**
Imperial County
- 56. Hon. David Pollock**
Moorpark, RC District 46
- 57. Hon. Jim Predmore**
ICTC Representative
- 58. Hon. Curren Price**
Los Angeles, RC District 56
- 59. Hon. Chuck Puckett**
Tustin, RC District 17
- 60. Hon. Carmen Ramirez**
Oxnard, RC District 45
- 61. Hon. Rita Ramirez**
Victorville, RC District 65
- 62. Hon. Teresa RealSebastian**
Monterey Park, RC District 34
- 63. Hon. Deborah Robertson**
Rialto, RC District 8

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- 64. Hon. Monica Rodriguez**
Los Angeles, RC District 54

- 65. Hon. David Ryu**
Los Angeles, RC District 51

- 66. Hon. Meghan Sahli-Wells**
Culver City, RC District 41

- 67. Hon. Ali Saleh**
Bell, RC District 27

- 68. Hon. Tim Sandoval**
Pomona, RC District 38

- 69. Hon. Sonny Santalnes**
Bellflower, RC District 24

- 70. Hon. Rey Santos**
Beaumont, RC District 3

- 71. Hon. Zak Schwank**
Temecula, RC District 5

- 72. Hon. Lyn Semeta**
Huntington Beach, RC District 64

- 73. Hon. David Shapiro**
Calabasas, RC District 44

- 74. Hon. Emma Sharif**
Compton, RC District 26

- 75. Hon. Marty Simonoff**
Brea, RC District 22

- 76. Sup. Hilda Solis**
Los Angeles County

- 77. Hon. Karen Spiegel**
Riverside County

- 78. Hon. Tri Ta**
Westminster, RC District 20

- 79. Hon. Jess Talamantes**
Burbank, RC District 42

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- 80. Hon. Steve Tye**
Diamond Bar, RC District 37

- 81. Hon. Cheryl Viegas-Walker**
El Centro, RC District 1

- 82. Hon. Donald Wagner**
Orange County

- 83. Hon. Herb Wesson**
Los Angeles, RC District 57

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Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700 – RC Board Room
Los Angeles, California 90017
Thursday, October 3, 2019
12:15 PM

The Regional Council may consider and act upon any of the items on the agenda regardless of whether they are listed as Information or Action items.

CALL TO ORDER AND PLEDGE OF ALLEGIANCE
(The Honorable Bill Jahn, President)

PRESENTATION ITEM

- 1. Economic Impacts of the Housing Crisis Page 10
(Antonio M. Bento, Professor, Sol Price School of Public Policy, USC)

PUBLIC COMMENT PERIOD

Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Committee, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker. The Chair has the discretion to reduce the time limit based upon the number of speakers and may limit the total time for all public comments to twenty (20) minutes.

REVIEW AND PRIORITIZE AGENDA ITEMS

ACTION/DISCUSSION ITEM/S

- 2. Contracts \$200,000 or Greater: 20-002-C01, Board Counsel Services Page 12
(Basil Panas, Chief Financial Officer)

RECOMMENDED ACTION:

Approve Contract No. 20-002-C01 in an amount not to exceed \$495,480 with Best Best & Krieger, LLP, to provide Board Counsel Services and as needed litigation services, subject to final review by SCAG Internal Audit and negotiation.

CONSENT CALENDAR

Approval Items

- 3. Minutes of the Meeting - September 5, 2019 Page 21
4. Authorization to Approve Notice of Exemption (NOE) for SCAG 2017 Page 33
Disadvantaged Communities Active Transportation
5. Revise SCAG's Standard Consultant Agreement (boilerplate contract) Page 42
Language Related to Penalty Clauses

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6. Resolution No. 19-615-1 Regarding Acceptance of Office of Traffic Safety Grant Funds to support the Active Transportation Safety and Encouragement Campaign Page 47
7. Resolution No. 19-615-3 Approving Amendment 1 to the FY 2019-20 Overall Work Program (OWP) Page 51
8. Contracts \$200,000 or Greater: 20-003-C01, Mobility Innovations and Pricing Study Page 59

Receive and File

9. Local Government Planning Support Grants Program Page 86
10. Final Federal Safer, Affordable, Fuel-Efficient Vehicles Rule Part I (Supplemental Report to be distributed under separate cover) Page 90
11. Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999 Page 91
12. Connect SoCal Environmental Justice Report Page 95
13. October State and Federal Legislative Update Page 116
14. CFO Monthly Report Page 122

BUSINESS REPORT

(Randall Lewis, Ex-Officio Member)

PRESIDENT'S REPORT

(The Honorable Bill Jahn, President)

EXECUTIVE DIRECTOR'S REPORT

(Kome Ajise Executive Director)

FUTURE AGENDA ITEM/S

ANNOUNCEMENT/S

ADJOURNMENT

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Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Community
Economic & Human Development Committee (CEHD)
Regional Council (RC)
From: Kome Ajise, Executive Director, 213-236-1835
Ajise@scag.ca.gov
Subject: Economic Impacts of the Housing Crisis

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION FOR CEHD AND RC:
For Information Only – No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

The draft Connect SoCal and preliminary RHNA methodology aim to align housing and transportation planning to achieve sustainable outcomes. Antonio M. Bento, a professor at the Sol Price School of Public Policy and the Department of Economics of the University of Southern California, will discuss the challenges and opportunities for sustainable development in Southern California to provide context for policy discussions and actions being considered by SCAG’s policy committees and Regional Council.

BACKGROUND:

The regional growth vision and strategies proposed for consideration in the draft Connect SoCal, in combination with the proposed Regional Housing Needs Assessment allocation methodology, provide a policy framework for better aligning housing and transportation to achieve the region’s goals for sustainable development. Realizing this growth vision, including accelerating the production of housing to address existing needs, will require collaboration at the local, regional and statewide levels to remove barriers and ensure the economic incentives are in place to catalyze private investment.

Antonio M. Bento is a professor at the Sol Price School of Public Policy and the Department of Economics of the University of Southern California and a research associate of the National Bureau of Economic Research (NBER), and a research fellow of the Schwarzenegger Institute for State and Global Policy.



FISCAL IMPACT:

Work associated with this item is included in the current FY 19-20 Overall Work Program (080.0153A.04: Regional Assessment)



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov

Subject: Contracts \$200,000 or Greater: 20-002-C01, Board Counsel
Services

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION:

Approve Contract No. 20-002-C01 in an amount not to exceed \$495,480 with Best Best & Krieger, LLP, to provide Board Counsel Services and as needed litigation services, subject to final review by SCAG Internal Audit and negotiation.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians. 4: Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

EXECUTIVE SUMMARY:

The position of Board Counsel was re-established in July 2012 to help provide legal support to the agency. The Board Counsel shall serve as the primary counsel to the Regional Council and SCAG's internal Legal Department shall serve as the primary counsel to SCAG staff. The Board Counsel and SCAG's internal Legal Department will work together to provide legal services to the agency.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Best Best & Krieger, LLP, (20-002-C01)	The consultant shall serve as the primary counsel to the Regional Council and work with SCAG's internal Legal Department to provide legal services to the agency.	\$495,480

FISCAL IMPACT:

Funding in is available in the FY 2019-20 budget in Project Number 800-0160.01 (\$120,000), and the

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remaining \$375,480 is expected to be available and spread out over four (4) fiscal years in Project Number 800-0160.01, subject to budget availability.

ATTACHMENT(S):

1. Contract Summary 20-002-C01
2. Contract Summary 20-002-C01 COI

CONSULTANT CONTRACT 20-002-C01

Recommended Consultant:

Best Best & Krieger, LLP

Background & Scope of Work:

The position of Board Counsel was re-established in July 2012 to help provide legal support to the agency. The Board Counsel shall serve as the primary counsel to the Regional Council and SCAG's internal Legal Department shall serve as the primary counsel to SCAG staff. The Board Counsel and SCAG's internal Legal Department will work together to provide legal services to the agency.

Ruben Duran of Best Best & Krieger (BBK) will serve as Board Counsel to help provide legal support to the Regional Council, the Executive Director and the agency. Mr. Duran will manage and be supported by a core team of BBK attorneys specifically selected for SCAG because of their expertise in areas of law pertinent to SCAG such as transportation, housing, land use and environmental law. Essential legal services (Task 1) include, but are not limited to: attend and serve as Board Counsel at Regional Council (RC) and other committee meetings; consult and provide advice to the RC, committees, Executive Director and other staff on various legal matters; assist with the annual performance review of the Executive Director; review and revise as needed proposed changes to the SCAG Bylaws and RC policies; and additional services as requested by the RC or the Executive Director. Task 1 services will be rendered in accordance with an agreed-upon flat monthly fee, starting at \$8,000 per month for FY 2019-20. As needed litigation services (Task 2) will be rendered in accordance with an agreed upon hourly rate structure. The contract is for three (3) years, with two addition 12-month options, for a total of 60-months.

Project's Benefits & Key Deliverables:

The project's benefits and key deliverables include, but are not limited to:

- Legal Counsel Services to the Regional Council on a flat fee monthly basis.
- As Needed Litigation Services on an hourly fee basis.

Strategic Plan:

This item supports SCAG's Strategic Plan Goal: 1: Produce innovative solutions that improve the quality of life for Southern Californians. And Goal 4: Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration.

Contract Amount:

Total not to exceed **\$495,480**

Best Best & Krieger (prime consultant)

Note: Consultant originally proposed \$624,300, but staff negotiated the price down to \$495,480 without reducing the scope of work.

Contract Period:

Notice to Proceed through October 31, 2024

Project Number(s):

800-0160.01 \$120,000

Funding source: General Fund

Attachment: Contract Summary 20-002-C01 (Contracts \$200,000 or Greater: 20-002-C01, Board Counsel Services)

Funding in is available in the FY 2019-20 budget in Project Number 800-0160.01 (\$120,000), and the remaining \$375,480 is expected to be available and spread out over four (4) fiscal years in Project Number 800-0160.01, subject to budget availability.

Request for Proposal (RFP):

SCAG staff notified 1,179 firms of the release of RFP 20-002 C01 via SCAG’s Solicitation Management System website. A total of 20 firms downloaded the RFP. SCAG received the three (3) proposals in response to the solicitation. However, one proposal was sent late and therefore not considered. SCAG received the following two (2) timely submitted proposals in response to the solicitation:

Best Best & Krieger, LLP	Task 1 Essential Legal Services \$624,300
	Task 2: As needed Litigation Services
	Senior Partner \$310 per hour
	Associate Attorney \$260 per hour
	Paralegal \$165 per hour
	Legal Assistant \$165 per hour
Lizarraga Law Firm, APC	Task 1: Essential Legal Services \$444,000
	Task 2: As needed Litigation Services
	Senior Partner \$350 per hour
	Associate Attorney \$250 per hour
	Paralegal \$90 per hour
	Legal Assistant \$75 per hour

Selection Process:

The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the two (2) highest ranked offerors.

The PRC consisted of the following individuals:

- Kome Ajise, SCAG Executive Director
- Bill Jahn, SCAG President
- Rex Richardson, SCAG First Vice-President
- Clint Lorimore, SCAG Second Vice-President
- Joann Africa, SCAG Chief Counsel

Basis for Selection:

The PRC recommended Best Best & Krieger, LLP for the contract award for the following reasons:

- Proposed Board Counsel and core team demonstrated the most extensive experience in working with public agencies in lead capacities;
- Proposed Board Counsel and core team demonstrated the strongest qualifications, related work experience and depth of knowledge with respect to key areas of law relevant to SCAG, including but not limited to transportation, housing and environmental matters;
- Proposed Board Counsel and core team would provide additional capacity in terms of legal services to the agency;

- Proposal was tailored specifically for SCAG and demonstrated a clear understanding of SCAG issues;

Although Best Best & Krieger did not propose the lowest price, the PRC determined that their proposal presented the best overall value for the depth of experience, knowledge and resources outlined in the proposal and interview.

Although another firm proposed a lower price, the PRC did not recommend this firm for contract award because this firm:

- Did not identify all key personnel who would serve the agency and in what capacity;
- Did not demonstrate specific qualifications and related work experience with respect to key areas of law relevant to SCAG such as transportation, housing and environment law.
- Proposal and proposed approach focused primarily on firm's experience as General Counsel in FY 18-19 but did not expand on other outside experience or resources, or how they would be utilized to serve the agency.

**Conflict Of Interest (COI) Form - Attachment
For October 3, 2019 Regional Council Approval**

Approve Contract No. 20-002-C01 in an amount not to exceed \$495,480 with Best Best & Krieger, LLP, to provide Board Counsel Services and as needed litigation services, subject to final review by SCAG Internal Audit and negotiation.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Best Best & Krieger, LLP	Yes- form attached

Attachment: Contract Summary 20-002-C01 (Contracts \$200,000 or Greater: 20-002-C01, Board Counsel Services)

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-002

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Best Best & Krieger LLP
Name of Preparer: Ruben Duran, Partner
Project Title: Board Counsel
RFP Number: 20-002 Date Submitted: 08/19/19

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<u>Donald Wagner</u>	<u>employed by BB&K from Feb 2017 - Dec 2018</u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>

Attachment: Contract Summary 20-002-C01 COI (Contracts \$200,000 or Greater: 20-002-C01, Board Counsel Services)

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
Donald Wagner	Of Counsel Attorney	Feb 2017 – Dec 2018
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
Sean Ashton, Regional Council	September 2017	LCC Fall Reception - \$179.98
Jonathan Curtis, Regional Council	October 2016	LCC Fall Reception - \$111.38
James Gazeley, Regional Council	May 2019	CCA Dinner - \$111.04
Bill Jahn, Regional Council	October 2016 and September 2017	LCC Fall Receptions - \$111.38 and \$89.99

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Scott Campbell, hereby declare that I am the (position or title) Partner of (firm name) Best Best & Krieger LLP, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 08/15/19 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 _____
 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

NO. 614
SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS
REGIONAL COUNCIL
MINUTES OF THE MEETING
THURSDAY, SEPTEMBER 5, 2019

THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE REGIONAL COUNCIL. A VIDEO RECORDING OF THE ACTUAL MEETING IS AVAILABLE ON THE SCAG WEBSITE AT: <http://scag.iqm2.com/Citizens/>

In conjunction with the Regional Conference and General Assembly, the Regional Council of the Southern California Association of Governments (SCAG) held its regular meeting at the SCAG main office, 900 Wilshire Boulevard, Suite 1700, Los Angeles, CA 90017.

Members Present

Hon. Bill Jahn, President	<i>Big Bear Lake</i>	District 11
Hon. Rex Richardson, 2nd Vice President	<i>Long Beach</i>	District 29
Hon. Clint Lorimore	<i>Eastvale</i>	District 4
Hon. Alan D. Wapner, Imm. Past Pres.	<i>Ontario</i>	SBCTA
Supervisor Luis Plancarte		Imperial County
Supervisor Kathryn Barger		Los Angeles County
Supervisor Karen Spiegel		Riverside County
Supervisor Linda Parks		Ventura County
Hon. Jan Harnik	<i>Palm Desert</i>	RCTC
Hon. Mike T. Judge	<i>Simi Valley</i>	VCTC
Hon. Ben Benoit	<i>Wildomar</i>	Air District Representative
Hon. Cheryl Viegas-Walker	<i>El Centro</i>	District 1
Hon. Kathleen Kelly	<i>Palm Desert</i>	District 2
Hon. Rey Santos	<i>Beaumont</i>	District 3
Hon. Frank Navarro	<i>Colton</i>	District 6
Hon. Larry McCallon	<i>Highland</i>	District 7
Hon. Deborah Robertson	<i>Rialto</i>	District 8
Hon. L. Dennis Michael	<i>Rancho Cucamonga</i>	District 9
Hon. Ray Marquez	<i>Chino Hills</i>	District 10

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Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous

Members Present...continued

Hon. Michael Carroll	<i>Irvine</i>	District 14
Hon. Steve Nagel	<i>Fountain Valley</i>	District 15
Hon. Cecilia Iglesias	<i>Santa Ana</i>	District 16
Hon. Charles Puckett	<i>Tustin</i>	District 17
Hon. Stacy Berry	<i>Cypress</i>	District 18
Hon. Art Brown	<i>Buena Park</i>	District 21
Hon. Marty Simonoff	<i>Brea</i>	District 22
Hon. Sonny Santa Ines	<i>Bellflower</i>	District 24
Hon. Sean Ashton	<i>Downey</i>	District 25
Hon. Emma Sharif	<i>Compton</i>	District 26
Hon. Ali Saleh	<i>Bell</i>	District 27
Hon. Dan Medina	<i>Gardena</i>	District 28
Hon. Margaret Clark	<i>Rosemead</i>	District 32
Hon. Steve De Ruse	<i>La Mirada</i>	District 31
Hon. Jorge Marquez	<i>Covina</i>	District 33
Hon. Margaret E. Finlay	<i>Duarte</i>	District 35
Hon. Teresa Real Sebastian	<i>Monterey Park</i>	District 34
Hon. Steve Tye	<i>Diamond Bar</i>	District 37
Hon. Tim Sandoval	<i>Pomona</i>	District 38
Hon. James Gazeley	<i>Lomita</i>	District 39
Hon. Judy Mitchell	<i>Rolling Hills Estates</i>	District 40
Hon. Meghan Sahli-Wells	<i>Culver City</i>	District 41
Hon. Jess Talamantes	<i>Burbank</i>	District 42
Hon. Steven Hofbauer	<i>Palmdale</i>	District 43
Hon. David Shapiro	<i>Calabasas</i>	District 44
Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
Hon. David Pollock	<i>Moorpark</i>	District 46
Hon. Tim Holmgren	<i>Fillmore</i>	District 47
Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
Hon. Steve Manos	<i>Lake Elsinore</i>	District 63
Hon. Lyn Semeta	<i>Huntington Beach</i>	District 64
Hon. Rita Ramirez	<i>Victorville</i>	District 65
Hon. Megan Beaman Jacinto	<i>Coachella</i>	District 66
Hon. Hector Pacheco	<i>San Fernando</i>	District 67
Hon. Rusty Bailey	<i>Riverside</i>	District 68
Hon. Marisela Magana	<i>Perris</i>	District 69
Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Business Representative



Members Not Present

Supervisor Don Wagner		Orange County
Supervisor Hilda Solis		Los Angeles County
Supervisor Curt Hagman		San Bernardino County
Hon. James Predmore	<i>Holtville</i>	ICTC
Hon. Peggy Huang	<i>Yorba Linda</i>	TCA
VACANT		District 5
Hon. Fred Minagar	<i>Laguna Niguel</i>	District 12
Hon. Wendy Bucknum	<i>Mission Viejo</i>	District 13
Hon. Trevor O’Neill	<i>Anaheim</i>	District 19
Hon. Tri Ta	<i>Westminster</i>	District 20
VACANT		District 23
Hon. Lena Gonzalez	<i>Long Beach</i>	District 30
Hon. Jonathan Curtis	<i>La Cañada Flintridge</i>	District 36
Hon. Gilbert Cedillo	<i>Los Angeles</i>	District 48
Hon. Paul Krekorian	<i>Los Angeles</i>	District 49/Public Transit Rep.
Hon. Bob Blumenfield	<i>Los Angeles</i>	District 50
Hon. David Ryu	<i>Los Angeles</i>	District 51
Hon. Paul Koretz	<i>Los Angeles</i>	District 52
Hon. Nury Martinez	<i>Los Angeles</i>	District 53
Hon. Monica Rodriguez	<i>Los Angeles</i>	District 54
Hon. Marqueece Harris-Dawson	<i>Los Angeles</i>	District 55
Hon. Curren D. Price, Jr.	<i>Los Angeles</i>	District 56
Hon. Herb Wesson, Jr.	<i>Los Angeles</i>	District 57
Hon. Mike Bonin	<i>Los Angeles</i>	District 58
VACANT	<i>Los Angeles</i>	District 59
Hon. Mitch O’Farrell	<i>Los Angeles</i>	District 60
Hon. José Huizar	<i>Los Angeles</i>	District 61
Hon. Brian McDonald		Tribal Gov’t Reg’l Plng Board
Hon. Eric Garcetti	<i>Los Angeles</i>	Member-at-Large

Staff Present

Kome Ajise, Executive Director
Darin Chidsey, Chief Operating Officer
Debbie Dillon, Chief Strategy Officer
Joann Africa, Chief Counsel/Director of Legal Services



Art Yoon, Director of Policy and Public Affairs
Basil Panas, Chief Financial Officer
Julie Loats, Chief Information Officer
Sarah Jepson, Interim Director of Planning
Tess Rey-Chaput, Office of Regional Council Support

CALL TO ORDER AND PLEDGE OF ALLEGIANCE

The Honorable Bill Jahn, President, asked the members to observe a moment of silence and remember the victims who were impacted by the devastation of the hurricane in the Bahamas; the victims of the recent shootings in Texas and including the families of the victims of the vessel fire in Santa Cruz, California.

President Jahn called the meeting to order at 12:15PM and asked First Vice President Rex Richardson to lead the Pledge of Allegiance.

President Jahn introduced two of SCAG’s Scholarship Award recipients who are in the audience today, Morgan Kopecky and Shaun Howard.

PUBLIC COMMENT PERIOD

President Jahn announced that the public comment cards that were received were regarding Agenda Item No. 2. He stated that he will be announcing the speakers at the time when the item is taken up.

REVIEW AND PRIORITIZE AGENDA ITEMS

President Jahn announced that Agenda Item Nos. 3 through 11 of the Consent Calendar will be acted upon first, followed by Agenda Item Nos. 1 and 2.

President Jahn noted that Agenda Item No. 9, ‘September’ is Pedestrian Safety Month, is dedicated to educating drivers and pedestrians about safe roadway habits. He asked the members to stop by the Reception area as Go Human is showcasing a ‘pop-up’ parklet from the Go Human Challenge. SCAG staff will be available to answer questions and provide a demonstration to help support member jurisdiction’s efforts and deepen community engagement related to traffic safety.

CONSENT CALENDAR

Approval Items

3. Minutes of the Meeting – August 1, 2019
4. 2021 Federal Transportation Improvement Program (FTIP) Guidelines
5. SB 664 (Allen) – Electronic Toll and Transit Fare Collection Systems
6. SCAG Memberships and Sponsorships
7. Contracts \$200,000 or Greater: 18-040-C01, Regional Data Platform

Receive and File

8. Monthly State and Federal Legislative Update
9. ‘September’ is Pedestrian Safety Month
10. Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999; and Amendments \$5,000 - \$74,999
11. CFO Monthly Report

A MOTION was made (Walker) to approve the Consent Calendar, Agenda Item Nos. 3 through 7; Receive and File Items 8 through 11. Motion was SECONDED (Navarro) and passed by the following votes:

FOR: Ashton, Bailey, Barger, Beaman Jacinto, Benoit Berry, Brown, Buscaino, Carroll, Clark, De Ruse, Finlay, Gazeley, Harnik, Hofbauer, Holmgren, Iglesias, Jahn, Judge, Kelly, Lorimore, Magana, Manos, J. Marquez, R. Marquez, McCallon, Medina, Mitchell, Nagel, Navarro, Pacheco, Parks, Plancarte, Pollock, Puckett, C. Ramirez, R. Ramirez, Real Sebastian, Richardson, Robertson, Sahli-Wells, Saleh, Sandoval, Santa Ines, Santos, Semeta, Shapiro, Sharif, Simonoff, Spiegel, Talamantes, Tye, Viegas-Walker, and Wapner (54).

AGAINST: None (0)

ABSTAIN: None (0)

President Jahn announced that former City of Murrieta Councilmember and SCAG’s First Vice President Randon Lane accepted a position in the U.S. Department of Transportation in Washington, D.C. Because Councilman Lane was not able to formally express his good wishes to the Regional Council, President Jahn announced that staff had arranged for him to appear live on-

screen. Randon Lane made remarks.

ACTION/DISCUSSION ITEMS

1. Nomination for SCAG 2019-2020 Second Vice President Resulting from SCAG Vacancy

President Jahn introduced the item and asked Nominating Committee Chair Alan D. Wapner to provide a report.

Chair Wapner reported the Nominating Committee met and reviewed applications from six (6) candidates for the open position to serve as Second Vice President for the balance of the 2019-20 term. While all candidates were equally qualified, Chair Wapner stated the Nominating Committee unanimously nominated Councilmember Clint Lorimore, Eastvale, District 4. A MOTION was made (Wapner) to approve the nomination of Clint Lorimore as Second Vice President for the balance of the 2019-20 term as recommended by the Nominating Committee MOTION was SECONDED (Spiegel).

Before the vote, President Jahn accepted a nomination from the floor from Councilmember Meghan Sahli-Wells, Culver City, District 41, who nominated Supervisor Linda Parks, Ventura County, as Second Vice President for the balance of the 2019-20 term. MOTION was SECONDED (Real Sebastian).

At this point in time because there were two (2) nominations, Nominating Committee Chair Wapner offered that for ease of administration to handle the motions at the same time—whereby when voting on the electronic device for this item only—the selection of number 1 on the device would represent a vote for Councilman Lorimore and the selection of number 2 on the device would represent a vote for Supervisor Parks. Staff confirmed the Regional Council members' understanding before proceeding with the vote which resulted as follows:

VOTE FOR CLINT LORIMORE:

Bailey, Barger, Benoit Berry, Brown, Buscaino, Carroll, Clark, De Ruse, Finlay, Gazeley, Harnik, Hofbauer, Iglesias, Jahn, Judge, Kelly, Lorimore, Manos, J. Marquez, R. Marquez, McCallon, Nagel, Navarro, Plancarte, Puckett, Richardson, Robertson, Saleh, Sandoval, Santa Ines, Santos, Shapiro, Simonoff, Spiegel, Tye, Viegas-Walker, and Wapner (38).

VOTE FOR LINDA PARKS:

Ashton, Beaman Jacinto, Holmgren, Magana, Medina, Mitchell, Pacheco, Parks, Pollock, C. Ramirez, R. Ramirez, Real Sebastian, Sahli-Wells, Semeta, Sharif and Talamantes (16).

After the voting was closed, President Jahn announced there were 38 votes for Clint Lorimore and

16 votes for Linda Parks. On behalf of the Regional Council, President Jahn congratulated Second Vice President Lorimore and asked him to join the Officers on the dais. Second Vice President Lorimore made remarks.

2. Regional Housing Need Determination from HCD

President Jahn introduced the item and reported the State of California Housing and Community Development Department (HCD) provided SCAG a regional housing need determination of 1,344,740 housing units for the SCAG region on August 22, 2019. He stated the agenda item relates to the regional housing need determination and not how we distribute the RHNA allocation or methodology. President Jahn stated that per statute, SCAG may object to the regional determination provided by HCD. Therefore, the recommended action today is to authorize the Executive Director to file an objection to HCD on the regional housing need determination. President Jahn emphasized that policy discussions will be an important next step in the process. He asked Executive Director Kome Ajise to provide background information.

Mr. Ajise stated there are grounds to file an objection to HCD regarding the regional determination. He emphasized the recommended action is not necessarily a protest of the RHNA determination of 1,344,740 housing units for the SCAG region; rather, is based on technical data and the process of a reasonable application of the methodology. Per statute, Mr. Ajise explained that SCAG may file an objection and provide a proposed alternative for the regional housing need determination. Mr. Ajise asked Kevin Kane, SCAG staff, to provide further clarification on the technical basis of the objection.

With the aid of a PowerPoint presentation, Kevin Kane, SCAG staff, provided background information on the consultation process with HCD. Mr. Kane stated the regional determination should be consistent with the Regional Transportation Plan; the region's population; and housing and employment projections which are the basis of the State and federal mandates for regional planning. Mr. Kane provided information for the process for an objection, citing Government Code Section 65584.01(c).

In closing, Mr. Kane stated the staff recommendation is to authorize the Executive Director to file an objection with HCD on the regional determination because of key technical issues such as: HCD did not use the appropriate population forecasts for the determination of the SCAG region's housing needs and did not conduct a reasonable application of the methodology and assumptions pursuant to the statute.

President Jahn opened the Public Comment period.

Councilmember Marsha McLean, Santa Clarita, expressed appreciation for SCAG staff for scheduling a RHNA information session on the draft methodology in the City of Santa Clarita and supported

staff's recommendation to file an objection to HCD regarding the regional housing need determination.

Ben Winter, staff for City of Los Angeles Mayor Eric Garcetti, encouraged the Regional Council to accept HCD's regional housing need determination for the SCAG region due to the current housing crisis. While the technical recommendation is appreciated, Mr. Winter expressed concerns on some of the recommendations which may result to a much lower housing target than what the state proposed.

Greg Spiegel, Inner City Law Center, expressed support for HCD's regional housing need determination of 1.3 million housing units for the SCAG region. He cited examples of the challenges faced by the Center with families living in deplorable conditions due to lack of affordable housing and asked the Regional Council to support the regional determination that would prioritize social equity for more affordable housing where the jobs are located.

Steven Johnson, Tena Foundation, expressed support for HCD's regional housing need determination of 1.3 million housing units which is necessary to the population of Southern California.

Terry Luedecke, Abundant Housing L.A., expressed concerns with the considerable amount of people living in the streets in tents or motor homes which is a result of a housing crisis that will need to be addressed and distribute affordable housing where the jobs and opportunities exist, regardless of any income level. He stated there is an opportunity now to make an improvement.

Leonora Camner, Abundant Housing L.A., expressed support for HCD's regional housing need determination of 1.3 million housing units and will support an objection for a higher number of housing units to address homelessness in the SCAG region.

Connor Finney, CA Yimby, expressed support for HCD's regional housing need determination and RHNA allocation strategy to address a housing crisis and urged SCAG to embrace solutions.

Michele Martinez, former SCAG President, stated that resources and funding are needed to allow growth for our communities and urged the members to negotiate future tax allocation.

Elizabeth Hansburg, People for Housing in Orange County, echoed comments made by Michele Martinez; expressed support for HCD's regional housing need determination of 1.3 million housing units; and stated that cities must have good development.

President Jahn closed the Public Comment period.

President Jahn asked Chief Counsel Joann Africa to also acknowledge receipt of several public comment letters regarding Agenda Item No. 2. Ms. Africa noted for the record there were 46 letters that opposed HCD's regional housing need determination; three (3) letters received in support of HCD's regional housing need determination; one letter in support of SCAG's filing an objection to HCD; and three (3) letters related to the RHNA methodology which will be reviewed by staff in the context of the methodology discussion.

For clarification purposes, Executive Director Kome Ajise stated that the recommended action by the Regional Council is to authorize the filing of an objection to HCD. He welcomed the comments that were expressed today and while acknowledging the importance to address the State's housing crisis, he also noted the importance of ensuring a continued integrity in the regional planning process.

President Jahn opened the floor for comments from the members of the Regional Council.

Regional Council member Joe Buscaino, Los Angeles, District 62, stated he understands the concerns expressed today. The shortage of housing for all income levels is apparent due to homelessness or increased rents or housing prices that makes it very difficult to own a home. Councilmember Buscaino expressed his opposition to SCAG filing an objection to HCD on the regional housing need determination and urged the Regional Council to do the same.

Supervisor Kathryn Barger, Los Angeles County, asked for clarification regarding the RHNA determination of 1.3 housing units. Kome Ajise, Executive Director, responded that the objection to HCD is not the 1.3 housing units; rather the methodology that was used. Supervisor Barger emphasized the importance of a bottom-up approach and cautioned the members regarding unintended consequences. While she echoed the comments made by Mayor Garcetti at last month's meeting, Supervisor Barger stated that *"being bold will need to be done in a smart fashion."*

Regional Council member Meghan Sahli-Wells, Culver City, District 41, expressed opposition and stated that local input is based on local planning which created the housing crisis and cited her city as an example. She stated that sending an objection letter to HCD symbolizes an objection to the housing need determination of 1.3 million housing units which she believes is not unreasonable nor impossible.

Regional Council member Steve Manos, Lake Elsinore, District 63, stated there is no zoning or funding attached to HCD's regional housing need determination of 1.3 million housing units. He stated CEQA, redevelopment agencies, affordable housing funds, reduction of mental health funds, displacement of mental health patients, increasing housing building standards and other causes result to a housing shortage. He stated this will only cause liability to cities and counties which the

City of Huntington Beach can attest to. Councilmember Manos urged support for an objection to the regional housing need determination.

Regional Council member Carmen Ramirez, Oxnard, District 45, cited her city as an example needing support, funding and resources to build affordable housing.

Regional Council member Rusty Bailey, Riverside, District 68, stated he is in agreement with the comments made today and sees this is an opportunity to exercise leadership and understanding that the SCAG region is unique. He emphasized the importance of sending a message to HCD that SCAG is a collaborative partner in solving the housing crisis. While the regional housing need determination of 1.3 million housing units is aspirational, it may not be reasonable and it is important for it to be provided with funding and resources.

Regional Council member Deborah Robertson, Rialto, District 8, asked a question if the cost-burden rate includes the resources to sustain the current population. Kevin Kane, SCAG staff, provided clarification.

Regional Council member Steve Hofbauer, Palmdale, District 43, echoed comments made by Regional Council member Steve Manos especially the loss of redevelopment funds. He expressed disappointment regarding the absence of funding support and cited examples of the challenges many cities face with building affordable homes.

Regional Council member Jan Harnik, RCTC, reminded the members of the recommended action today is about 'process' and not about the number [total housing units] and referenced the supplemental report that was provided to the members regarding staff's technical analysis regarding the objection.

Regional Council member Kathleen Kelly, Palm Desert, District 2, stated the future conversations are not to be about the reasonableness of the allocation; rather about the next steps which are essential whether it is funding or public-private collaboration. She noted her support for Councilman Bailey's comments on SCAG and HCD needing to be partners and including this part of the objection.

Supervisor Karen Spiegel, Riverside County, echoed the comments made by former SCAG President Michele Martinez. She emphasized the importance of the availability of resources as this is beyond building houses. Supervisor Spiegel also echoed the comments made by Councilman Bailey and acknowledged that the City of Riverside is the only city in the state trying to solve veteran homelessness. She stated the issue is about the process and not the number [housing units].

Regional Council member Margaret Finlay, Duarte, District 35, stated she is in agreement with the

comments made and explained the unintended consequences of Proposition 13. She suggested incentivizing corporations to build and attract jobs in parts of the state such as Visalia, Bakersfield, Fresno, etc.

Regional Council member Michael Carroll, Irvine, District 14, commented that the only level and place where the public derives a sense of trust is at the local government level. He expressed support for staff's recommendation.

First Vice President Rex Richardson, Long Beach, District 29, asked a question regarding the consultation process with HCD. Kevin Kane, SCAG staff, responded regarding the two-stage consultation process with HCD.

Supervisor Kathryn Barger, Los Angeles County, asked for clarification regarding the methodology. Kevin Kane, SCAG staff, responded that the objection was based on SCAG's growth forecast not being used; not using a comparable region standard; improved vacancy rate comparison; and other additional considerations such as excluding tribal lands and use of current data.

A MOTION was made (Bailey) to approve staff's recommendation to authorize the Executive Director to file an objection to HCD on the regional housing need determination pursuant to Government Code Section 65584.01 (c) with direction to staff add that it is being done in collaborative spirit between SCAG and HCD and that SCAG proposes a robust and reasonable planning target to address the state housing crisis. Motion was SECONDED (Kelly) and approved by the following votes:

FOR: Ashton, Bailey, Barger, Beaman Jacinto, Berry, Brown, Carroll, Clark, De Ruse, Finlay, Gazeley, Harnik, Hofbauer, Holmgren, Iglesias, Jahn, Judge, Kelly, Lorimore, Manos, J. Marquez, R. Marquez, McCallon, Medina, Mitchell, Nagel, Navarro, Pacheco, Parks, Pollock, Puckett, C. Ramirez, R. Ramirez, Real Sebastian, Richardson, Robertson, Saleh, Sandoval, Santa Ines, Santos, Semeta, Shapiro, Sharif, Spiegel, Talamantes, Tye, Viegas-Walker, and Wapner (48).

AGAINST: Buscaino and Sahli-Wells (2)

ABSTAIN: None (0)

BUSINESS REPORT

As the business representative, Ex-Officio Member Randall Lewis, advised the members that when working with their respective city staff to redefine "What is a house?" and "Where does the house go?" He stated that new solutions are different from the solutions of the past, such as an additional dwelling unit (ADU) or multi-generational house, a container, shelter or a smaller unit as there are a

number of housing innovations on where will the house go. Mr. Lewis suggested marketing the housing crisis to the general public and developers, HCD, the Governor and the entire state.

PRESIDENT'S REPORT

President Jahn welcomed the following new members:

- RC Member Hector Pacheco, San Fernando, representing District 67
- SGVCOG subregional appointments of Cory Moss, City of Industry, to the Transportation Committee; Ed Reece, City of Claremont, to the Transportation Committee; and Tony Wu, City of West Covina, to the CEHD Committee

President Jahn also appointed the Councilmember Margaret Finlay to the Audit Committee and a President's Appointment of Councilmember Jeremy Smith, Canyon Lake, to the Transportation Committee.

EXECUTIVE DIRECTOR'S REPORT

Kome Ajise, Executive Director, thanked staff and acknowledged their work to get the Supplemental Report regarding the objection to HCD to the Regional Council while also working on Connect SoCal. Mr. Ajise also thanked the Regional Council for an inspiring conversation—not only regarding housing—but also working collaboratively across jurisdictions to address the much-needed resources and capacity to build housing.

ADJOURNMENT

There being no further business, President Jahn adjourned the Regional Council meeting at 2:03PM.



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Energy & Environment Committee (EEC)
Regional Council (RC)
From: Julia Lippe-Klein, Assistant Regional Planner, Active
Transportation & Special Programs, (213) 236-1856, Lippe-
Klein@scag.ca.gov
Subject: Authorization to Approve Notice of Exemption (NOE) for SCAG
2017 Disadvantaged Communities Active Transportation

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION:

SCAG Staff recommends the Regional Council adopt Resolution No. 19-615-2 to approve the filing of a California Environmental Quality Act (CEQA) Notice of Exemption (NOE) in Imperial County for the SCAG 2017 Disadvantaged Communities Planning Initiative, subject to the 30-day public inspection period.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

SCAG received approval to accept \$1,150,000 in California Active Transportation (ATP) funds to develop six (6) active transportation plan in disadvantaged communities ("Project"). In order to add a seventh city in the County of Imperial to the existing Project, SCAG assessed potential environmental impacts as required under CEQA and determined the Project is exempt from CEQA.

BACKGROUND: In coordination with cities across the region, SCAG is implementing a local active transportation planning initiative. Specifically, the Grant aims to develop:

- Active transportation plans in disadvantaged communities;
- A pilot methodology to deliver low-cost local plans across the region

Six disadvantaged communities were selected as part of the Planning Initiative. In order to leverage resources, a seventh city in the County of Imperial has been added. Due to an administrative processing error, the need for this categorical exemption is required in the County of Imperial to ensure the Project can move forward. Prior to Caltrans approving a grant amendment for an additional city in the County of Imperial, SCAG must conduct an assessment of potential

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environmental impacts of the Project pursuant to CEQA in order to determine the type of CEQA document to prepare or whether the Project is exempt. SCAG staff has reviewed the Project and has determined that it is exempt from CEQA under the exemptions discussed herein.

BASIS FOR EXEMPTIONS:

The key considerations for determining if a project is exempt from CEQA are outlined in Sections 21080(b), 21083, and 21804 of the Public Resources Code and CEQA Guidelines Section 15002(k)(1), 15061, 15062, and 15300 to 15332. In general, CEQA Guidelines include a list of 33 classes of projects which have been determined not to have a significant effect on the environment and which shall, therefore, be exempt from the provisions of CEQA. A project is exempt from CEQA if the project falls within one or more of the 33 classes. Once the lead agency determines that the project falls within any of the 33 classes, the project is exempt from CEQA, and the environmental review process does not need to proceed any farther. The lead agency may prepare and file a Notice of Exemption (NOE) pursuant to CEQA Guidelines Section 15062, the NOE serves as a public notice that the lead agency has determined that a project is exempt from CEQA. The NOE may be filed with the OPR and the county clerk of each county in which the project will be located after approval of the project. Submission of the NOE to the Office of Planning and Research (OPR) and the county clerks completes the review of exemption process for a lead agency under the provisions of CEQA. The filing and posting of an NOE will begin a 30- day public inspection period.

ENVIRONMENTAL ASSESSMENT:

SCAG staff has conducted an environmental assessment of the Project with the addition of a seventh in Imperial County pursuant to Sections 21080(b), 21083, and 21804 of the Public Resources Code and CEQA Guidelines Sections 15002(k)(1), 15061, 15062, and 15300 to 15332. CEQA Guidelines include a list of 33 classes of projects which have been determined not to have a significant effect on the environment and which shall, therefore, be exempt from the provisions of CEQA. Based upon its assessment, SCAG staff has determined that the following exemptions apply to the Project:

- **CEQA Guidelines §15301(c) – Existing Facilities:** The Project would involve implementing six active transportation demonstration projects in Imperial, Los Angeles, and Ventura counties, that could foster the minor alteration of existing public or private structures, facilities, mechanical equipment, or topographical features, involving negligible or no expansion of use of existing highways, streets, sidewalks, gutters, bicycle and pedestrian trails and similar facilities beyond that existing at the time of the lead agency's determination, as set forth in the exemption under CEQA Guidelines §15301(c) - Existing Facilities;
- **CEQA Guidelines § 15304 (e) - Minor Alterations to Land:** The Project may involve developing temporary demonstration projects within the project cities that would exist for a

limited duration on existing rights of way, as set forth in the exemption under CEQA Guidelines § 15304 (e) - Minor Alterations to Land;

- **CEQA Guidelines §15306- Information Collection:** The Project includes basic data collection, research, experimental management and resource evaluation activities which will not result in a serious or major disturbance to an environmental resource. The project is strictly for information gathering purposes for possible future action which the agency has not yet approved, adopted or funded, as set forth in the exemption under CEQA Guidelines §15306- Information Collection

- **CEQA Guidelines §15322 – Educational or Training Programs Involving No Physical Changes:** The Project would consist of temporary demonstration projects in the communities of Ojai, El Monte, Calexico, Long Beach, Pasadena, and Glendale, involving no physical changes in the area affected, which would fall under the exemption set forth in CEQA Guidelines §15322 – Educational or Training Programs Involving No Physical Changes.

SCHEDULE:

Upon approval by the Regional Council, SCAG will submit the NOE to be filed with OPR and Imperial County Clerk for a 30-day public inspection period, which will begin on or about October 4, 2019 and end on or about November 4, 2019. It is anticipated that the Project would be implemented beginning in November 2019 and completed by June 2020.

FISCAL IMPACT:

Work associated with this item is included in Fiscal Year 2020 Overall Work Program. The Project is fully funded, totaling \$1,350,000, with \$1,150,000 coming from California Active Transportation Program funds, \$200,000 in SCAG in-kind (non-federal) match to be used for management support.

ATTACHMENT(S):

1. Resolution No. 19-615-2 NOE for SCAG DAC Planning Initiative - Imperial County
2. NOE SCAG DAC Planning Initiative - Imperial County



SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS
900 Wilshire Blvd., Ste. 1700
Los Angeles, CA 90017
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RESOLUTION NO. 19-615-2

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG) TO RELEASE THE
NOTICE OF EXEMPTION (NOE) FOR THE SCAG
DISADVANTAGED COMMUNITIES ACTIVE TRANSPORTATION
PLANNING INITIATIVE**

REGIONAL COUNCIL OFFICERS

President
Bill Jahn, Big Bear Lake

First Vice President
Rex Richardson, Long Beach

Second Vice President
Clint Lorimore, Eastvale

Immediate Past President
**Alan D. Wapner, San Bernardino
County Transportation Authority**

COMMITTEE CHAIRS

Executive/Administration
Bill Jahn, Big Bear Lake

Community, Economic &
Human Development
**Peggy Huang, Transportation
Corridor Agencies**

Energy & Environment
Linda Parks, Ventura County

Transportation
Cheryl Viegas-Walker, El Centro

WHEREAS, the Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization, for the six county region consisting of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial counties pursuant to 23 U.S.C. § 134 et seq. and 49 U.S.C. §5303 et seq.;

WHEREAS, SCAG adopted the 2016 Regional Transportation Plan and Sustainable Communities Strategy ("RTP/SCS") which included five goals for active transportation: 1) Decrease Bicycle and Pedestrian Fatalities and Injuries, 2) Develop an Active Transportation Friendly Environment throughout the SCAG Region, 3) Increase Active Transportation Usage in the SCAG Region, and 4) Encourage the Development of Local Active Transportation Plans; and 5) Develop Safe Routes to School Policies, and

WHEREAS, on October 26, 2016 SCAG was awarded \$1,150,000 in grant funds from the California Active Transportation program to develop active transportation plans for six disadvantaged communities (Project); and

WHEREAS, the primary goals of the Project are to (1) develop active transportation plans for six disadvantaged communities (DACs) within the SCAG region; and (2) establish a cost effective model for developing plans in DACs that leverages Go Human resources and SCAG modeling tools.

WHEREAS, SCAG has added a seventh disadvantaged community to take part in the Project; and

WHEREAS, the Project is scheduled to be implemented in November 2019 and completed by June 2020; and

WHEREAS, SCAG is required conduct an assessment of potential environmental impacts of the Project pursuant to the California Environmental Quality Act (CEQA), prior to receiving allocation of the awarded Grant Funds; and

WHEREAS, due to an administrative processing error, the need for this categorical exemption is required in the County of Imperial; and

Attachment: Resolution No. 19-615-2 NOE for SCAG DAC Planning Initiative - Imperial County (Authorization to Approve Notice of Exemption

WHEREAS, the Project would consist of two distinct activities: (1) Implement temporary demonstration project in a disadvantaged community in Imperial County and (2) seek resident and stakeholder engagement through a community planning process.

WHEREAS, SCAG has conducted an environmental assessment of the Project and determined that the Project is categorically exempt from CEQA pursuant to CEQA Guidelines Section 15301(c)- Existing Facilities, Section 15304(h)- Minor Alterations to Land, Section 15306- Information Collection, and is statutorily exempt from CEQA pursuant to CEQA Guidelines Section 15262- Feasibility and Planning Studies; and the scope of the Project activities have been determined to not have a significant effect on the environment; and

WHEREAS, SCAG has prepared a Notice of Exemption (NOE) to be filed with the State of California Office of Planning and Research (OPR) and the Clerk for the County of Imperial County where the Project will be located for a 30-day public inspection period pursuant to CEQA Guidelines Section i 5062.

NOW THEREFORE, BE IT RESOLVED by the Regional Council of the Southern California Association of Governments, that the foregoing recitals are true and correct and incorporated by this reference.

BE IT FURTHER RESOLVED THAT the SCAG Regional Council finds that based upon an environmental assessment of the Project pursuant to Sections 21080(b), 21083, and 21804 of the Public Resources Code and CEQA Guidelines Sections 15002(k)(1), 15061, 15062, and 15300 to 15332, SCAG has determined that the following CEQA exemptions apply to the Project:

1. The Project would involve developing active transportation plans for Calipatria within Imperial County, which could foster the minor alteration of existing public or private structures, facilities, mechanical equipment, or topographical features, involving negligible or no expansion of use of existing highways, streets, sidewalks, gutters, bicycle and pedestrian trails and similar facilities beyond that existing at the time of the lead agency's determination, as set forth in the exemption under CEQA Guidelines §15301(c)- Existing Facilities;
2. The Project would involve developing temporary demonstration projects that would exist for a limited duration (less than three days) on existing rights of way, as set forth in the exemption under CEQA Guidelines § 15304 (e) - Minor Alterations to Land;
3. The Project would include education, encouragement campaigns, outreach and media strategies involving no physical changes in the areas affected, as set forth in the exemption under CEQA Guidelines §15322 - Educational or Training Programs Involving No Physical Changes; and
4. The Project includes basic data collection, research, experimental management and resource evaluation activities which will not result in a serious or major disturbance to an environmental resource. The project is strictly for information gathering purposes and

feasibility/planning study for possible future action which the agency has not yet approved, adopted or funded, as set forth in the exemption under CEQA Guidelines §15306- Information Collection.

NOW THEREFORE, BE IT FURTHER RESOLVED by the Regional Council, that:

1. The Notice of Exemption for the proposed Project has been completed in compliance with CEQA and will be filed with Office of Planning and Research and the Clerk for Imperial County for a 30-day public inspection period; and
2. The proposed Project does not have a significant effect on the environment, and thus additional environmental review by SCAG is not required for the Project and a Notice of Exemption fulfills the requirements of CEQA.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at its regular meeting this 3rd day of October, 2019.

William "Bill" Jahn
President, SCAG

Attested by:

Kome Ajise
Executive Director

Approved as to Form:

Joann Africa
Chief Counsel

Notice of Exemption

To: Office of Planning and Research
1400 Tenth Street, Room 121
Sacramento, CA 95814

From: Southern California Association of Governments
900 Wilshire Blvd, Suite #1700
Los Angeles, CA 90017

Imperial County Clerk
940 Main Street, Suite 202
El Centro, CA 92243

Project Title: Southern California Association of Governments (SCAG) 2017 Disadvantaged Communities Planning Initiative

Project Location:
Imperial County

Description of Nature, Purpose, and Beneficiaries of Project: Project is intended to develop an active transportation plan in a disadvantaged community and “pilot” an innovative planning methodology to help deliver low-cost, local plans across the region by leveraging regional planning tools (health/active transportation models and active transportation database) and SCAG Go Human engagement resources.

Disadvantaged communities in the SCAG region often lack quality active transportation infrastructure and face safety challenges. Without plans, these communities are at a disadvantage when applying for funding to address these deficiencies. In the SCAG region, only 26% of cities have bicycle plans and 11% have pedestrian plans.

The plan will be developed using a combination of traditional and innovative stakeholder outreach to reach disadvantaged community members. Outreach will involve community meetings and direct engagement with existing forums such as faith-based organizations as well as a tactical urbanism demonstration project held in conjunction with a community event.

Name of Public Agency Approving Project:
Southern California Association of Governments

Name of Person or Agency Carrying Out Project:
Southern California Association of Governments

Exempt Status: (check one)

- Ministerial (Sec. 21080(b)(1); 15268);
- Declared Emergency (Sec. 21080(b)(3); 15269(a)); Emergency Project (Sec. 21080(b)(4); 15269(b)(c));
- Categorical Exemption: CEQA Guidelines § 15002 (k)(1) – General Concepts; CEQA Guidelines § 15061 – Review for Exemption; CEQA Guidelines § 15301 (c) and 15301 (f) – Existing Facilities; CEQA Guidelines § 15304 (e) and 15304 (e) – Minor Alterations to Land; CEQA Guidelines § 15311 (a) and 15311 (c) – Accessory Structures; CEQA Guidelines § 15322 – Educational or Training Programs Involving No Physical Changes
- Statutory Exemptions

Reasons why project is exempt:

SCAG has reviewed the proposed project pursuant to CEQA Guidelines § 15002 (k)(1) – General Concepts, and CEQA Guidelines § 15061 – Review for Exemption, and has determined that the proposed project is categorically exempt from CEQA because the scope of the project activities are included in the classes of projects which have been determined not to have a significant effect on the environment.

Therefore SCAG has determined that the proposed project is exempt from CEQA pursuant to following:

- The Project would involve implementing six active transportation demonstration projects in Imperial, Los Angeles, and Ventura counties, that could foster the minor alteration of existing public or private structures, facilities, mechanical equipment, or topographical features, involving negligible or no expansion of use of existing highways, streets, sidewalks, gutters, bicycle and pedestrian trails and similar facilities beyond that existing at the time of the lead agency's determination, as set forth in the exemption under CEQA Guidelines §15301(c) - Existing Facilities;
- The Project includes basic data collection, research, experimental management and resource evaluation activities which will not result in a serious or major disturbance to an environmental resource. The project is strictly for information gathering purposes for possible future action which the agency has not yet approved, adopted or funded, as set forth in the exemption under CEQA Guidelines §15306- Information Collection
- The Project may involve developing temporary demonstration projects within the project cities that would exist for a limited duration on existing rights of way, as set forth in the exemption under CEQA Guidelines § 15304 (e) - Minor Alterations to Land;
- Educational or Training Programs Involving No Physical Changes: The Project would consist of temporary demonstration projects in the communities of Ojai, El Monte, Calexico, Long Beach, Pasadena, and Glendale, involving no physical changes in the area affected, which would fall under the exemption set forth in CEQA Guidelines §15322 – Educational or Training Programs Involving No Physical Changes.

Project Approval Date: SCAG's Regional Council Approved the project on October 2, 2019
The California Transportation Commission awarded funding for this project on January 31, 2018.

CEQA Contact Person:	Phone Number:	Fax Number:	Email:
Roland Ok	(213) 236-1819	(213) 236-1963	ok@scag.ca.gov
Project Contact Person:	Phone Number:	Fax Number:	Email:
Julia Lippe-Klein	(213) 236-1856	(213) 236-1963	lippe-klein@scag.ca.gov

Date received for filing at OPR: _____ Signature of Applicant: _____

Ping Chang, Manager
Compliance and Performance Monitoring
Southern California Association of Governments

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Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Contracts, 213-236-1817,
panas@scag.ca.gov

Subject: Revise SCAG’s Standard Consultant Agreement (boilerplate
contract) Language Related to Penalty Clauses

EXECUTIVE DIRECTOR’S
APPROVAL

RECOMMENDED ACTION:

Authorize staff to remove penalty clauses from SCAG’s standard consultant agreements (please refer to Sections 10.d and 14. a in SCAG contract template – see Table 1 below), when engaging in contracts with colleges, universities, and non-profit organizations. These penalty clauses shall remain in contracts with for-profit businesses.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians. 4: Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

EXECUTIVE SUMMARY:

In 2010, the Regional Council authorized staff to impose penalties upon consultants for late invoices and late receipt of complete work. At the time, certain consultants were submitting late invoices and the penalty clauses were added to serve as a deterrent from this practice. Recently, staff has found that the colleges, universities, and non-profit organizations who provide consulting services to SCAG cannot agree to the penalty clauses, and staff is requesting the Regional Council’s approval to remove these clauses from consultant agreements with these entities. The penalty clauses shall remain in consultant agreements with for-profit businesses.

BACKGROUND:

On February 4, 2010 the Regional Council approved the addition of penalty clauses to SCAG’s standard consultant agreement to deter consultants from submitting their monthly invoices late and late work (see attached Regional Council staff report).

The penalty clauses were intended to incentivize consultants to submit their monthly invoices in a timely manner, as is still the case. Since its imposition, SCAG has imposed the penalty twice.

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SCAG has been engaging colleges, universities, and non-profit organizations as consultants more frequently. Some of these entities have noted that the penalty clauses are problematic and prevents them from contracting with SCAG. These entities have informed SCAG staff that they typically lack the funding to pay a penalty, as they operate from grants, endowments, fundraising, donations, etc. As a result, some of these entities have indicated that they are unable to do business with SCAG because of the penalty clauses.

Staff finds that SCAG would benefit from being able to do business with colleges, universities, and non-profits. Staff has not experienced significant invoicing issues with the few colleges, universities, and non-profit organizations that do engage with SCAG. For these reasons and to remove the impediment to engaging with these entities, staff is seeking the Regional Council's approval to remove the penalty clauses in Sections 10.d and 14. a from contracts with colleges, universities, and non-profits. The penalty clauses shall remain in contracts with for-profit businesses as it incentivizes for-profit businesses to submit their monthly invoices in a timely manner.

Table 1 - Penalty Clauses
(extract from SCAG's standard consultant agreement)

10. Invoicing for Payment

- d. Invoices for payment shall be submitted by the last day of each month ("Invoice Due Date") for the previous month's work. Invoices not received by SCAG within thirty (30) calendar days of the Invoice Due Date may be assessed a \$1,000 penalty per invoice, which shall be deducted by SCAG in the payment of the invoice.

14. Penalty

- a. A ten percent (10%) penalty shall be imposed for each thirty (30) day calendar period beyond the Agreement completion date, as specified in Section 3 (Term) of this Agreement, if the complete product, as described in the Scope of Work, is not received and approved by SCAG by the completion date. Such penalty shall be based on the total value of the Agreement, and shall not be imposed if the delay is caused by SCAG.

FISCAL IMPACT:

None.

ATTACHMENT(S):

1. Feb 4, 2010 RC Item 6.1.5

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REPORT

AGENDA ITEM # 6.1.5
TO BE COMPLETED BY ORCS

DATE: February 4, 2010
TO: Executive/Administration Committee
Regional Council
FROM: Leyton Morgan, Manager of Contracts, 213-236-1982, morgan@scag.ca.gov
SUBJECT: Penalty for Late Receipt of Consultant Invoices

EXECUTIVE DIRECTOR'S APPROVAL:

Debra Dillon for HF

RECOMMENDED ACTION: Approve the imposition of a penalty for the late receipt of consultant invoices.

EXECUTIVE SUMMARY:

Staff seeks to add a penalty clause to SCAG's standard consultant agreements to deter the late receipt of consultant invoices, which on occasion, has been problematic for SCAG in terms of managing its cash flow. This matter becomes significant in light of the State's current budget conditions and its impact upon SCAG's finances.

STRATEGIC PLAN:

This item supports Goal 3 (Enhance the Agency's Long Term Financial Stability and Fiscal Management), objective a (Maximize available resources and funds to the fullest extent possible) of the SCAG Strategic Plan.

BACKGROUND AND SUMMARY:

SCAG's standard agreement for consultant services currently provides that consultant invoices are to be submitted to SCAG monthly. Despite staff's persistent efforts to seek compliance with this requirement, a few of SCAG's consultants have a practice of submitting their invoices late, and in some cases, have a practice of submitting several invoices at a time. This decreases the accuracy of cashflow forecasts when the most current expenditure information from consultants is not available on a timely basis. It also increases administrative time handling the late invoices. Finally, SCAG pays consultants overhead rates to comply with the contract terms and conditions. The circumstances are made more critical given the State's current budget conditions and its potential impact upon SCAG's finances.

Staff seeks to add a penalty clause in the standard consultant agreements relating to the late receipt of consultant invoices as a way of deterring this practice and to give consultants a financial incentive to comply with the requirement to submit invoices on a monthly basis. While the standard agreement also provides SCAG with the ability to terminate the contract or to stop the work, staff finds that a penalty relating to the late receipt of invoices provides SCAG with an additional remedy for addressing the problem. Additional language will be incorporated into SCAG's standard consultant service agreements to read as follows: "Invoices for payment shall be submitted by the last day of each month ("Invoice Due Date"). Invoices not received by SCAG within sixty (60) calendar days of the Invoice Due Date may be assessed a \$250.00 penalty per invoice, which shall be deducted by SCAG in the payment of the invoice." For example, if consultant services are rendered in September, the consultant is required to submit an invoice for that work by the last day of October. If the consultant fails to submit the invoice by the end of December

REPORT

(that is, within 60 days of the due date), SCAG may assess the \$250 penalty and deduct this amount from the payment of the invoice. Mitigating circumstances that might impact a consultant's invoice preparation schedule will be considered by the Contract Administrator before imposition of the penalty. Should the Regional Council approve the imposition of this penalty, the provision will be included in all new consultant services agreements.

FISCAL IMPACT:

Any revenues generated from the imposition of these penalties will be credited back to the agency's funding sources through the indirect cost allocation plan.

Reviewed by:



Chief Financial Officer

Attachment: Feb 4, 2010 RC Item 6.1.5 (Revise SCAG's Standard Consultant Agreement (boilerplate contract) Language Related to Penalty



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Transportation Committee (TC)
Regional Council (RC)
From: Julia Lippe-Klein, Assistant Regional Planner, Active
Transportation & Special Programs, (213) 236-1856, Lippe-
Klein@scag.ca.gov
Subject: Resolution No. 19-615-1 Regarding Acceptance of Office of
Traffic Safety Grant Funds to support the Active
Transportation Safety and Encouragement Campaign

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION FOR TC:

Recommend that the Regional Council approve Resolution No. 19-615-1 authorizing SCAG to accept if awarded Office of Traffic Safety grant funds in the amount of \$1,000,000 to support the Southern California Go Human Campaign.

RECOMMENDED ACTION FOR EAC AND RC:

Approve Resolution No. 19-615-1 authorizing SCAG to accept if awarded Office of Traffic Safety grant funds to support the Go Human Campaign.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.

EXECUTIVE SUMMARY:

On May 1, 2014, the General Assembly adopted a resolution in support of endorsing a regional effort to promote a pedestrian and bicycle safety initiative. To pursue this effort, SCAG launched Go Human, a Regional Active Transportation Safety and Encouragement Campaign, with funding from the Active Transportation Program. To extend campaign efforts, SCAG applied for Pedestrian/Bicycle Safety funds from the Office of Traffic Safety in the amount of \$1,000,000 to conduct a fourth round of Go Human advertising and safety programming and outreach across the region. On July 12th, SCAG was informed that the grant award was being tentatively offered. SCAG is seeking RC approval to receive the funds.

BACKGROUND:

The SCAG region, like California and the nation as a whole, experienced a period of annual declines in traffic-related fatalities and serious injuries until 2012 when they began to steadily rise. Although the region has made some progress on safety, 1,500 people are killed, 5,200 are seriously injured

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and 136,000 are injured in traffic collisions on average each year. About 73 percent of those killed since 2001 were in vehicles or on motorcycles, while the remaining 27 percent were walking or bicycling (disproportionate to their mode share, 12 percent of all daily trips are walking or biking trips). The numbers of both pedestrians and bicyclists killed are the highest they have been for more than a decade. These collisions are happening in every city across the region.

To address the number of collisions occurring, the SCAG 2014 General Assembly passed a resolution to support a regional safety initiative aimed at improving roadway safety for bicyclists and pedestrians. To implement the resolution, SCAG secured \$2.3 million in Caltrans grant funding from the statewide 2014 Active Transportation Program call for projects to coordinate a Southern California Active Transportation Safety and Encouragement Campaign.

Using these grant resources, SCAG successfully initiated the Go Human Campaign with the launch of a first round of advertising in September of 2015 as well as two additional rounds of advertising funded through the Office of Traffic Safety. The advertising campaign has secured over 550 million impressions to date (each time an ad is seen) region-wide through a combination of SCAG's initial investment, added value media secured through op-eds and press outlets, and donated media from local and county partners. SCAG has also completed and extended the other components of the initial grant scope of work which includes implementing a series of Go Human events in partnership with local cities as well as developing toolkits aimed at creating active transportation champions.

To continue the Go Human Campaign's momentum, SCAG applied for an additional \$1,000,000 in funding from the Office of Traffic Safety to extend the campaign into 2020. On July 12th, 2019, SCAG received notice that the award was being tentatively offered. If awarded, this funding will be used to conduct a fourth round of safety advertising, with advertisements running in 2020. In addition, the funds will be used to support localized safety community engagement, the expansion of SCAG's demonstration resources through its Kit of Parts deployment program in partnership with cities across the region, as well as an updated website for Go Human. SCAG is seeking RC approval to receive the funds.

FISCAL IMPACT:

If awarded, SCAG will receive \$1,000,000 in grant funds from the Office of Traffic Safety that will be utilized for the Southern California Active Transportation Safety and Encouragement Campaign.

ATTACHMENT(S):

1. Resolution No. 19-615-1 Acceptance of OTS Funds



SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS
900 Wilshire Blvd., Ste. 1700
Los Angeles, CA 90017
T: (213) 236-1800
www.scag.ca.gov

RESOLUTION NO. 19-615-1

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG)
AUTHORIZING THE ACCEPTANCE OF THE OFFICE OF TRAFFIC SFAETY GRANT FUNDS TO
SUPPORT THE SOUTHERN CALIFORNIA ACTIVE TRANSPORTATION SAFETY AND
ENCOURAGEMENT CAMPAIGN**

REGIONAL COUNCIL OFFICERS

President
Bill Jahn, Big Bear Lake

First Vice President
Rex Richardson, Long Beach

Second Vice President
Clint Lorimore, Eastvale

Immediate Past President
**Alan D. Wapner, San Bernardino
County Transportation Authority**

COMMITTEE CHAIRS

Executive/Administration
Bill Jahn, Big Bear Lake

Community, Economic &
Human Development
**Peggy Huang, Transportation
Corridor Agencies**

Energy & Environment
Linda Parks, Ventura County

Transportation
Cheryl Viegas-Walker, El Centro

WHEREAS, the Southern California Association of Governments (“SCAG”) is the Metropolitan Planning Organization, for the six county region comprising of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial pursuant to 23 U.S.C.§ 134 et seq. and 49 U.S.C. §5303 et seq.; and

WHEREAS, each year in the Southern California region, over 1,500 people are killed and over 136,000 are injured in traffic collisions;

WHEREAS, in the SCAG region, 524 pedestrians and bicyclists were killed in traffic collisions in 2016, representing 33% of all traffic fatalities in the region; and

WHEREAS, SCAG has applied for an award of \$1,000,000 in OFFICE OF TRAFFIC SAFETY, PEDESTRIAN/BICYCLE SAFETY Funds (“Grant Funds”), to support the ACTIVE TRANSPORTATION SAFETY AND ENCOURAGEMENT CAMPAIGN;

WHEREAS, the primary goal of the OFFICE OF TRAFFIC SAFETY is to “effectively and efficiently administer traffic safety grant funds to reduce traffic deaths, injuries, and economic losses”; and

WHEREAS, the Grant Funds will be used for the SOUTHERN CALIFORNIA ACTIVE TRANSPORTATION SAFETY AND ENCOURAGEMENT CAMPAIGN, which will involve consulting services to extend the Go Human Advertising Campaign, localized safety community engagement, the expansion of SCAG’s demonstration resources through its Kit of Parts deployment program in partnership with cities across the region, as well as an updated website for Go Human.

NOW, THEREFORE, BE IT RESOLVED, by the Regional Council of Southern California Association of Governments to authorize SCAG to accept and administer the Grant Funds if awarded to support the SOUTHERN CALIFORNIA ACTIVE TRANSPORTATION SAFETY AND ENCOURAGEMENT CAMPAIGN.

Attachment: Resolution No. 19-615-1 Acceptance of OTS Funds (Acceptance of Office of Traffic Safety Grant Funds)

BE IT FURTHER RESOLVED THAT:

1. That the Regional Council hereby authorizes SCAG to accept the tentative Grant Funds in the amount of \$1,000,000 OFFICE OF TRAFFIC SAFETY to support the SOUTHERN CALIFORNIA ACTIVE TRANSPORTATION SAFETY AND ENCOURAGEMENT CAMPAIGN.
2. That SCAG's Executive Director or his designee is hereby designated and authorized by the Regional Council to execute all necessary agreements and other documents on behalf of the Regional Council as they relate to the Grant Funds supporting the SOUTHERN CALIFORNIA ACTIVE TRANSPORTATION SAFETY AND ENCOURAGEMENT CAMPAIGN.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at its regular meeting this 3rd day of October, 2019.

William "Bill" Jahn
President, SCAG

Attested by:

Kome Ajise
Executive Director

Approved as to Form:

Joann Africa
Chief Counsel



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Regional Council (RC)

EXECUTIVE DIRECTOR'S
APPROVAL

From: Basil Panas, Chief Financial Officer, Budget & Grants, 213-236-1817, panas@scag.ca.gov

Subject: Resolution No. 19-615-3 Approving Amendment 1 to the FY 2019-20 Overall Work Program (OWP)

RECOMMENDED ACTION:

Adopt Resolution No. 19-615-3 approving Amendment 1 to the FY 2019-20 (FY20) Overall Work Program (OWP) and authorize the Executive Director, or his designee, to submit the necessary documentation to the California Department of Transportation (Caltrans).

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal

EXECUTIVE SUMMARY:

Staff recommends that the Regional Council (RC) approve a first amendment to the FY20 OWP in the amount of \$3.6 million, increasing the budget from \$79.2 million to \$82.8 million. Amendment 1 is a formal amendment that includes: adding two new grants for the Active Transportation Program; adding a new grant for the FY20 Office of Traffic Safety - Pedestrian and Bicycle Safety Program; and adding five new grants for the FY20 Caltrans Sustainable Transportation Planning Grant Program. This amendment also includes: adding Transportation Development Act (TDA) funds and other local funds for the required match to support the new grants; adjustments to staff time allocations in various OWP projects; and revisions to the OWP budget reports to correctly present toll credits used as match for federal transportation planning funds.

BACKGROUND:

On May 2, 2019 the RC adopted the FY20 Final Comprehensive Budget, which included the FY20 OWP budget in the amount \$79.2 million. On May 17, 2019, SCAG received five awards for a total of \$2.4 million for the FY20 Caltrans Sustainable Transportation Planning Grant program. The grant funds are programmed in this OWP amendment to meet the conditions of grant acceptance.

On June 27, 2019, the Federal Transit Administration (FTA) and Federal Highway Administration (FHWA) conditionally approved SCAG's FY20 OWP pending changes to the budget reports to correctly present toll credits used as match for federal transportation planning funds. The required

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changes were completed in an administrative amendment that was submitted to Caltrans on September 16, 2019.

DISCUSSION:

Staff recommends that the RC approve Amendment 1 to the FY 2019-20 OWP in the amount of \$3.6 million, increasing the budget from \$79.2 million to \$82.8 million.

Table 1 shows revenue increases of \$3.6 million for the new federal and state transportation planning grants, the local funds supporting the grants, and the adjustment for toll credits. Attachment 2 includes a list of budget changes including the new grants. To access the amended OWP, please visit: <http://www.scag.ca.gov/about/Pages/Overall%20Work%20Program.aspx>

Table 1. FY 2019-20 OWP Revenues			
OWP REVENUES	Adopted	Amend #1	Change
FHWA PL	\$ 23,169,366	\$ 23,169,366	\$ -
FTA 5303	\$ 14,855,692	\$ 14,855,692	\$ -
FEDERAL OTHER	\$ 3,366,348	\$ 5,421,356	\$ 2,055,008
SB 1 FORMULA GRANT	\$ 12,730,939	\$ 12,730,939	\$ -
SB 1 COMPETITIVE GRANT	\$ 245,654	\$ 245,654	\$ -
SB 1 ADAPTATION GRANT	\$ 531,122	\$ 531,122	\$ -
SHA COMPETITIVE GRANT	\$ -	\$ 993,617	\$ 993,617
STATE OTHER	\$ 7,964,382	\$ 8,392,382	\$ 428,000
TDA	\$ 9,342,288	\$ 9,777,761	\$ 435,473
CASH/LOCAL OTHER	\$ 2,424,607	\$ 2,790,815	\$ 366,208
IN-KIND COMMITMENTS	\$ 3,838,646	\$ 3,838,646	\$ -
SUBTOTAL	\$ 78,469,044	\$ 82,747,350	\$ 4,278,306
TOLL CREDITS	\$ 718,703	\$ -	\$ (718,703)
TOTAL	\$ 79,187,747	\$ 82,747,350	\$ 3,559,603

Table 2 shows the proposed changes to expenditure categories:

- 1) \$10,000 for adjustments to staff time allocations.
- 2) \$3.9 million for consultant work related to the Active Transportation Program, the FY20 Office of Traffic Safety - Pedestrian and Bicycle Safety Program, and the FY20 Caltrans Sustainable Transportation Planning Grant Program.
- 3) Less than \$91,000 decrease in third party in-kind commitments for consultant work.
- 4) \$0.4 million increase in other costs to support the new grants.

- 5) \$0.7 million decrease in toll credits used as match for federal transportation planning funds. The toll credits should not be included in the total budget. This change was necessary to correctly present toll credits in the OWP budget reports.

Table 2. FY 2019-20 OWP Expenditures

OWP EXPENDITURES	Adopted	Amend #1	Change
SALARIES	\$ 34,362,325	\$ 34,371,840	\$ 9,515
CONSULTANTS	\$ 36,315,673	\$ 40,246,604	\$ 3,930,931
LOCAL OTHER	\$ 1,900,367	\$ 1,809,982	\$ (90,385)
IN-KIND COMMITMENTS	\$ 3,838,646	\$ 3,838,646	\$ -
OTHER COSTS	\$ 2,052,033	\$ 2,480,278	\$ 428,245
SUBTOTAL	\$ 78,469,044	\$ 82,747,350	\$ 4,278,306
TOLL CREDITS	\$ 718,703	\$ -	\$ (718,703)
TOTAL	\$ 79,187,747	\$ 82,747,350	\$ 3,559,603

FISCAL IMPACT:

Amendment 1 to the FY20 OWP results in an increase of \$3,559,603, from \$79,187,747 to \$82,747,350. After approval by the RC, the revised budget will be submitted to Caltrans for final approval.

ATTACHMENT(S):

1. Resolution No. 19-615-3
2. List of Budget Changes FY20 A1
3. To access the amended OWP, please visit:
<http://www.scag.ca.gov/about/Pages/Overall%20Work%20Program.aspx>



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Transportation
Cheryl Viegas-Walker, El Centro

RESOLUTION NO. 19-615-3

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG)
APPROVING AMENDMENT 1 TO THE FISCAL YEAR 2019-20
OVERALL WORK PROGRAM**

WHEREAS, the Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization, for the six county region consisting of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial counties pursuant to 23 U.S.C. § 134 et seq. and 49 U.S.C. §5303 et seq.; and

WHEREAS, SCAG has developed the Fiscal Year (FY) 2019-20 Comprehensive Budget that includes the following budget components: the Overall Work Program (OWP); the FTA Discretionary and Formula Grant Budget; the TDA Capital and Debt Service Budget; the General Fund Budget; the Indirect Cost Budget (ICAP); and the Fringe Benefits Budget; and

WHEREAS, the OWP is the basis for SCAG’s annual regional planning activities and budget; and

WHEREAS, in conjunction with the OWP Agreement and Master Fund Transfer Agreement, the OWP constitutes the annual funding contract between the State of California Department of Transportation (Caltrans) and SCAG for the Consolidated Planning Grant (CPG), the Sustainable Transportation Planning Grants, and the Adaptation Planning Grants; and

WHEREAS, SCAG is also eligible to receive other Federal and/or State grant funds and/or local funds for certain regional transportation planning related activities. For such funding upon award, the funds are implemented through the OWP and SCAG and the applicable Federal or State agency shall execute the applicable grant agreement(s); and

WHEREAS, SCAG’s Regional Council approved the OWP for FY 2019-20 in May 2019, which was subsequently conditionally approved by Caltrans in June 2019; and

WHEREAS, this Amendment 1 to the FY 2019-20 OWP will result in a budget increase of \$3.6 million, from \$79.2 million to \$82.8 million; and

WHEREAS, Amendment 1 to the FY 2019-20 OWP, along with its corresponding staff report and this resolution, has been reviewed and discussed by SCAG’s Regional Council on October 3, 2019.

Attachment: Resolution No. 19-615-3 (Resolution No. 19-615-3 Approving Amendment 1 to the FY 2019-20 Overall Work Program (OWP))

NOW, THEREFORE, BE IT RESOLVED, by the Regional Council of the Southern California Association of Governments, that Amendment 1 to the FY 2019-20 OWP is approved and adopted.

BE IT FURTHER RESOLVED THAT:

1. The Regional Council hereby authorizes submittal of Amendment 1 to the FY 2019-20 OWP to the participating State and Federal agencies.
2. SCAG pledges to pay or secure in cash or services, or both, the matching funds necessary for financial assistance.
3. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby designated and authorized to execute all related agreements and other documents on behalf of the Regional Council.
4. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make and submit to the applicable funding agencies, the necessary work program, and budget modifications to the FY 2019-20 OWP based on actual available funds and to draw funds as necessary on a line of credit or other requisition basis.
5. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to submit grant applications and execute the applicable grant agreements and any amendments with the applicable Federal or State agency and to implement grant funds through SCAG’s OWP, and this includes submittal and execution of the required Overall Work Program Agreement (OWPA) and the Master Fund Transfer Agreement (MFTA) with Caltrans.
6. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make administrative amendments to the FY 2019-20 OWP that do not affect the delivery of regional transportation planning tasks, activities, steps, products, or the funding amounts listed on the OWPA.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at its regular meeting this 3rd day of October, 2019.

[Signatures on the following page]

William "Bill" Jahn
President, SCAG

Attested by:

Kome Ajise
Executive Director

Approved as to Form:

Joann Africa
Chief Counsel

**FY 2019-20 OWP Amendment 1
List of Budget Changes**

Project Task No.	Project Task Name	Category	Budget Change	FTA 5303	FHWA PL	TDA	FTA 5304	FHWA SPR	SHA	Other Special Grants	In-Kind/Cash/Local	Toll Credits	Reason for Change
010-1631.02	Transportation Demand Management (TDM) Planning	Staff	\$ (3,028)		\$ (2,681)						\$ (347)		Overestimated the staff effort and need to redirect funds to other projects.
045-0142.12	Enterprise GIS (EGIS) Implementation - Maint. & Support	Staff	\$ 261,617		\$ 231,610						\$ 30,007		Underestimated the staff effort and need to redirect funds from task 045-0142.17.
045-0142.17	QA Requirements and Documentation	Staff	\$ (261,615)		\$ (231,608)						\$ (30,007)		Overestimated the staff effort and need to redirect funds to task 045-0142.12.
055-4856.04	Tax Increment Financing for Sustainable Growth	Consultant	\$ 45,000								\$ 45,000		Add the cash commitment from the County of Los Angeles.
065.4858.01	Regional Resiliency Analysis	Staff	\$ 7,160		\$ 6,339						\$ 821		Underestimated the staff effort and need to redirect funds from other projects.
100-1630.02	Intelligent Transportation Systems (ITS) Planning	Staff	\$ (33,037)		\$ (29,248)						\$ (3,789)		Overestimated the staff effort and need to redirect funds to other projects.
100-1630.03	Regional ITS Strategic Plan and Regional ITS Architecture Update	Staff	\$ (14,871)		\$ (13,165)						\$ (1,706)		Overestimated the staff effort and need to redirect funds to other projects.
120-0175.01	OWP Development & Administration	Staff	\$ 136,808		\$ 121,116						\$ 15,692		Underestimated the staff effort and need to redirect funds from other projects.
140-0121.01	Transit Planning	Staff	\$ (66,965)		\$ (59,284)						\$ (7,681)		Overestimated the staff effort and need to redirect funds to other projects.
140-0121.02	Regional High Speed Transport Program	Staff	\$ (49,031)		\$ (43,407)						\$ (5,624)		Overestimated the staff effort and need to redirect funds to other projects.
140-0121.08	Transit Asset Management (TAM) Planning	Staff	\$ 40,860		\$ 36,173						\$ 4,687		Underestimated the staff effort and need to redirect funds from other projects.
140-4849.01	Transit Ridership Study Phase 2	Staff	\$ (17,898)		\$ (15,845)						\$ (2,053)		Overestimated the staff effort and need to redirect funds to other projects.
145-4816.01	First-Mile Last-Mile Connectivity Study for Naval Base Ventura County	Staff	\$ 3,618			\$ 3,618							Underestimated the staff effort and need to redirect funds from other projects.
145-4835.01	ADA Paratransit Demand Forecast (FY18 SB1 Competitive)	Staff	\$ 3,618			\$ 3,618							Underestimated the staff effort and need to redirect funds from other projects.
145-4865.01	(New) Southern California Goods Movement Communities Freight Impact Assessment	Staff	\$ 59,880			\$ 11,976		\$ 47,904					Add new FY20 Caltrans planning grant and add TDA funds to support the staff effort.
145-4865.01	(New) Southern California Goods Movement Communities Freight Impact Assessment	Consultant	\$ 239,520			\$ 47,904		\$ 191,616					Add new FY20 Caltrans planning grant and add TDA funds to support the consultant effort.
145-4866.01	(New) East San Gabriel Valley Mobility Plan	Staff	\$ 20,000			\$ 4,000		\$ 16,000					Add new FY20 Caltrans planning grant and add TDA funds to support the staff effort.
145-4866.01	(New) East San Gabriel Valley Mobility Plan	Consultant	\$ 397,500					\$ 318,000			\$ 79,500		Add new FY20 Caltrans planning grant and add cash commitment from Los Angeles County Department of Regional Planning to support the consultant work.
145-4867.01	(New) Curb Space Management Study	Staff	\$ 39,529			\$ 5,825	\$ 33,704						Add new FY20 Caltrans planning grant and add TDA funds to support the staff effort.
145-4867.01	(New) Curb Space Management Study	Consultant	\$ 525,171			\$ 77,387	\$ 447,784						Add new FY20 Caltrans planning grant and add TDA funds to support the consultant effort.
155-4863.01	(New) Transportation Broadband Strategies to Reduce VMT and GHG	Staff	\$ 27,000			\$ 3,097			\$ 23,903				Add new FY20 Caltrans planning grant and add TDA funds to support the staff effort.
155-4863.01	(New) Transportation Broadband Strategies to Reduce VMT and GHG	Consultant	\$ 538,000						\$ 476,292		\$ 61,708		Add new FY20 Caltrans planning grant and add cash and in-kind commitments from project partners to support the consultant work.

**FY 2019-20 OWP Amendment 1
List of Budget Changes**

Project Task No.	Project Task Name	Category	Budget Change	FTA 5303	FHWA PL	TDA	FTA 5304	FHWA SPR	SHA	Other Special Grants	In-Kind/Cash/Local	Toll Credits	Reason for Change
155-4864.01	(New) SB743 VMT Mitigation Assistance Program	Staff	\$ 41,244			\$ 4,731			\$ 36,513				Add new FY20 Caltrans planning grant and add TDA funds to support the staff effort.
155-4864.01	(New) SB743 VMT Mitigation Assistance Program	Consultant	\$ 516,106			\$ 59,197			\$ 456,909				Add new FY20 Caltrans planning grant and add TDA funds to support the consultant effort.
160-4850.01	Project Management	Staff	\$ 47,538			\$ 47,538							Underestimated the staff effort and need to redirect funds from other projects.
225-3564.10	Go Human -MSRC - Sustainability Planning Grants	Consultant	\$ 7,249			\$ 10,725				\$ (3,476)			Add TDA funds for activities not eligible for MSRC grant reimbursement.
225-3564.10	Go Human -MSRC - Sustainability Planning Grants	Staff	\$ 3,476							\$ 3,476			Add MSRC grant funds for other direct costs.
225-3564.14	SCAG 2019 Local Demonstration Initiative	NA	\$ 4,000							\$ 4,000			Update the project name and add ATP grant funds.
225-3564.15	(New) FY20 OTS - Pedestrian and Bicycle Safety Program	Staff	\$ 56,000						\$ 56,000				Add new OTS grant funds to support staff work.
225-3564.15	(New) FY20 OTS - Pedestrian and Bicycle Safety Program	Consultant	\$ 944,000						\$ 944,000				Add new OTS grant funds to support consultant work.
225-4838.01	SCAG 2017 Active Transportation Safety & Encouragement Campaign (Phase 2)	Consultant	\$ 55,000								\$ 55,000		Add the in-kind commitment from the City of Hermosa Beach.
225-4839.01	SCAG Active Transportation Disadvantage Communities Plans	Consultant	\$ 20,000			\$ 20,000							Add TDA funds to support the City of Calipatria's active transportation plan.
225-4839.01	SCAG Active Transportation Disadvantage Communities Plans	Staff	\$ 60,000			\$ 60,000							Add TDA funds to support the work with community-based organizations for active transportation plans in seven disadvantaged communities.
225-4868.01	(New) Imperial County Project Ride, Walk, Learn	Consultant	\$ 224,000						\$ 224,000				Add new ATP grant funds for a new project.
225-4869.01	(New) San Gabriel Valley Greenway Network Implementation Plan	Consultant	\$ 200,000						\$ 200,000				Add new ATP grant funds for a new project.
275-4823.02	Sustainability Planning Grant Program - 2016 Call (FY19 SB 1 Formula)	Consultant	\$ 125,000								\$ 125,000		Add the cash commitment from the City of Riverside.
280-4824.02	Future Communities Pilot Program (FY19 SB 1 Formula)	Consultant	\$ 177,109								\$ 177,109		Add the cash commitments from the City of Glendale (\$18,709) and the City of Cerritos (\$158,400).
280-4824.02	Future Communities Pilot Program (FY19 SB 1 Formula)	Consultant	\$ (177,109)								\$ (177,109)		Remove the in-kind commitments from the City of Glendale and the City of Cerritos now replaced with cash commitments.
280-4824.02	Future Communities Pilot Program (FY19 SB 1 Formula)	Staff	\$ 31,489			\$ 31,489							Underestimated the staff effort and need to redirect funds from other projects.
280-4840.01	Future Communities Framework (FY19 SB 1 Formula)	Non-Profit	\$ -										To shift the existing \$50K in Consultnat GL to Non-Profit GL.
290-4826.01	SCS Scenario Development & Outreach (FY18 SB 1 Formula)	Staff	\$ 22,184			\$ 22,184							Underestimated the staff effort and need to redirect funds from other projects.
290-4826.02	SCS Scenario Development and Outreach (FY20 SB 1 Formula)	Staff	\$ 22,184			\$ 22,184							Underestimated the staff effort and need to redirect funds from other projects.
SUBTOTAL			\$ 4,278,306	\$ -	\$ -	\$ 435,473	\$ 481,488	\$ 573,520	\$ 993,617	\$ 1,428,000	\$ 366,208	\$ -	
Various	Various	Toll Credits	\$ (718,703)									\$ (718,703)	Remove toll credits from the total budget as required by Caltrans.
TOTAL			\$ 3,559,603	\$ -	\$ -	\$ 435,473	\$ 481,488	\$ 573,520	\$ 993,617	\$ 1,428,000	\$ 366,208	\$ (718,703)	



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Regional Council (RC)

EXECUTIVE DIRECTOR'S
APPROVAL

From: Basil Panas, Chief Financial Officer, Contracts, 213-236-1817,
panas@scag.ca.gov

Subject: Contracts \$200,000 or Greater: 20-003-C01, Mobility
Innovations and Pricing Study

RECOMMENDED ACTION:

Approve Contract No. 20-003-C01 in an amount not to exceed \$540,000 with Estolano LeSar Advisors, to develop and implement a comprehensive public engagement strategy related to regional congestion pricing subject to final review by SCAG Internal Audit and negotiation.

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians. 2: Advance Southern California's policy interests and planning priorities through regional, statewide, and national engagement and advocacy. 4: Provide innovative information and value-added services to enhance member agencies' planning and operations and promote regional collaboration. 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

EXECUTIVE SUMMARY:

Consistent with the Caltrans Partnership Grant that funds this project, the consultant shall perform a comprehensive public engagement strategy that will provide a thorough equity focused foundation to support SCAG's efforts to meet regional congestion pricing concepts. Staff seeks approval to proceed with the contract subject to Internal Audit review and negotiations.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Estolano LeSar Advisors (ELA), Inc. (20-003-C01)	The consultant shall work with SCAG, in collaboration with Caltrans and local agency partners, to develop and implement a broad public engagement strategy that provides a thorough equity focused foundation related to regional congestion pricing.	\$540,000

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



FISCAL IMPACT:

Grant funds in the amount of \$540,000 are available in the FY 2019-20 OWP Budget in project numbers 145-4817H1.01 (\$432,000) and 145-4817E.01 (\$108,000).

ATTACHMENT(S):

1. Contract Summary 20-003-C01
2. Contract Summary 20-003-C01 COI

CONSULTANT CONTRACT 20-003-C01

**Recommended
Consultant:**

Estolano LeSar Advisors (ELA)

**Background &
Scope of Work:**

Consistent with the Caltrans Partnership Grant that funds this project, the consultant shall develop and implement a comprehensive public engagement strategy that will provide a thorough equity focused foundation to support SCAG's efforts to meet regional congestion pricing concepts. Staff seeks the Regional Council's approval to proceed with awarding the contract to Estolano LeSar Advisors subject to Internal Audit review and negotiations.

SCAG, working with local agency partners, will continue its successful partnership with the California Department of Transportation (Caltrans) and the Federal Highway Administration (FHWA) to identify, analyze, and eventually plan for congestion pricing pilot concepts in the region. Through partnership efforts with Caltrans, SCAG received federal funding to pursue a congestion pricing feasibility study which has included travel demand modeling of likely changes in travel behavior and assessment of system safety and air quality conditions. Additionally, consideration of economic impacts and outreach to stakeholders were conducted. Key findings of the recent feasibility study suggest that a congestion pricing mobility program can achieve meaningful reductions in vehicle miles traveled (VMT) and help meet greenhouse gas (GHG) livability goals by shifting a sizeable share of trips to transit and active transportation modes. Study findings have also indicated that a congestion pricing mobility program can be financially self-sustaining.

In the previous decade, there have been numerous examples of successful congestion pricing projects internationally. The most common congestion pricing tool is a cordon or area charge on vehicles within a defined geographic boundary. Key examples include London, Stockholm, and Milan. In all of these cities, congestion charges are intended to reduce vehicular traffic and encourage the use of alternative modes.

Related complementary pricing initiatives have taken the form of low-emission and more recently, ultra-low emission zones (ULEZ), as implemented within the same area of central London as the Congestion Charge. Most vehicles, including cars and vans, must meet the ULEZ emissions standards or be subject to a daily charge to drive within the zone with different rate structures depending on type and size of vehicles. This is in addition to the Congestion Charge. As road pricing strategies and more generally, incentives for travel behavior have gained greater interest in the region, opportunities exist to explore broader concepts of pricing including ones that integrate low-emission/emission-free zones.

As the region plans for a future that is healthier and more prosperous, various policies and approaches including pricing concepts that integrate low-emission/emission-free zones will need to be explored with several major goals and objectives:

- Promote a balanced transportation system by encouraging residents and visitors to consider their travel choices;
- Reduce congestion;

- Increase the use of transit and active transportation;
- Improve quality of life; and
- Reduce VMT/VHT, criteria pollutants, and GHG emissions.

The comprehensive public engagement strategy performed by the consultant will provide a thorough equity focused foundation that will support efforts to meet these goals and objectives.

Project’s Benefits & Key Deliverables:

The project’s benefits and key deliverables include, but are not limited to:

- The consultants will conduct extensive outreach with a variety of CBOs and other stakeholders on the equity impacts of different types of congestion pricing, including low emission and ultra-low emission zones. This outreach will include gathering and analysis of public opinion on the impact of pricing programs and investments that can address resulting equity concerns;
- The consultants will develop and apply a methodology to determine the impact of potential pricing policies on households of varying income levels. The analysis will also consider the impact, as may be applicable, on different types of trips (e.g., employment trips, shopping trips, and recreational or social trips), and the impacts on air quality and health at different geographic scales;
- The consultants will hold up to 4 public workshops or demonstrations to provide education on various pricing strategies, and encourage public participation in informed dialogue on the development of future innovative pricing strategies throughout the region;
- The consultant will develop a final report, documenting steps taken to date, including outreach activities conducted and results, and identification of next steps required. The consultant will also develop and execute a media strategy to support engagement, and provide creative assets for SCAG and member cities to employ for ongoing awareness after completion of the contract performance.

Strategic Plan:

This item supports SCAG’s Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians; Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy; Goal 4: Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration; and Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

Contract Amount:

Total not to exceed	\$540,000
ELA (prime consultant)	\$162,155
Sam Schwartz (subconsultant)	\$80,088
Community Arts Resources (subconsultant)	\$87,164
Toole Design Group, LLC (subconsultant)	\$14,921
Investing in Place (subconsultant)	\$25,456
Momentum Solutions Team, LLC (subconsultant)	\$48,504
Nelson/Nygaard Consulting Associates, Inc. (subconsultant)	\$121,712

Contract Period:

Notice to Proceed through June, 30, 2020

Project Number(s): 145-4817H1.01 \$432,000
 145-4817H1.01 \$108,000

Funding source(s): Caltrans Partnership grant, and Transportation Development Act (TDA), Other Specify.

Request for Proposal (RFP): SCAG staff notified 2,249 firms of the release of RFP 20-003 via SCAG’s Solicitation Management System website. A total of 50 firms downloaded the RFP. SCAG received the three (3) proposals in response to the solicitation. However, one proposal was non-responsive and therefore not considered. SCAG received the following two (2) responsive proposals:

Estolano LeSar Advisors (6 subconsultants)	\$540,540
Guidehouse LLP (3 subconsultants)	\$1,183,007

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the two (2) highest ranked offerors.

The PRC consisted of the following individuals:

Annie Nam, Manager of Goods Movement and Transportation Finance, SCAG
 Jaimee Lederman, Senior Regional Planner, SCAG
 Jonathan Palacio, Associate Transportation Planner, Caltrans District 7

Basis for Selection: The PRC recommended Estolano LeSar Advisors for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically presenting a work plan that enables engagement with diverse community groups and stakeholders for outreach, and employs iterative feedback to adjust the outreach campaign to address emerging concerns.
- Offers a highly experienced consultant team with extensive knowledge and experience conducting outreach throughout the SCAG region, and includes consultants experienced with analysis of the impacts of innovative pricing strategies in other regions;
- Provides the best overall value for the project based upon allocation of resources for project tasks, additional approaches for task deliverables, and has the lowest cost.

**Conflict Of Interest (COI) Form - Attachment
For October, 3, 2019 Regional Council Approval**

Approve Contract No. 20-003-C01 in an amount not to exceed \$540,000 with Estolano LeSar Advisors, to develop and implement a comprehensive public engagement strategy, related to regional congestion pricing, subject to final review by SCAG Internal Audit and negotiation.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form they submitted with its original proposal (Yes or No)?
Estolano LeSar Advisors (prime consultant)	No - form attached
Sam Schwartz (subconsultant)	No - form attached
Community Arts Resources (subconsultant)	No - form attached
Toole Design Group, LLC (subconsultant)	No - form attached
Investing in Place (subconsultant)	No - form attached
Momentum Solutions Team, LLC (subconsultant)	No - form attached
Nelson/Nygaard Consulting Associates, Inc. (subconsultant)	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-003

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Estolano LeSar Advisors

Name of Preparer: Cecilia V. Estolano

Project Title: SCAG Mobility Innovations and Pricing

RFP Number: 20-003 Date Submitted: 08/15/2019

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Cecilia V. Estolano, hereby declare that I am the (position or title) Co - CEO of (firm name) Estolano LeSar Advisors, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 08/15/2019 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

08/15/2019

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-003

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Sam Schwartz Engineering, DPC
Name of Preparer: Joe Iacobucci
Project Title: Mobility Innovations and Pricing
RFP Number: 20-003 **Date Submitted:** August 19, 2019

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Joe Iacobucci, hereby declare that I am the (position or title) Principal of (firm name) Sam Schwartz, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated August 15, 2019 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer (original signature required)

August 15, 2019

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 20-003

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Community Arts Resources
Name of Preparer: Tamara O'Connor
Project Title: Mobility Innovations and Pricing
RFP Number: No. 20-003 Date Submitted: 8/14/19

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

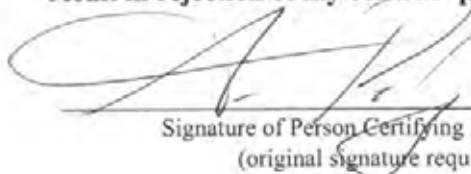
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Aaron Paley, hereby declare that I am the (position or title) President of (firm name) Community Arts Resources and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/14/19 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



 Signature of Person Certifying for Proposer
 (original signature required)

8/14/19

 Date

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SCAG CONFLICT OF INTEREST FORM

RFP No. 20-003

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Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Toole Design Group, LLC
Name of Preparer: Julie Albright
Project Title: Mobility Innovations and Pricing
RFP Number: 20-003 **Date Submitted:** August 19, 2019

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Roswell Eldridge, hereby declare that I am the (position or title) Chief Operating Officer of (firm name) Toole Design Group, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated August 19, 2019 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


 _____ August 19, 2019
 Signature of Person Certifying for Proposer Date
 (original signature required)

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SCAG CONFLICT OF INTEREST FORM

RFP No. 20-003

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Investing in Place
Name of Preparer: Jessica Meaney
Project Title: Mobility Innovations and Pricing
RFP Number: 20-003 Date Submitted: 08/19/19

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Jessica Meaney, hereby declare that I am the (position or title) Executive Director of (firm name) Investing in Place, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 08/19/19 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



08/19/19

Signature of Person Certifying for Proposer
(original signature required)

Date

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SCAG CONFLICT OF INTEREST FORM

RFP No. 20-003

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Momentum Solutions Team, LLC
Name of Preparer: David Kietzman
Project Title: Mobility Innovations and Pricing
RFP Number: 20-003 **Date Submitted:** 8/15/19

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

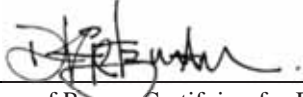
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) David Kietzman, hereby declare that I am the (position or title) Managing Partner of (firm name) Momentum Solutions Team, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/15/19 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 _____ Signature of Person Certifying for Proposer (original signature required)	_____ 8/15/19 Date
--	--------------------------

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SCAG CONFLICT OF INTEREST FORM

RFP No. 20-003

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Nelson\Nygaard Consulting Associates, Inc.

Name of Preparer: Leah Treat, Managing Director

Project Title: Mobility Innovations and Pricing

RFP Number: 20-003 Date Submitted: 8/26/19

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Leah Treat, hereby declare that I am the (position or title) Managing Director of (firm name) Nelson\Nygaard Consulting Associates, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/20/19 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 _____ Signature of Person Certifying for Proposer (original signature required)	<u>8/20/19</u> _____ Date
--	---------------------------------

NOTICE

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Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Community
Economic & Human Development Committee (CEHD)
Regional Council (RC)
From: Sarah Jepson, Director of Transportation, Planning Division,
213-236-1955, jepson@scag.ca.gov
Subject: Local Government Planning Support Grants Program

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION:

For Information Only – No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians. 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy. 4: Provide innovative information and value-added services to enhance member agencies’ planning and operations and promote regional collaboration.

EXECUTIVE SUMMARY:

AB 101 establishes the Local Government Planning Support Grants Program administered by the California Department of Housing and Community Development (HCD) for the purpose of providing regions with one-time funding. The legislation allocates \$250 million to HCD for councils of governments and jurisdictions to be used for technical assistance, the preparation and adoption of planning documents, process improvements to accelerate housing production and to facilitate the compliance with the 6th cycle of RHNA. In addition, all cities and counties in compliance with state housing law will receive between \$65,000 and \$1.5 million based on population for purposes of housing planning.

SCAG is expected to be eligible for approximately \$50 million to administer our RHNA and provide planning services to our cities and counties. Staff will share the proposed timeline and preliminary concepts for developing a program framework and funding proposal to be submitted to HCD for approval.

BACKGROUND:

With AB 101 resources, SCAG has the opportunity to foster housing production and preservation through regional planning and by providing technical and financial assistance to subregions and cities for housing and infrastructure planning activities and process improvements. Staff will pursue

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous

activities in the current fiscal year to establish the foundation for a robust housing program, including preparing a program framework and funding proposal to be provided to HCD no later than January 31, 2021 per state law. To accelerate program development and delivery, if enabled by HCD processes, SCAG intends to pursue the following activities to submit an application for funding as early as Spring 2020.

Draft Schedule

October-January 2020: Outreach & Program Development

- Assemble and conduct best practices research in partnership with the building community and local universities
- Targeted outreach and stakeholder engagement to assess local needs and funding gaps
- Monitor and provide input on state funding guidelines prepared by HCD, expected by January 2020
- Provide presentations to CEHD and Policy Committees on challenges and opportunities for planning and producing housing; feature housing strategies at annual Economic Summit
- Explore alignment of SCAG AB 101 resources with other state and regional funding to optimize resources allocated through the Local Government Planning Support Grants Program

January 2020-June 2020: Draft Program Framework & Guideline Development

- Draft and seek board approval of program framework and HCD funding proposal
- Develop program guidelines and application for resources to be sub-allocated or competitively awarded to eligible applicants.
- Ongoing outreach and engagement with policy committees and stakeholders

July 2020-December 2023: Program Implementation

- Finalize guidelines for resources to be sub-allocated or competitively awarded.
- Secure staff and consultant resources to deliver program elements.
- Select and implement projects through competitive call for proposals, if applicable
- Prepare and administer funding agreements with partners receiving resources through direct allocation.
- Program delivery and reporting. All funds to be expended by December 31, 2023.

Draft Program Concepts

Based on preliminary discussions with policy members and stakeholders, staff has identified the following program objectives for consideration and discussion:

Draft Program Objectives

- Align resources with allocation methodology for the 6th Cycle of RHNA to support local jurisdictions in addressing identified housing needs
- Advance Connect SoCal sustainable development goals including supporting local jurisdictions in promoting housing in priority growth areas to increase access to jobs and transit and reduce environmental impacts
- Maximize funding allocations by providing technical assistance and capacity building necessary to support local agencies in applying for and expending program resources
- Direct resources toward programs and activities that complement and increase the competitiveness of the SCAG region for other funding programs, including by increasing the number of jurisdiction that are designated by HCD as “pro-housing”, as specified in AB 101, qualifying them to receive additional points in the scoring of program applications for housing and infrastructure programs pursuant to guidelines adopted by HCD.
- Build longer term capacity at SCAG and in the region to address housing issues, including by:
 - Facilitating compliance with state housing law
 - Defining a sustainable role for SCAG that marries housing with transportation objectives
 - Strengthening regional/sub-regional partnerships, collaborations and funding models
 - Establishing regional/subregional vision as basis for future funding

Draft Program Areas and Funding Categories

Staff has also identified several funding categories based on AB 101 requirements and stakeholder feedback to further these program objectives. The draft funding categories are intended to provide the basis for more rigorous discussion and engagement on SCAG’s housing program framework. Funding categories may include:

Direct Allocations tied to RHNA Methodology

Recognizing the challenge local jurisdictions will have in planning for the unprecedented number of units identified in the 6th RHNA cycle, staff anticipates dedicating a significant portion of new funding resources to cities and counties through direct allocations based on the number of units assigned in the 6th cycle. Staff proposes the funding formula give special consideration and additional resources to jurisdictions in job and transit rich areas that are anticipated to receive allocations exceeding their 2045 growth forecast. In addition, all eligible jurisdictions could be considered for allocations to augment or support local agencies in accessing local AB 101 funding shares. Subregional councils of governments working in partnership with local jurisdictions could also serve as funding partners to achieve economies of scale and provide additional capacity in expending resources allocated to each jurisdiction in this funding category.

Competitive Grant Program

Following the model of SCAG's successful Sustainable Communities Program, staff proposes to allocate a portion of the funding for a competitive call for proposals to advance regional significant and innovative proposals for addressing the region's housing crisis in concert with Connect SoCal goals. Funding eligibility and priorities would be defined in a set of guidelines to be developed through a robust stakeholder engagement process and in full consideration of eligible funding activities outlined by HCD.

Regional Planning and Technical Assistance

Staff proposes a sub-set of the resources be set aside to support regional planning activities and the development of analytical and policy development tools and resources that enable local agencies to implement housing solutions. These resources could be used for pilot projects and case studies to test new concepts for accelerating housing production or follow the model of Go Human, SCAG's successful active transportation program, and include the development of community outreach resources or model ordinances that can be easily tailored to meet the unique needs of local jurisdictions.

In addition to covering direct program expenses, staff anticipates allocating a small portion of the funds to cover administrative costs associated with delivering activities that would be included in the Local Government Planning Support Grant Program and to reimburse expenses associated with 6th RHNA cycle.

The draft schedule and program concepts are provided as a starting point for engagement with policy committees and stakeholders and will be refined over the coming months based on HCD program guidelines and to align with the final RHNA allocation methodology and draft Connect SoCal.

FISCAL IMPACT:

Funding for staff work is included in FY 19-20 Overall Work Program under Work Element 810-0120.16 (Planning Coordination).



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Energy & Environment Committee (EEC)
Regional Council (RC)
From: Rongsheng Luo, Program Manager II, Planning Division, (213)
236-1994, LUO@scag.ca.gov
Subject: Final Federal Safer, Affordable, Fuel-Efficient Vehicles Rule
Part I (to be distributed under separate cover)

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION FOR EEC:
For Information Only – No Action Required.

RECOMMENDED ACTION FOR RC:
Receive and File

STRATEGIC PLAN:
This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:
As Part I of the final Safer, Affordable, Fuel-Efficient Vehicles (SAFE) Rule, the U.S. Department of Transportation’s National Highway Traffic Safety Administration (NHTSA) and the U.S. Environmental Protection Agency (EPA) issued a widely anticipated and highly political and controversial final “One National Program Rule” (Rule) on September 19, 2019. The final Rule is designed to preempt state and local tailpipe greenhouse gas emissions standards, zero emission vehicle mandates, and fuel economy for automobiles and light duty trucks. The rule preempt California’s Advanced Clean Car Regulations and may potentially impact SCAG’s Connect SoCal under development, the upcoming 2021 Federal Transportation Improvement Program (FTIP), and transportation projects in the SCAG region.

A supplemental staff report under separate cover will include additional background information, a summary of the major actions in the final Rule, its transportation conformity implications, SCAG staff’s effort to date, and anticipated next steps.

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Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Regional Council (RC)

From: Basil Panas, Chief Financial Officer, Contracts, 213-236-1817,
panas@scag.ca.gov

Subject: Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 -
\$199,999 and Amendments \$5,000 - \$74,999

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

For Information Only - No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

BACKGROUND:

SCAG executed the following Purchase Orders (PO's) more than \$5,000 but less than \$200,000

Daily Journal Corporation	RHNA Notice Publications	\$27,799
UCLA Conference Center	Lake Arrowhead symposium	\$20,000
Softwareone Inc.	FY20 VMware Renewal	\$19,487
Esri	FY20 ESRI Software Maintenance	\$15,501
CDW Government, Inc.	FY20 Cisco Maintenance and Support	\$11,410
Los Angeles County Business Federation	FY20 SCAG membership	\$11,000
Green Translations	FY20 Translation Service	\$10,000
U.S. Postal Service	FY20 Postage	\$10,000
CDW Government, Inc.	VEEAM Software Renewal	\$9,470
KC's Mediterranean Grill	RC Meeting Provisions	\$8,000
Pacific Snow Valley Resort LLC	EAC Retreat Hotel Accommodation	\$7,410
SEI Inc. dba Service Express Inc.	FY20 HP Hardware Support	\$7,047
Majestic Marketing Dbagmasters	GA Lunch Bags	\$6,787
Mailfinance	FY20 Mail machine lease	\$5,001
United Parcel Service(Ups)	FY20 Courier Service	\$5,000

OUR MISSION

To foster innovative regional solutions that improve the lives of Southern Californians through inclusive collaboration, visionary planning, regional advocacy, information sharing, and promoting best practices.

OUR VISION

Southern California's Catalyst for a Brighter Future

OUR CORE VALUES

Be Open | Lead by Example | Make an Impact | Be Courageous



SCAG executed the following Contract more than \$25,000 but less than \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
Local Government Commission (20-011-C01)	The consultant in shall place students (Fellows) in SCAG member cities and the Fellows will provide capacity-building support to the cities through various research, planning and project implementation activities.	\$76,500

SCAG executed the Amendment more than \$5,000 but less than \$75,000

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
N/A	N/A	N/A

ATTACHMENT(S):

1. Contract 20-011-C01

CONSULTANT CONTRACT 20-011-C01

Recommended Consultant:	Local Government Commission
Background & Scope of Work:	Local governments have been and will continue to take a leading role in California’s response to climate change. However, budget constraints, the loss of key tools and funding sources, and limited technical familiarity with emerging tools and practices, pose significant challenges to local communities – large and small – as they seek to implement these efforts quickly and effectively. To help local governments overcome these obstacles, the consultant (LGC), in partnership with the Governor’s Office of Planning and Research, implements the CivicSpark Program to place students (Fellows) in SCAG member cities, and the Fellows will provide capacity-building support to the cities through various research, planning and project implementation activities.
Project’s Benefits & Key Deliverables:	The project’s benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none">• Providing capacity-building support to the cities through various research, planning and project implementation activities.
Strategic Plan:	This item supports SCAG’s Strategic Plan Goal 1: Produce innovative solutions that improve the quality of life for Southern Californians.
Contract Amount:	Total not to exceed \$76,500
Contract Period:	September 10, 2019 through September 2, 2020
Project Number(s):	065-0137E.10 \$76,500 Funding source: Transportation Development Act (TDA)
Request for Proposal (RFP):	Staff awarded a non-profit sole source contract to them pursuant to State of California Contracts Manual, Sections 3.15 and 3.17 regarding Subvention and Local Assistance Contracts.
Selection Process:	The LGC is the only agency that has the CivicSpark Americorps Program which is a partnership with the State of California Governor's Office of Planning & Research. LGC, through the CivicSpark Program, works with organizations to address climate change matters in their respective areas and provides capacity-building support to local governments through research, planning and project implementation activities. The Sustainability Database maintained by the CivicSpark Fellows tracks a number of key metrics for SCAGs RTP/SCS, such as active transportation plans and projects, complete streets plans and projects, in fill development, and clean fuel adoption by municipal fleets. This data helps SCAG meet the SB 375 target and helps member cities meet their VMT and GHG reduction goals. LGC provides funding to place a CivicSpark fellow in a public agency for 1,300 hours per year and pays 50% of the costs and the agency (SCAG) pays the remaining 50%. SCAG funded 3 positions from September 2019 through September 2020.

Attachment: Contract 20-011-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999)

Basis for Selection:

As previously stated, given Local Government Commission's unique niche expertise, staff awarded a non-profit sole source contract to them pursuant to State of California Contracts Manual, Sections 3.15 and 3.17 regarding Subvention and Local Assistance Contracts.

Attachment: Contract 20-011-C01 (Purchase Orders \$5,000 - \$199,999; Contracts \$25,000 - \$199,999 and Amendments \$5,000 - \$74,999)



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Community
Economic & Human Development Committee (CEHD)
Energy & Environment Committee (EEC)
Transportation Committee (TC)
Regional Council (RC)
From: Anita Au, Associate Regional Planner, Compliance &
Performance Monitoring, 213-236-1874, Au@scag.ca.gov
Subject: Connect SoCal Environmental Justice Report

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION FOR EEC:

For Information Only – No Action Required

RECOMMENDED ACTION FOR TC, CEHD and RC:

Receive and File

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

EXECUTIVE SUMMARY:

Following the success of the 2016 RTP/SCS Environmental Justice Appendix, SCAG staff have been working on updating and improving the Connect SoCal Environmental Justice (EJ) Report with the input received from the Environmental Justice Working Group, Connect SoCal Workshops and various other EJ stakeholder engagement. The Connect SoCal EJ Report conducts analyses on the existing 18 performance indicators in three EJ-related areas. Enhancements of the report include 1) reorganizing the 18 performance indicators into relatable categories for easier reading, 2) adding General Plan Element indicators to help local jurisdiction easily use the EJ Report for their General Plan updates, 3) improving and expanding on the technical analysis on various performance indicators and 4) significantly revising and expanding the EJ Toolbox. After the adoption of Connect SoCal, staff will continue to improve on the EJ outreach and analysis by means of continuing the Environmental Justice Working Group to seek out collaborative solutions for our regional goals of environmental justice.

BACKGROUND:

Consideration of EJ in the transportation planning process stems from Title VI of the Civil Rights Act

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of 1964. Title VI establishes the need for transportation agencies to disclose to the public the benefits and burdens of proposed projects on minority populations. As a governmental entity that receives federal funding, SCAG is required to conduct an EJ analysis for its regional transportation plan/sustainable community strategy. In addition to Federal requirements, SCAG must also comply with California Government Code Section 11135, which states that, “No person in the State of California shall, on the basis of race, national origin, ethnic group identification, religion, age, sex, sexual orientation, color, genetic information, or disability, be unlawfully denied full and equal access to the benefits of, or be unlawfully subjected to discrimination under, any program or activity that is conducted, operated, or administered by the state or by any state agency, is funded directly by the state, or receives any financial assistance from the state.” Therefore, SCAG’s EJ Program includes two main elements: public outreach and technical analysis. In the regional transportation planning context, SCAG’s role is to (1) ensure that low-income and minority communities have ample opportunity to participate in the decision-making process when transportation decisions are made and (2) identify whether such communities receive an equitable distribution of benefits and not disproportionate share of burdens. SCAG’s EJ analysis is based on extensive outreach to EJ stakeholders and developed through their input.

Following the success of the 2016 RTP/SCS Environmental Justice Appendix, SCAG staff have been working on updating and improving the Connect SoCal Environmental Justice (EJ) Report with the input received from the Environmental Justice Working Group (EJWG), Connect SoCal Workshops and various other EJ stakeholder engagement. SCAG staff has held six (6) EJWG meetings since May 2018 which was when EJ outreach for Connect SoCal began. EJWG participants have provided valuable input on the areas for improvement in outreach and the organization of the report, and areas of enhancement for the performance indicators and the EJ Toolbox. Input on the EJ Report was also gathered during all the Connect SoCal Workshops in May and June 2019 through an interactive poster which included all the EJ performance indicators and asked participants to rank the top three areas they were most concerned with. SCAG staff also had additional communications and meetings with various EJ stakeholders for additional feedback on the development of the EJ Report. All comments were considered and many were implemented into the EJ Report.

The Connect SoCal EJ Report conducts analyses on the existing 18 performance indicators in three EJ-related areas. Based on feedback from the EJWG, the existing 18 performance indicators were reorganized into four categories with relatable questions to make for easier reading. The relatable questions are: (1) How will this impact quality of life; (2) how will this impact health and safety; (3) how will this impact the commute; and (4) how will this impact transportation costs. SCAG staff also added a new feature to the report which includes an icon next to each performance indicators to indicate which General Plan Element is applicable for that performance indicator. Table 1 shows how the performance indicators are categorized with the applicable General Plan Elements.

Table 1: Connect SoCal EJ Report Performance Indicators

EJ Performance Indicators	General Plan Elements
How Will This Impact Quality of Life?	
Jobs-Housing Imbalance or Jobs-Housing Mismatch	Land Use; Housing
Neighborhood Change and Displacement	Land Use; Housing
Accessibility to Employment and Services	Land Use; Circulation
Accessibility to Parks	Land Use; Circulation; Conservation; Open Space
Proximity to Parks and Schools	Land Use; Circulation; Conservation; Open Space
How Will This Impact Health and Safety?	
Active Transportation Hazards	Land Use; Circulation; Safety
Climate Vulnerability	Land Use; Safety
Public Health	Safety
Noise Impact Analyses	Land Use; Circulation; Safety; Noise
Emissions Impact Analyses	Land Use; Circulation; Safety
Environmental Impacts in the Freeway Adjacent Areas	Land Use; Circulation; Safety
How Will This Impact The Commute?	
Distribution of Travel Time Savings and Travel Distance Reductions	Circulation
Rail-Related Impacts	Circulation
Share of Transportation System Usage	Circulation
How Will This Impact Transportation Costs?	
Benefits and Burdens	Land Use; Circulation
Impacts from Funding Through Mileage-Bases User Fees	Circulation

The feedback received from EJWG stakeholders helped shape the EJ report in numerous ways. Among the improvements, SCAG staff have also expanded on three specific performance indicators in the report: 1) Jobs-Housing Imbalance or Jobs-Housing Mismatch, 2) Neighborhood Change and Displacement and 3) Benefits and Burdens. Improvements for the three performance indicators are provided below:

1. As a part of the jobs-housing imbalance/mismatch analysis in the 2016 RTP/SCS, SCAG conducted the Jobs-Housing Fit (JHFIT) analysis for cities in the SCAG region, based on the JHFIT methodology developed by UC Davis Center for Regional Change. For Connect SoCal, SCAG applied an updated JHFIT methodology that characterizes low-wage jobs-housing fit at both a jurisdiction and the census tract scale, by examining a ratio between low-wage jobs and affordable rental units. In contrast to overall jobs-housing balance, the low-wage fit analysis is helpful to highlight those jurisdictions and neighborhoods where there is a substantial shortage of affordable housing in relation to the number of low-wage jobs. To

conduct the JHFIT analysis, SCAG employed publicly available data on job numbers from the LEHD Origin-Destination Employment Statistics (LODES) and housing numbers from the 2013–2017 American Community Survey (ACS) 5-Year Estimates.

2. For neighborhood change and displacement analysis, four variables such as education, race/ethnicity, household income and growth rent were applied to analyze gentrification for almost four decades from 1980 to 2017. To analyze displacement, ACS Public User Microdata Sample (PUMS) data were used to see migration flows in the region. Staff also collaborated with Dr. Rodnyansky of Occidental College, who has access to franchise tax board data to analyze mover types by transit and non-transit neighborhood.
3. SCAG Staff has expanded on the benefits and burden analysis by including a brief description and qualitative analysis of Senate Bill 1 (SB1), which is the Road Repair and Accountability Act of 2017, signed into law on April 2017. The bill increases state funding for different transportation segments—roads, freeways, bridges, transit, and safety—from various state transportation taxes and fees, including gasoline excise taxes, diesel excise and sales taxes, and vehicle taxes and fees. Staff has provided a comparative analysis between SB1 and user-based mileage fees, which is a long-term replacement to the gasoline tax. The gas tax is inherently regressive and adversely impact low-income and minority population who have been always negatively and disproportionately impacted. However, Connect SoCal continues to advocate the user based image fees after 2030 to replace gasoline taxes and with its success, will completely reverse the EJ concerns with gasoline taxes.

Building on the previous EJ Toolboxes, SCAG staff has significantly expanded and improved on the EJ Toolbox for the Connect SoCal EJ Report. The toolbox underwent two rounds of intensive review from the EJWG and other SCAG staff with specific expertise relating to specific sections of the toolbox. SCAG staff has incorporated most, if not all, comments into the toolbox and will continue to do so after the adoption of Connect SoCal in April 2020. The Connect SoCal EJ Toolbox is intended to be a dynamic document that will change and update to have the most relevant and applicable recommendations and resources. The toolbox provide recommended practices and approaches to local jurisdictions and EJ stakeholders to address potential impacts to impacted communities. The toolbox provides optional policy and strategy recommendations but can be used as a resource document for local jurisdictions with disadvantaged communities to comply with SB 1000 requirements, which requires all local jurisdictions in California with disadvantaged communities to develop an EJ Element or include EJ goals, policies and objectives in their General Plans. The toolbox can also be used as a resource for EJ community organizations to advocate for solutions for impacted communities. The format follows the organization of the EJ Report Performance Indicators with consideration of the Governor’s Office of Planning and Research’s (OPR’s) required contents in an EJ Element of a General Plan and includes applicable General Plan Element icons used throughout the EJ Report. The EJ Toolbox sections include:

-
- Healthy, Safe and Sanitary Housing
 - Access to Essential Services and Facilities
 - Active Living, Active Transportation and Physical Activity
 - Climate Vulnerability and Resiliency
 - Roadway and Aviation Noise Impacts
 - Air Quality and Air Pollution Exposure Impacts
 - Impacts of Road Pricing Mechanisms
 - Community Outreach and Engagement
 - Other Policy Recommendations for Environmental Justice Impacts

SCAG staff will continue to work on the Connect SoCal EJ Report in anticipation of the November 2019 draft release of Connect SoCal. After the draft release in November 2019 and final release and adoption in April 2020, SCAG staff will continue to convene the EJWG in support of an ongoing EJ Program. As the goals of the EJ Program is to provide a platform for EJ stakeholders to discuss EJ related issues and develop collaborative solutions for regional goals, SCAG staff will foster information sharing with and seek input from the EJWG to help identify potential solutions to incorporate into future plans or policies.

FISCAL IMPACT:

Work associated with this item is included in the Fiscal Year 2019-2020 Overall Work Program (20-020.0161.06: Environmental Justice Outreach and Policy Coordination).

ATTACHMENT(S):

1. PowerPoint Presentation on Connect SoCal Environmental Justice



Environmental Justice Report

Update

Energy and Environment Committee

October 3, 2019

www.scag.ca.gov



Environmental Justice Program

Update

Anita Au

Compliance and Performance Monitoring

October 3, 2019

www.scag.ca.gov



EJ Regulatory Compliance



- Title VI of the Civil Rights Act of 1964
 - Disclose benefits and burdens of proposed projects on minority populations
- California Government Code Section 11135
 - Any state funded program or activity cannot deny access to benefits or discriminate against specific populations

SCAG's EJ Program



- Main Elements:
 - Public Outreach
 - Technical Analysis
- Regional Transportation Planning Context
 - Ensure that low-income and minority communities have ample opportunity to participate in the decision-making process when transportation decisions are made
 - Identify whether such communities receive an equitable distribution of benefits and not disproportionate share of burdens

EJ Toolbox Purpose



- Building on previous EJ Toolboxes
- Provide toolbox of recommended practices and approaches to address potential impacts to environmental justice communities
- Optional policy and strategy recommendations
- Resource document for local jurisdictions with disadvantaged communities to comply with SB 1000 requirements
- Resource for EJ community organizations when advocating for solutions for EJ-related community issues

2016 EJ Toolbox



- Access to Parks, Schools, Shopping, Employment
- Air Quality Impacts Along Freeways and Heavily Traveled Corridors
- Active Transportation Hazards
- Gentrification and Displacement
- Public Health Impacts
- Rail-Related Impacts
- Road Pricing Mechanisms
- Noise Impacts

Connect SoCal EJ Toolbox Sections



- Healthy, Safe and Sanitary Housing
- Access to Essential Services and Facilities
- Active Living, Active Transportation and Physical Activity
- Climate Vulnerability and Resiliency
- Roadway and Aviation Noise Impacts
- Air Quality and Air Pollution Exposure Impacts
- Impacts of Road Pricing Mechanisms
- Community Outreach and Engagement
- Other Policy Recommendations for Environmental Justice Impacts

Connect SoCal EJ Toolbox Sections



- **Healthy, Safe and Sanitary Housing**
- Access to Essential Services and Facilities
- **Active Living, Active Transportation and Physical Activity**
- **Climate Vulnerability and Resiliency**
- Roadway and Aviation Noise Impacts
- **Air Quality and Air Pollution Exposure Impacts**
- Impacts of Road Pricing Mechanisms
- Community Outreach and Engagement
- Other Policy Recommendations for Environmental Justice Impacts

Healthy, Safe and Sanitary Housing



- Promote healthy, safe and sanitary housing focusing on three components: housing condition, housing affordability and land-use compatibility
- Types of recommended practices and approaches:
 - More assistance programs
 - Equitable distribution of housing in DAC areas
 - Explore anti-displacement strategies
 - Increase affordability and availability
 - Promote awareness

Healthy, Safe and Sanitary Housing



Example Recommended Practices and Approaches:

- Consider replacement housing policies to minimize the displacement of low-income residents from demolished or converted units
- Provide public education and/or materials to educate residents on potential hazards that can lead to unhealthy housing conditions and encourage residents to take action
- Explore the applicability of community land trusts to preserve local land ownership
- Create homeowner assistance programs to assist low income families to purchase homes or prevent foreclosures

Active Living, Active Transportation and Physical Activity



- Remove barriers that lead to active lifestyles and increase access to active transportation networks which contribute to increased physical activity
- Includes two sections
 - Active Transportation and Public Health
 - Food Access
- Types of recommended practices and approaches:
 - Improvements and enhancements of existing infrastructure
 - Promote and increase awareness
 - More coordination and partnerships

Active Living, Active Transportation and Physical Activity



Example Recommended Practices and Approaches:

- Adopt and implement complete streets policies requiring jurisdictions to design streets that are safe and accessible for all modes of travel. Complete streets designs include traffic-calming measures as well as reallocation of street space to people walking and bicycling
- Develop or update transportation infrastructure, such as sidewalks, bicycle lanes and street lighting to encourage active transportation within communities
- Set up school- or community-based programs that integrate gardening and nutrition, and make the connection between healthy food choices and locally-grown fresh produce
- Encourage the development of healthy food establishments in areas with high concentrations of fast food establishments, convenience stores and liquor stores

Climate Vulnerability and Resiliency



- Reduce risk of hazardous impacts like extreme heat, flooding, wildfire, drought, and sea-level rise for all communities
 - Prevent risks to the built and natural environment
- Types of recommended practices and approaches:
 - Preventative measures
 - Improvements and enhancements of existing infrastructure
 - More coordination and partnerships
 - Adoption/implementation of new and innovative plans

Climate Vulnerability and Resiliency



Example Recommended Practices and Approaches:

- Support measures for extreme heat resiliency and adaptation like encourage more urban greening and forestry to increase tree and vegetation cover, create cool/green roofs, reduce impervious surfaces, use cool pavements, and provide cooling centers with reliable power sources
- Coordinate emergency response and transportation resources available to vulnerable communities and populations
- Require new developments in and near flood-prone areas to use permeable paving, rain gardens, and other low-impact development strategies to slow down floodwaters and promote groundwater infiltration especially in EJ communities who have less economic opportunity to move out of flood-prone areas

Air Quality and Air Pollution Exposure Impacts



- Avoid, reduce, or mitigate disproportionate air quality and air pollution impacts in low-income and minority populations, especially those living in close proximity to freeways, highly travelled corridors, ports, and logistics activities
- Types of recommended practices and approaches:
 - Encourage street and infrastructure design to avoid, reduce, or mitigate impacts
 - Manage or restrict pollution sources
 - Improvements and enhancements of existing infrastructure

Air Quality and Air Pollution Exposure Impacts



Example Recommended Practices and Approaches:

- Consider policies that can help reduce air pollution exposure like restrict number of pollution sources specifically in EJ communities, create monitoring systems or requirements to ensure pollution or exposure can be contained, or partner with local air management districts or community organizations to outreach to residents and gather input to establish mitigation monitoring programs
- Devise strategies to reduce traffic emissions like speed reduction in neighborhood streets like roundabouts and speed dumps, traffic signal synchronization, or speed limit reduction on high-speed roadways
- Recognize and actively promote and adopt policies to create a multimodal transportation system that reduces solo driving

Environmental Justice Report

Technical Updates

Tom Vo, Senior Regional Planner
Research & Analysis Department
EEC, October 3, 2019



Regional, Local, and Community Analysis

Regional Analysis

- Appropriate when determining system-wide impacts (e.g. Financial Benefits and Burdens, etc.)

Localized Analysis

- Appropriate for determining adverse impacts at the community level (e.g. emissions, noise, etc.)

Community Analysis

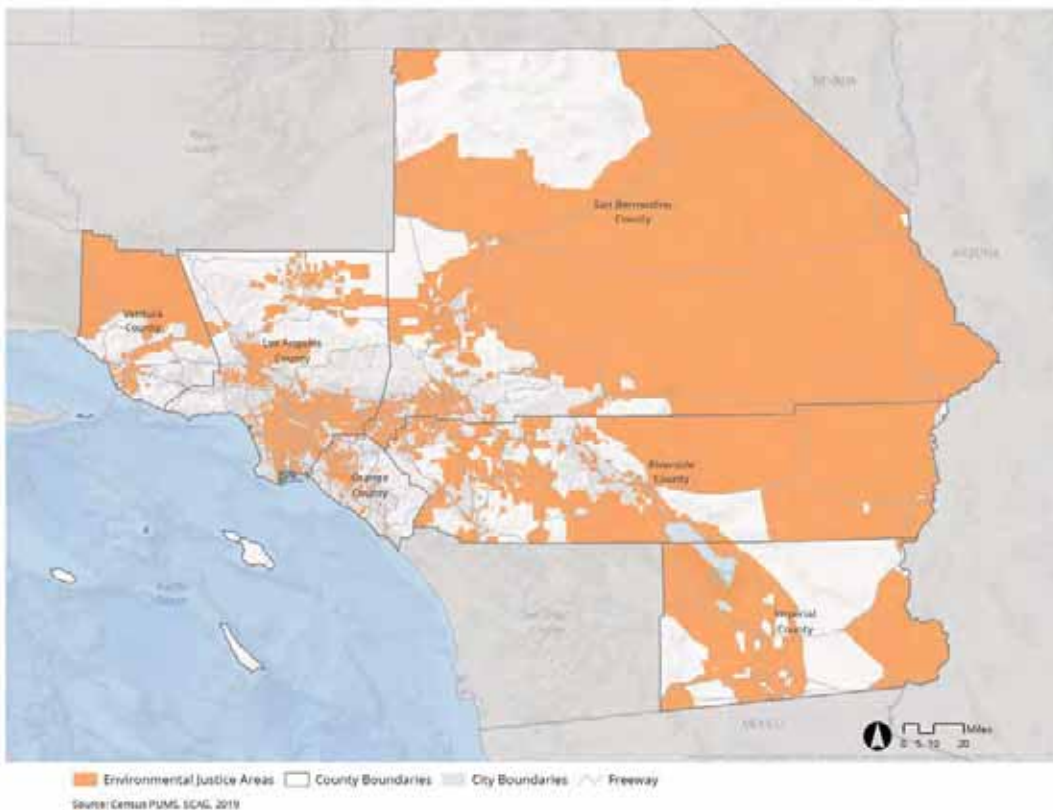
- Appropriate for tabulating impacts of the RTP/SCS in selected places according to a "Communities of Concern" approach (e.g. accessibility, traffic safety, etc.)



Community-Based Analysis

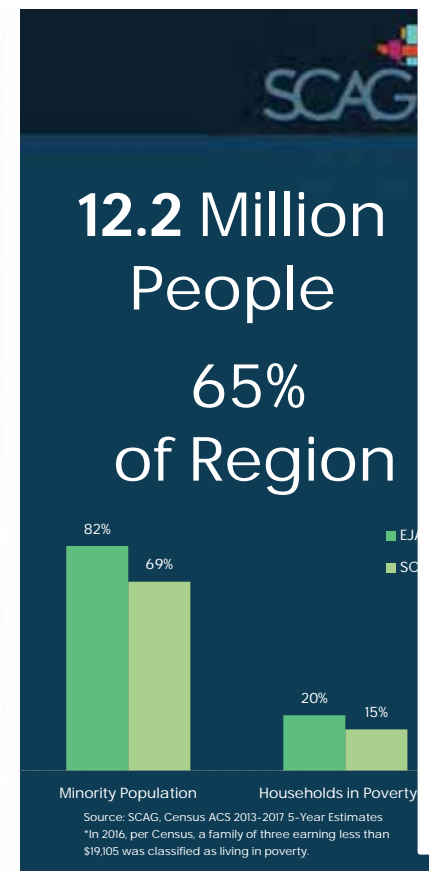


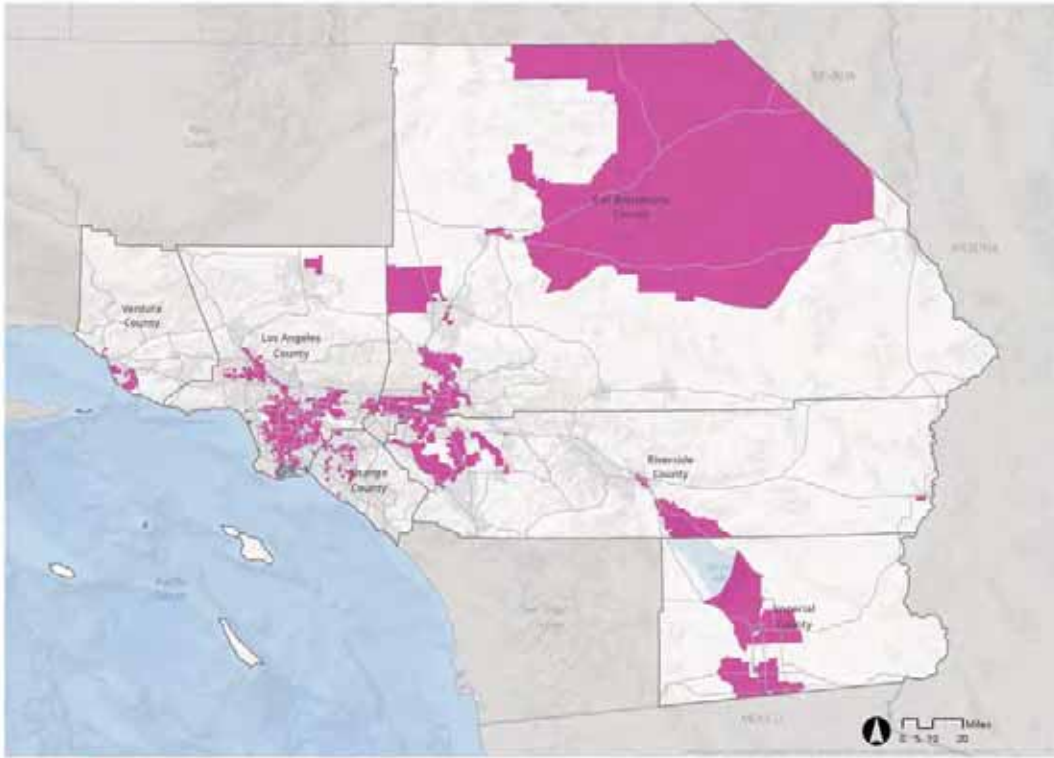
- **Environmental Justice Areas (EJA)** – Transportation Analysis Zones (TAZs), which are similar to block groups, that have a higher concentration of minority **OR** low income households than is seen in the region as a whole.
- **SB 535 Disadvantaged Communities (DAC)** – Census tracts that have been identified by Cal/EPA as Disadvantaged Communities (top 25% of CalEnviroScreen) based on the requirements set forth in SB 535
- **Communities of Concern (COC)** – Census Designated Places (CDPs) and City of Los Angeles Community Planning Areas (CPAs) that fall in the upper 1/3rd of all communities in the SCAG Region for having the highest concentration of minority population **AND** low income households



Map Title: EJ_areas

Q:\MHP_Ejia\2020\mwd\BACKGROUND ON TECHNICAL ANALYSIS\EJ_areas.mxd | Date: 7/24/2019

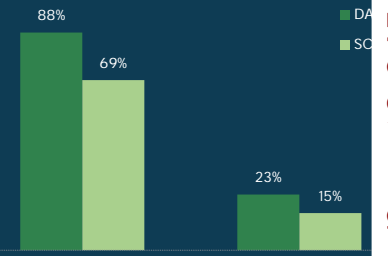




SB535 Disadvantaged Communities County Boundaries City Boundaries Freeway
 Source: OEHHA, CalEPA, CalEnviroScreen Ver. 3.0, SCAG, 2019

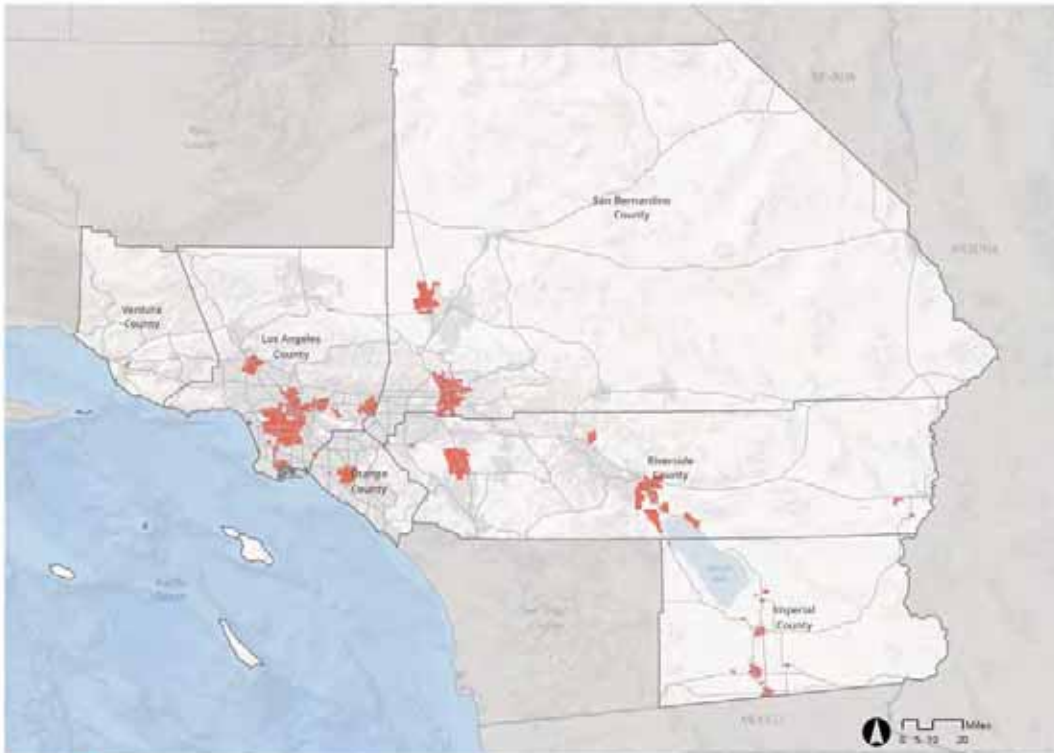
Map Title: SB535_Disadvantaged_Communities Doc:RTP_EJ1a(2020)mdf\BACKGROUND ON TECHNICAL ANALYSIS\SB535_Disadvantaged_Communities.mxd | Date: 7/24/2019

6.4 Million People
34% of Region



Minority Population Households in Poverty

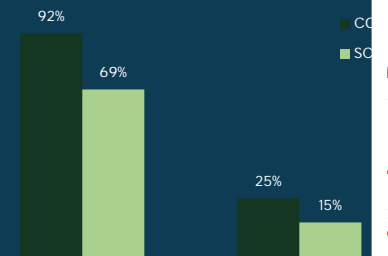
Source: SCAG, Census ACS 2013-2017 5-Year Estimates
 *In 2016, per Census, a family of three earning less than \$19,105 was classified as living in poverty.



Communities of Concern County Boundaries City Boundaries Freeway
 Source: 2013-2017 ACS 5 Year Estimates, City of Los Angeles Community Planning Area, SCAG, 2019

Map Title: Communities_of_Concern Doc:RTP_EJ1a(2020)mdf\BACKGROUND ON TECHNICAL ANALYSIS\Communities_of_Concern.mxd | Date: 7/24/2019

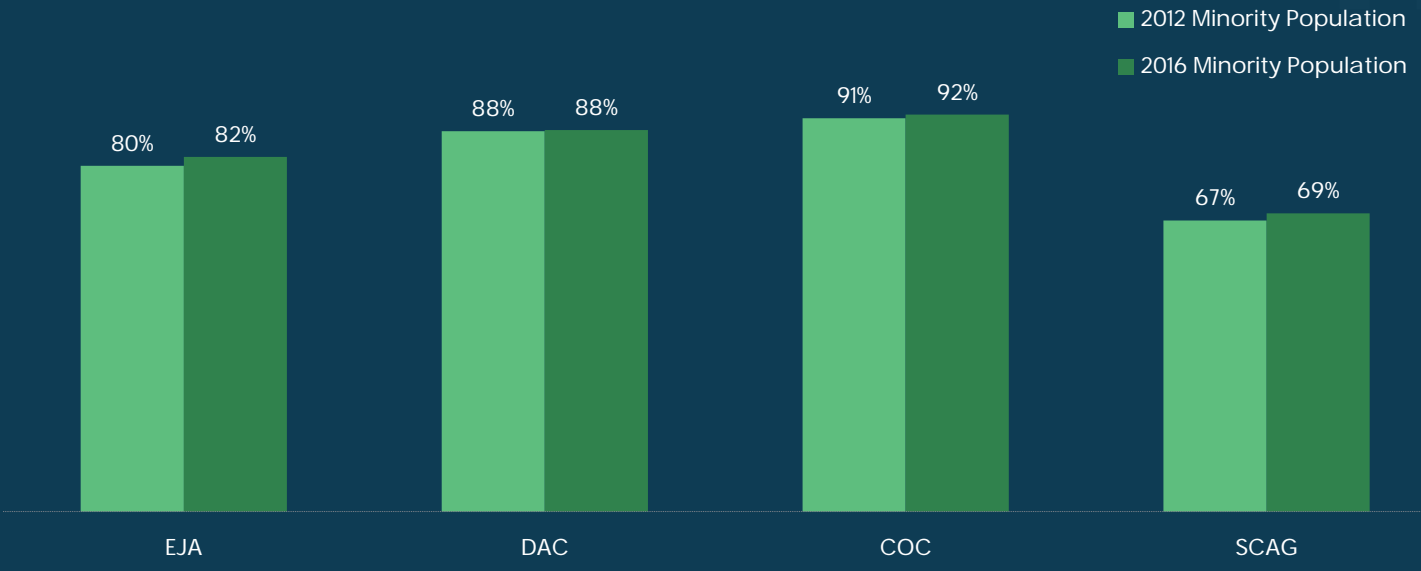
3.9 Million People
21% of Region



Minority Population Households in Poverty

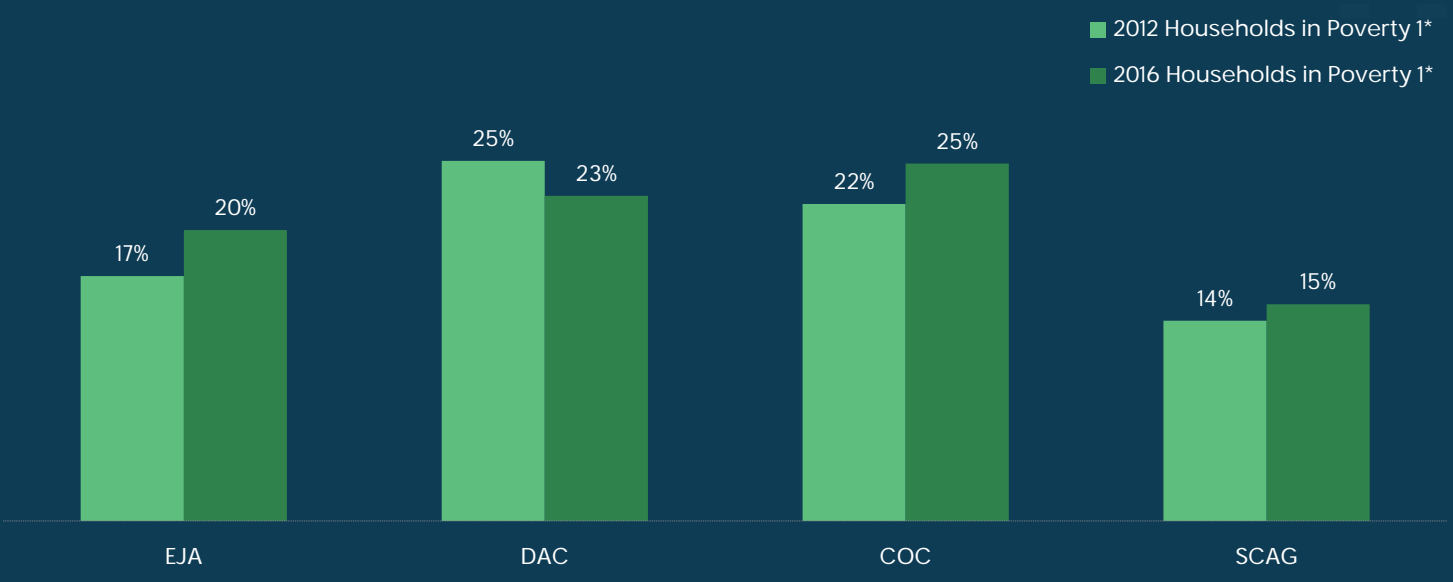
Source: SCAG, Census ACS 2013-2017 5-Year Estimates
 *In 2016, per Census, a family of three earning less than \$19,105 was classified as living in poverty.

Minority Population in EJ-Related Boundaries



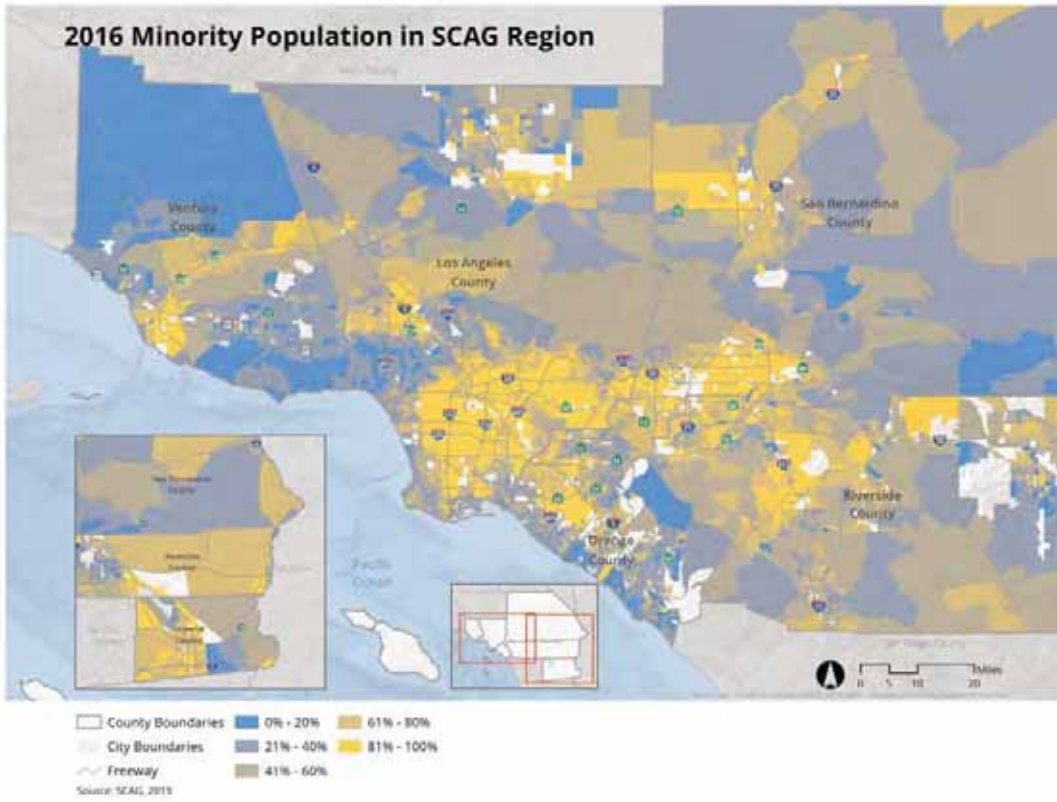
Source: SCAG, Census ACS 2013-2017 5-Year Estimates
 *In 2016, per Census, a family of three earning less than \$19,105 was classified as living in poverty.

Households in Poverty in EJ-Related Boundaries



Source: SCAG, Census ACS 2013-2017 5-Year Estimates
 *In 2016, per Census, a family of three earning less than \$19,105 was classified as living in poverty.

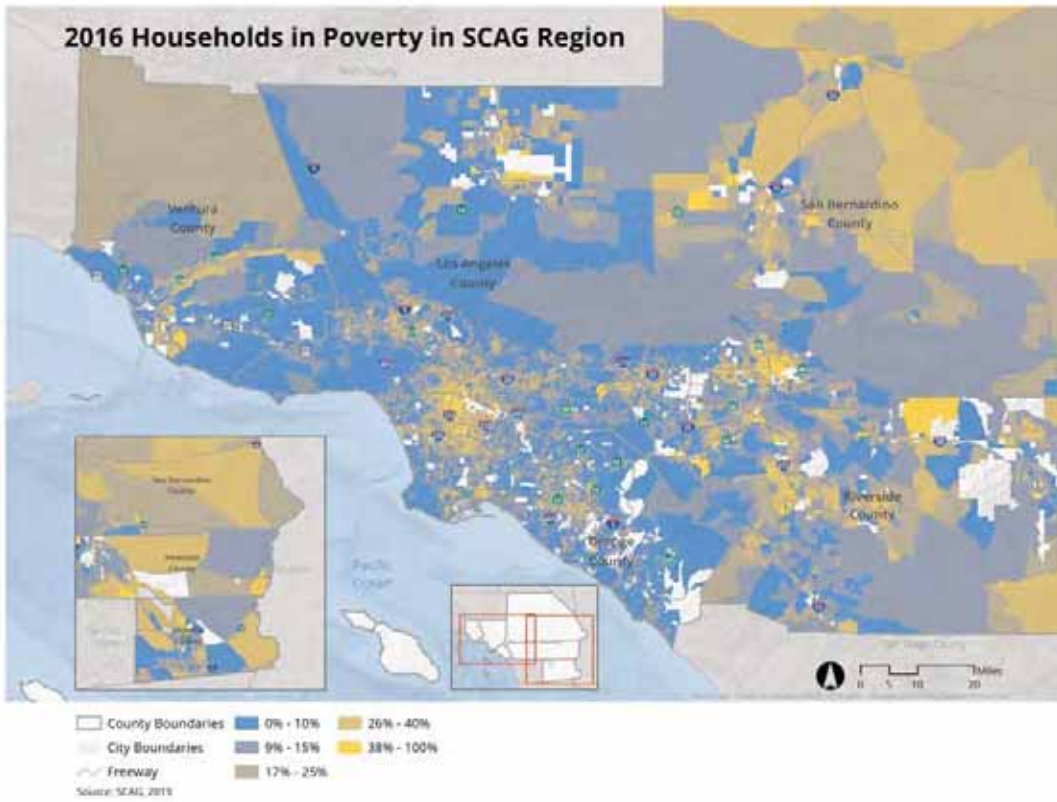
2016 Minority Population in SCAG Region



Map Title: Minority_Pop

C:\HRTP_12462017\mxd\BACKGROUND ON TECHNICAL ANALYSIS\Minority_Pop.mxd | Date: 8/13/2019

2016 Households in Poverty in SCAG Region



Map Title: HR_Poverty

C:\HRTP_12462017\mxd\BACKGROUND ON TECHNICAL ANALYSIS\HR_Poverty.mxd | Date: 8/13/2019



EJ Report Improvements



- Reorganizing the 18 performance indicators into relatable categories for easier reading
- Adding General Plan Element indicators to help local jurisdiction easily use the EJ Report for their General Plan updates (SB 1000)
- Improving and expanding on the technical analysis on various performance indicators

Performance Indicators

LU = Land Use
H = Housing
CIR = Circulation
CON = Conservation
OS = Open Space
S = Safety
N = Noise



1. How Will This Impact Quality of Life?

- Jobs-Housing Imbalance or Jobs-Housing Mismatch [LU] [H]*
- Neighborhood Change and Displacement [LU] [H]*
- Accessibility to Employment and Services [LU] [CIR]
- Accessibility to Parks [LU] [CIR] [CON] [OS]
- Proximity to Parks and Schools [LU] [CIR] [CON] [OS]

2. How Will This Impact Health and Safety?

- Active Transportation Hazards [LU] [CIR] [S]
- Climate Vulnerability [LU] [S]
- Public Health Impacts [S]

- Noise Impact Analyses [LU] [CIR] [S] [N]
- Emissions Impact Analyses [LU] [CIR] [S]
- Environmental Impacts in the Freeway Adjacent Areas [LU] [CIR] [S]

3. How Will This Impact The Commute?

- Distribution of Travel Time Savings and Travel Distance Reductions [CIR]
- Rail-Related Impacts [CIR]
- Share of Transportation System Usage [CIR]

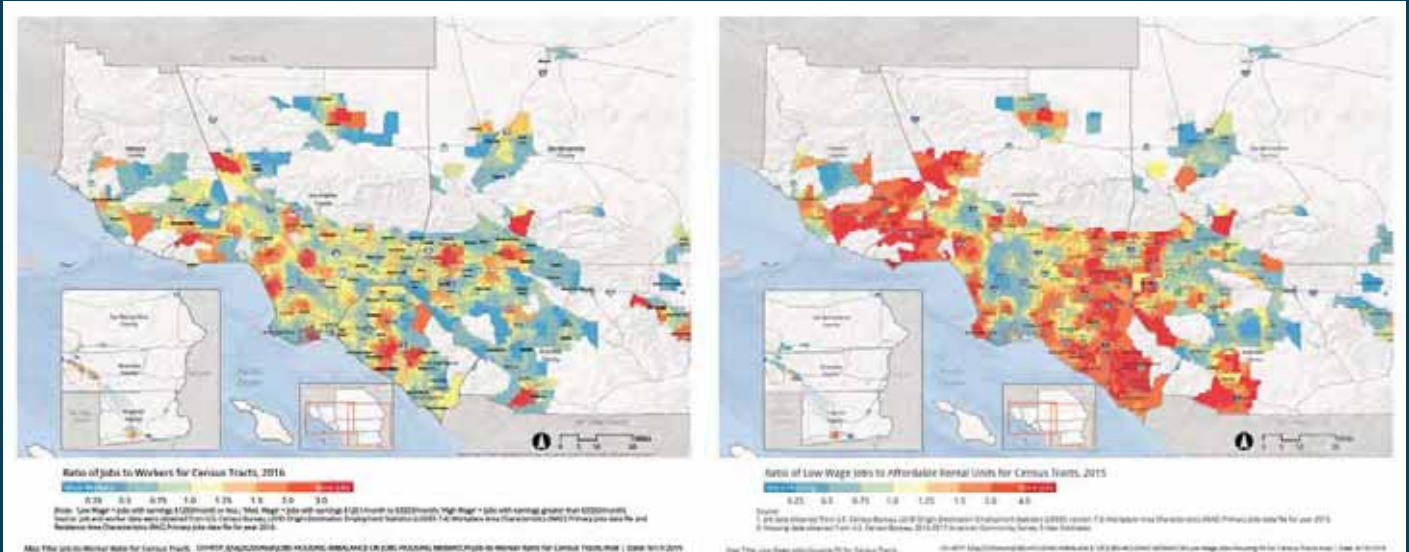
4. How Will This Impact Transportation Costs?

- Benefits and Burdens [LU] [CIR]*
- Impacts from Funding Through Mileage-based User Fees [CIR]

Jobs-Housing Imbalance or Jobs-Housing Mismatch [LU] [H]



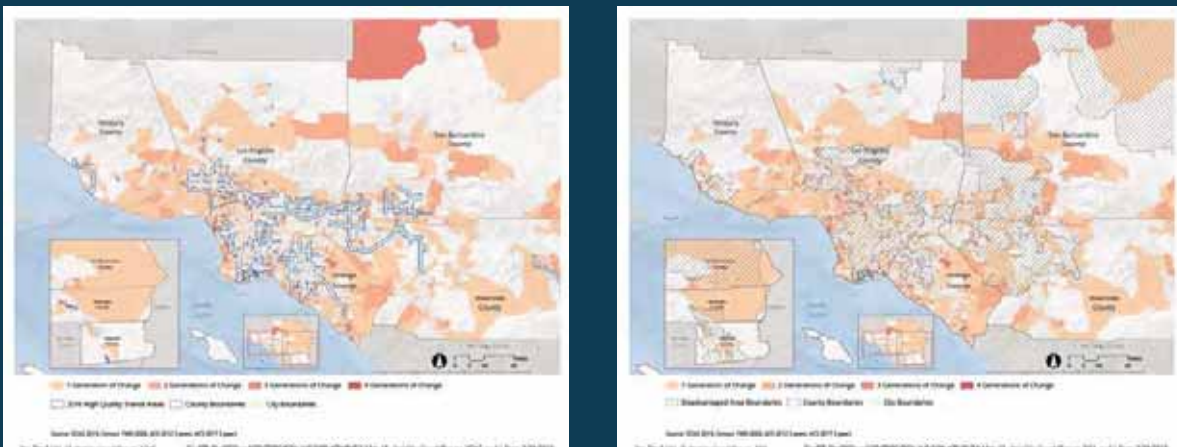
- Ratio between low-wage jobs and affordable rental units (jurisdiction and census tract scale)



Neighborhood Change and Displacement [LU] [H]*



- Improved methodology to analyze gentrification (1980 to 2017)
 - Education, race/ethnicity, household income, and gross rent at census tracts
- Identifying displacement using ACS Public User Microdata Sample (PUMS) and franchise tax board (Rodnyansky, 2018)

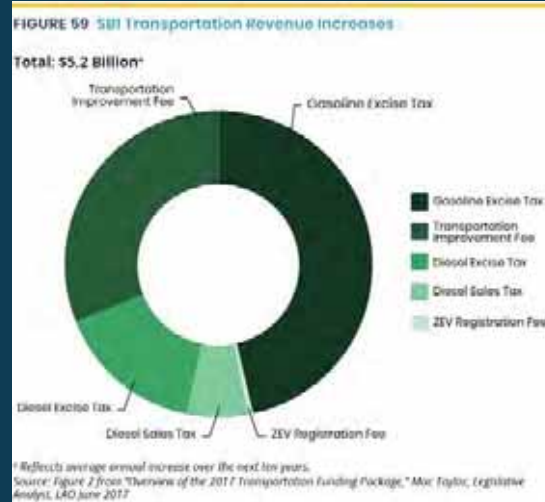


- Adding a description and qualitative analysis of Senate Bill 1 as comparing it to and user-based mileage fees
- Refer to the Transportation Finance Report for more details

TABLE 52 SBI Tax Fee Rate Increases

	Current Rates	New Rates*	Effective Date
Fuel Taxes²			
Gasoline Base Excise	18 cents	30 cents	November 1, 2017
Gasoline Swap Excise ³	9.8 cents	17.3 cents	July 1, 2019
Diesel Excise ⁴	16 cents	36 cents	November 1, 2017
Diesel Swap Sales	1.75 percent	5.75 percent	November 1, 2017
Vehicle Taxes And Fees⁵			
Transportation Improvement Fee	---	\$25 to \$175	January 1, 2018
ZEV Registration Fee	---	\$100	July 1, 2020

* Adjusted for inflation starting July 1, 2020 for the gasoline and diesel excise taxes, January 1, 2020 for the Transportation Improvement Fee, and January 1, 2021 for the ZEV registration fee. The diesel sales taxes are not adjusted for inflation.
² Excise taxes are per gallon.
³ Current rate set annually by the state Board of Equalization. The funding package converts the variable rate to a fixed rate.
⁴ Per vehicle per year.
⁵ ZEV = zero emission vehicle.



Thank you!

Tom Vo (vo@scag.ca.gov)
 Anita Au (au@scag.ca.gov)

www.scag.ca.gov



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Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Regional Council (RC)
From: Art Yoon, Director of Policy and Public Affairs, Legislation,
(213) 236-1840, ArtYoon@scag.ca.gov
Subject: October State and Federal Legislative Update

**EXECUTIVE DIRECTOR'S
APPROVAL**

RECOMMENDED ACTION:

Receive and File

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 2: Advance Southern California’s policy interests and planning priorities through regional, statewide, and national engagement and advocacy.

STATE

Governor Newsom Administration Updates

California Department of Transportation

On Tuesday, September 3, 2019, Governor Newsom announced two key appointments to the California Department of Transportation (Caltrans). Toks Omishakin was appointed director of Caltrans. Mr. Omishakin most recently served as deputy commissioner for environment and planning at the Tennessee Department of Transportation (TDOT) since 2011. He was responsible for an administrative and project budget that exceeds \$250 million annually. He also led the activities of the divisions of Environment, Long-Range Planning, Project Planning, and Multimodal Transportation Resources.

Before his time at TDOT, Mr. Omishakin served as director of Healthy Living Initiatives in the City of Nashville’s Mayor’s Office. Mr. Omishakin was instrumental in developing a Complete Streets policy for Nashville and also served as the Mayor’s liaison to several council’s and boards. Mr. Omishakin has extensive knowledge of active transportation issues. He currently serves on the board of directors for America Walks, a nonprofit organization with the goal of advancing safe places for people to walk and move, as well as Vice Chair of the American Association of State Highway and Transportation Officials’ (AASHTO) Council on Active Transportation. The Council focuses on issues related to bicycles, pedestrians, and other active transportation modes.

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Mr. Omishakin earned a Bachelor of Science degree in Engineering Technology from Mississippi Valley State University, and a Master of Arts Degree in Urban and Regional Planning from Jackson State University. The position requires Senate confirmation.

Governor Newsom also announced that James E. Davis was appointed to serve as chief deputy director of Caltrans. Mr. Davis will oversee leadership development, the Senate Bill (SB) 1 infrastructure program, and all internal operations comprising 20,000 employees and a \$15 billion budget. Mr. Davis has served for 31 years at Caltrans in various capacities, most recently as special advisor to the director of Caltrans. Mr. Davis previously served as Senate Bill (SB) 1 program manager from 2018 to 2019, district director for the Bay Area in 2018, and division chief of project management from 2013 to 2018, among other transportation engineering positions since 1988. Mr. Davis also served as Vice Chair of AASHTO's Project Management Technical Committee from 2016 to 2018. He is a member of the American Society of Civil Engineers and has been a member of the Project Management Technical Institute for more than 10 years.

Mr. Davis holds a Bachelor of Science degree in Civil Engineering from the University of Kansas. The chief deputy director position does not require Senate confirmation.

California Department of Housing and Community Development

The California Department of Housing and Community Development (HCD) will also be experiencing a leadership change. It was announced on Thursday, September 5, 2019, that Ben Metcalf would be stepping down as director of HCD effective September 6, 2019. Chief Deputy Director Doug McCauley will serve as Acting Director until a new director is appointed.

State Legislature Adjournment

The California Legislature adjourned in the early morning hours of September 14, 2019, concluding the first year of its two-year 2019-2020 session. The Governor has until October 13, 2019 to sign or veto bills passed by the Legislature. Bills that did not advance this year may be taken up again in 2020 (referred to as two-year bills). The Legislature confronted major issues that impact the lives of Californians. Below are some of the Assembly Bills (AB) and Senate Bills (SB) that garnered the most attention in 2019.

Housing Bills

AB 101 is the comprehensive budget trailer bill dealing with housing production and homeless initiatives. The measure funds the Local Government Planning Support Grants program, which provides one-time funding of \$250 million split between cities and counties, and regions to update planning documents. Of the total, half is directed to cities and counties based upon a population-based formula. Another \$125 million in one-time funding is provided for councils of governments

(COGs) that administer the Regional Housing Needs Assessment (RHNA). SCAG is specifically named in this measure.

AB 113 is referred to as a “clean-up” bill, meaning that it clarifies some of the provisions of the housing trailer bill described above. Specifically, AB 113 adds the Council of San Benito County Governments to the list of eligible COGs in the central coast multiagency working group identified in the Local Government Planning Support Grants program. This measure also specifies that COGs are allowed to apply for a portion of the Local Government Planning Support Grants Program to assist with putting together the entire program application.

Major Legislation from the 2019 Session

AB 5 (Gonzalez, D-San Diego) – AB 5 codifies the decision by the California Supreme Court in *Dynamex Operations West, Inc. vs. Superior Court of Los Angeles*, which established a three-prong test for determining whether a worker is an independent contractor or an employee. Negotiations on what professions would receive exemptions from the three-prong test dominated the debate on this bill. AB 5 was approved by the Governor on September 18, 2019.

AB 74 (Ting, D-San Francisco) – AB 74 is the Budget Act for Fiscal Year 2019-2020. The measure totals \$214.8 billion. AB 74 also details the expenditure plan for cap-and-trade funding, amounting to almost \$1.5 billion. Cap-and-trade expenditures fund programs dealing with greenhouse gases, clean vehicles and buses, and other programs. AB 74 was approved by the Governor on June 27, 2019.

AB 235 (Mayes, R-Yucca Valley) – AB 235 would have assisted the Pacific Gas and Electric Company in paying off up to \$20 billion dollars in wildfire claims stemming from a series of devastating fires that occurred in 2017 and 2018. Although the measure had made progress in the Legislature, Assemblymember Mayes announced on September 6, 2019 that AB 235 would be a two-year bill, meaning that it would no longer be considered this year, but could be reconsidered in 2020.

AB 392 (Weber, D-San Diego) / SB 230 (Caballero, D-Salinas) – Both AB 392 and SB 230 are measures that address police use-of-force. These bills were considered competing measures, but a compromise was reached during a Senate Public Safety Committee hearing that made passage of SB 230 contingent upon passage of AB 392. AB 392 was approved by the Governor on August 19, 2019, while SB 230 was approved on September 13, 2019.

AB 1482 (Chiu, D-San Francisco) – AB 1482 would impose a rent cap of 5% per year plus inflation on rent increases in certain apartments statewide through 2030. This measure passed the Senate (Y:25; N:10; NVR:5) on September 10, 2019, and the Assembly (Y:48; N:26; NVR:5). The Governor is expected to sign AB 1482.

SB 276 / SB 714 (Pan, D-Sacramento) – SB 276 would alter the process on how immunization exemptions are granted to children attending school. This measure passed the Assembly (Y:48; N:18; NVR:13) and Senate (Y:28; N:11; NVR:1). Late amendments requested by the Governor on this issue led to a gut-and-amend on SB 714, which passed the Assembly (Y:43; N:14; NVR:12) and Senate (Y:27; N:11; NVR:2). The Governor signed both of these measures on September 9, 2019.

FEDERAL

Federal Appropriations Update

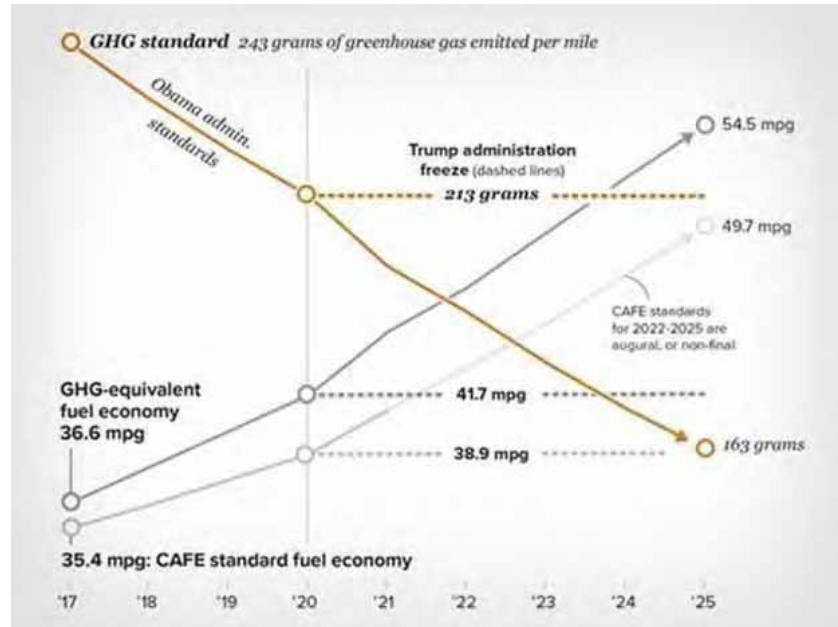
On September 9, 2019, Congress returned to session after the August Recess and is quickly working to pass Fiscal Year (FY) 2020 appropriations bills before the start of the new fiscal year on October 1, 2019. The House of Representatives has passed 11 of the 12 federal agency funding bills. However, over in the Senate, only half of the twelve appropriations bills have been approved by the Senate Appropriations Committee. The Senate differed in its approach to the federal budget by waiting until a spending limit agreement was in place, which came at the start of August, eliminating the possibility of mandatory across the board budget cuts to federal agencies.

On September 19, 2019, the Senate Appropriations Committee approved the FY 2020 Transportation, Housing, and Urban Development (THUD) Appropriations bill. The spending package provides the USDOT with \$86.6 billion in budgetary resources, which is \$168 million above the FY 2019 enacted level and rejects President Trump's proposed \$3.58 billion cut to USDOT programs. It should be noted that the Senate's version of the transportation funding bill will not raise the cap on passenger facility charges to avoid controversial issues among appropriators and any further delays.

Since the upper chamber is continuing to work through its set of appropriations bills and conference negotiations between both houses have not begun, it is likely that Congress will need to pass a Continuing Resolution to avoid a government shut-down. A longer Continuing Resolution takes the pressure off Congress to act, but allows Congress to avoid the potential for a series of very short Continuing Resolutions. On September 19, 2019, the House approved (Y:301; N:123) a Continuing Resolution to fund the federal government at FY 2019 spending levels through November 21, 2019.

Vehicle Emission Standards Update

In August 2018, the United States Environmental Protection Agency (EPA) and National Highway Traffic Safety Agency (NHTSA) proposed the Safer Affordable Fuel Efficient (SAFE) Vehicles Rule, which would change existing Corporate Average Fuel Economy (CAFE) and greenhouse gas emissions standards for passenger vehicles and light trucks. Current CAFE standards require new cars and light trucks to reach an average of 54.5 miles per gallon by 2025. However, the proposed rule would freeze federal emission standards at 2020 levels through 2026 and eliminate the waiver that allows California to set its own stricter emissions standards, which gets special treatment under the Clean Air Act thanks to its historic smog problems.



The California Association of Councils of Governments (CALCOG) warns that an expected increase in carbon

dioxide emissions could increase by almost 15 million metric tons by 2025, which is roughly equivalent to putting an additional 2.8 million cars on the state’s roads for a year. Moreover, a fact sheet released by the EPA and NHTSA estimates an increase in daily fuel consumption of approximately half a million barrels per day. Aside from the obvious health and environmental concerns, the change in standards could have significant impacts on transportation plans and projects statewide. In California, a unique air quality emissions model, known as EMFAC, estimates emission rates for passenger vehicles operating in California. Transportation agencies use the EMFAC model to demonstrate that each region and the state is conforming to the requirements of the federal Clean Air Act. Once the rule takes effect, the EMFAC model could be invalid, and transportation agencies will be unable to demonstrate that their projects conform to federal Clean Air Act requirements. As a result, 443 infrastructure projects in the SCAG region could be interrupted and unable to proceed.

On July 22, 2019, the California Air Resources Board (CARB) and four automakers — Ford, Volkswagen, Honda and BMW North America — reached a surprise agreement on a set of terms for light-duty greenhouse gas emissions standards to maintain one national solution. Under the framework, these vehicles will average 50 miles per gallon by 2026, hitting the target one year later than under the Obama-era rules, but far above what is being proposed by the Trump

Administration. Two other automakers, including Mercedes-Benz, were set to join the deal, however, it emerged that the United States Department of Justice (DOJ) launched an investigation into the automakers for antitrust violations. Both Senators Feinstein and Harris sent separate letters to DOJ raising concerns that the investigation is politically motivated.

On September 18, 2019, President Trump announced that he would revoke California's waiver to enforce stricter standards on vehicle carbon pollution. The Trump Administration severed and advanced this portion from the auto emissions rollback targeting the waiver from the broader, more technical rulemaking. Immediately, Governor Gavin Newsom, Attorney General Xavier Becerra, and CARB Chair Mary Nichols, announced that the state would sue the federal government once the document was public. It should be noted that a coalition of transportation stakeholders is working to advance language to give California a 36-month grace period to implement the SAFE Vehicles Rule in a Fiscal Year 2020 appropriations bill, since this is the only legislative vehicle moving in Congress.

FISCAL IMPACT:

None



Southern California Association of Governments
900 Wilshire Boulevard, Suite 1700, Los Angeles, California 90017
October 3, 2019

To: Executive/Administration Committee (EAC)
Regional Council (RC)
From: Basil Panas, Chief Financial Officer, Finance, 213-236-1817,
panas@scag.ca.gov
Subject: CFO Monthly Report

EXECUTIVE DIRECTOR'S
APPROVAL

RECOMMENDED ACTION:

For Information Only - No Action Required

STRATEGIC PLAN:

This item supports the following Strategic Plan Goal 7: Secure funding to support agency priorities to effectively and efficiently deliver work products.

AUDITS:

Caltrans

SCAG continues to await a response from Caltrans to the Plan of Cost Substitution submitted in July. If approved, the plan would allow SCAG to satisfy the \$4.4 million of repayments sought in the Incurred Cost Audit and Indirect Cost Allocation Plan Audit with expenditures out of local funds already paid.

Annual Audit

SCAG's outside independent auditors, Eide Bailly LLP, began their final audit work for the FY19 audit on September 23, 2019. Their final report will be issued in late November or early December.

MEMBERSHIP DUES:

61.84% of the FY20 dues assessment has been collected.

BUDGET & GRANTS (B&G):

On August 29, 2019, staff submitted the FY 2018-19 (FY19) Overall Work Program (OWP) Year-End Package to Caltrans, which included: 1) Certification of Expenditure (COE) by Fund Source; 2) Final Statement of Expenditures (SOE); 3) 4th Quarter Progress Report; and 4) OWP Final Products for projects completed in the fiscal year.

Staff prepared an administrative amendment to the FY 2019-20 (FY20) OWP and toll credits are accurately presented as required by FTA and FHWA in their conditional approval letter for the FY20 OWP. Final approval of the FY20 OWP is expected soon after.

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Staff is preparing a formal amendment to the FY20 OWP primarily to add five new awards for the FY20 Caltrans Sustainable Transportation Planning Grant program:

- Curb Space Management Study - \$543,870
- East San Gabriel Valley Mobility Plan - \$417,500
- Goods Movement Communities Freight Impact Assessment - \$299,400
- SB743 VMT Mitigation Assistance Program - \$557,350
- Transportation Broadband Strategies to Reduce VMT and GHG - \$565,000

CONTRACTS:

In August 2019, the Contracts Department issued three (3) Request for Proposal, awarded three (3) contracts; issued two (2) contract amendments; and processed 245 Purchase Orders to support ongoing business and enterprise operations. Staff also administered 126 consultant contracts. Contracts staff continued to negotiate better pricing and reduced costs for services.

ATTACHMENT(S):

1. August 2019 CFO Monthly Status Report



Office of the Chief Financial Officer

Monthly Status Report

AUGUST 2019

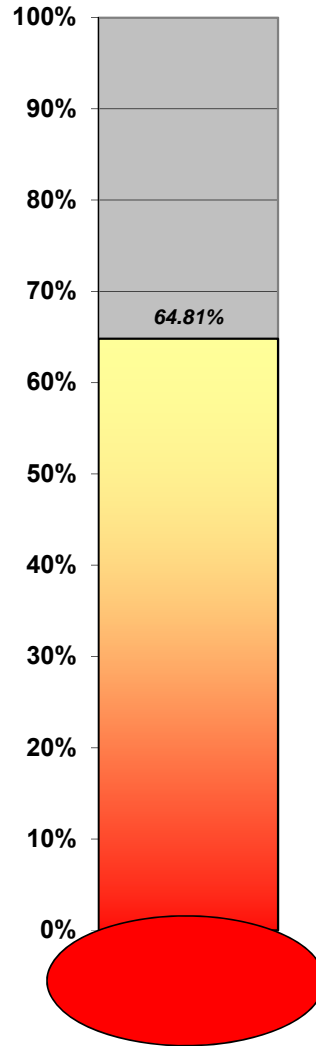
OVERVIEW

As of September 11, 2019, 157 cities and 5 counties had paid their FY20 dues. This represents 64.8% of the dues assessment. 32 cities and one county had yet to pay their dues. Two cities are being recruited for membership.

SUMMARY

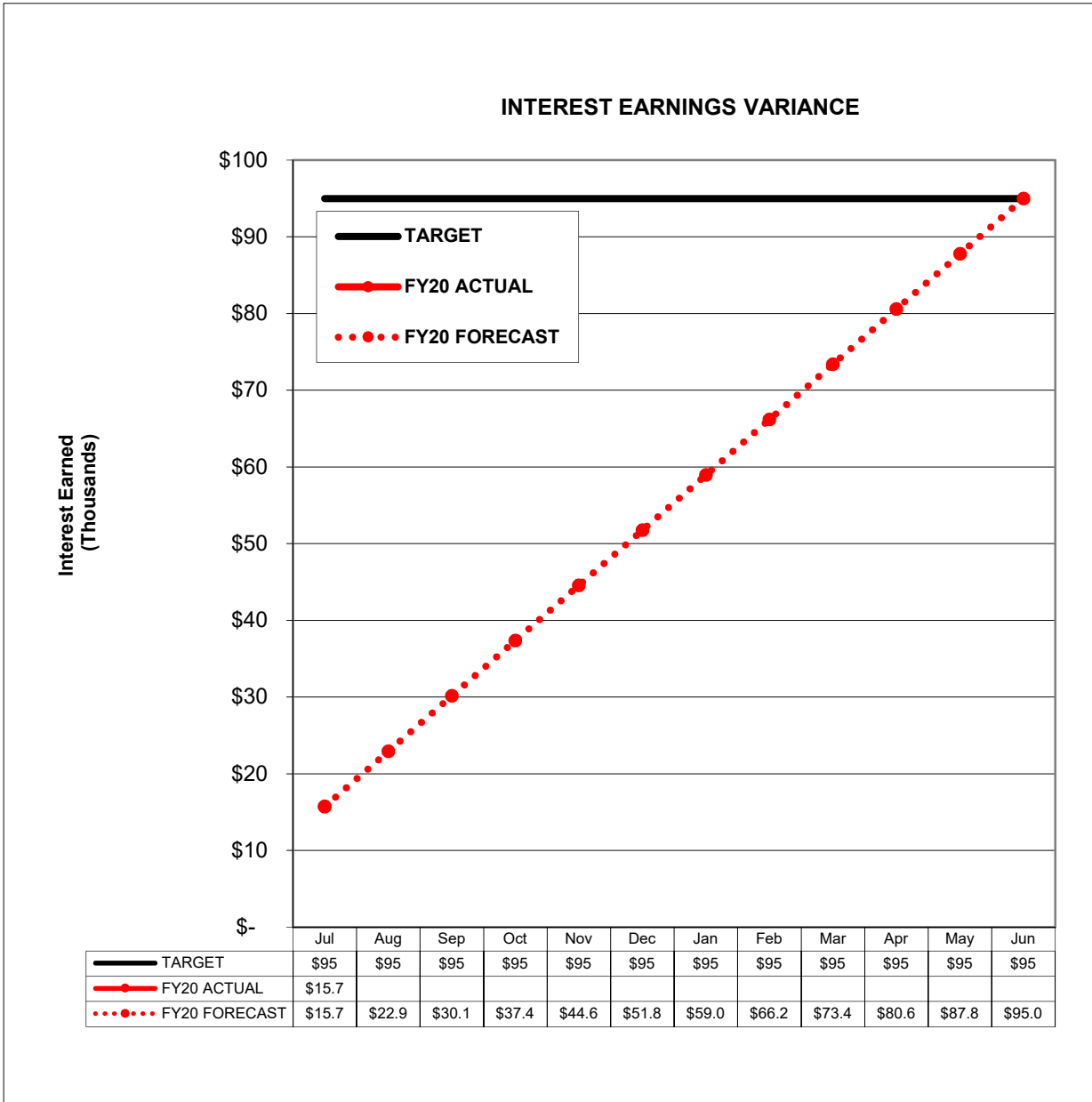
FY20 Membership Dues	<u>\$ 2,113,909</u>
Total Collected	<u>\$ 1,369,926</u>
Percentage Collected	<u>64.81%</u>

FY20 Membership Dues Collected





Office of the CFO
Interest Earnings Variance



Attachment: August 2019 CFO Monthly Status Report (CFO Monthly Report)

OVERVIEW

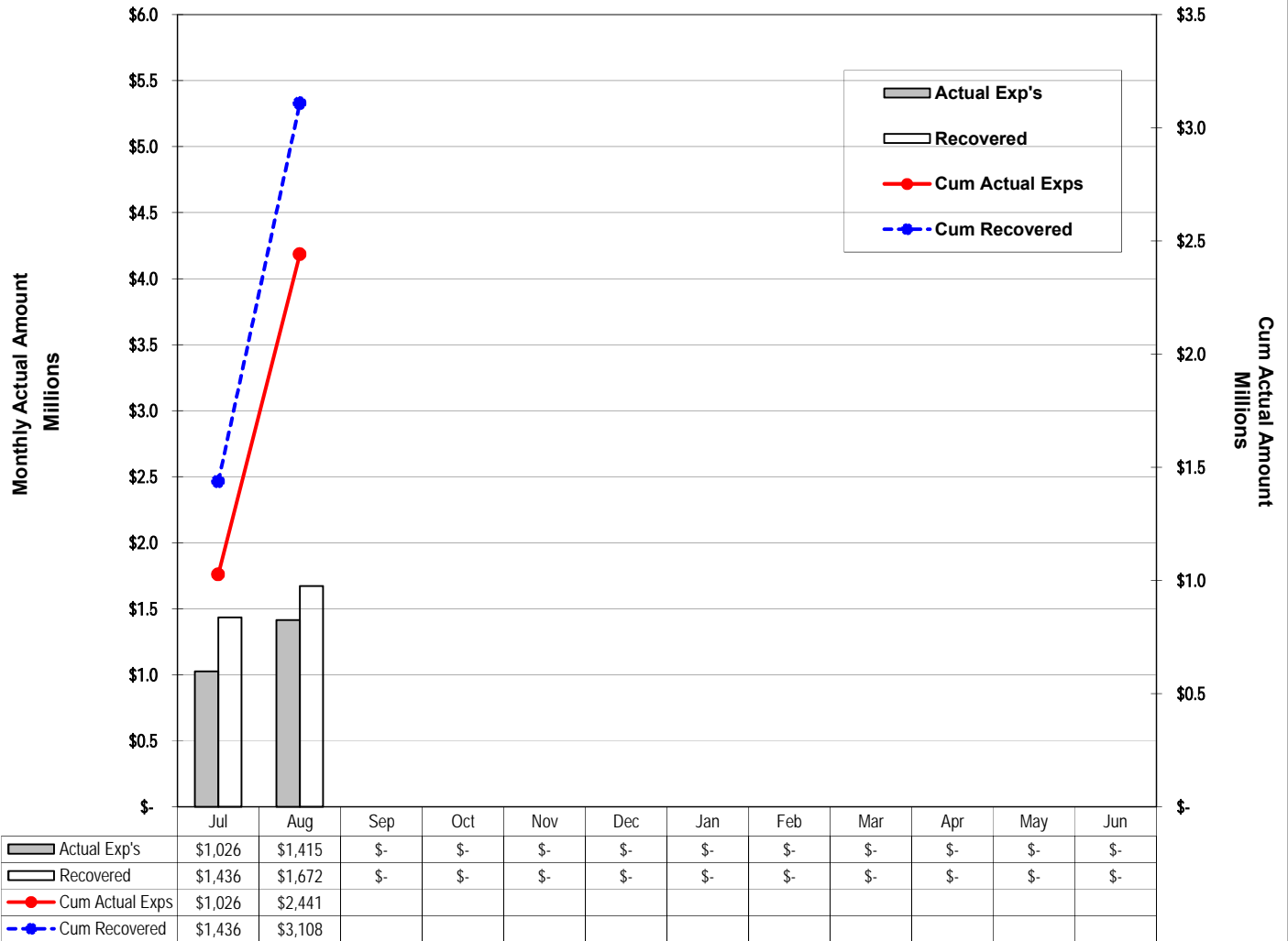
Actual interest income is plotted against the target amount. The amount credited to SCAG's account through July was \$15,738. The LA County Pool earned 2.15% in July.

SUMMARY

The amount projected for FY20 is \$95,000.



FY20 INDIRECT COST & RECOVERY



Attachment: August 2019 CFO Monthly Status Report (CFO Monthly Report)

OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

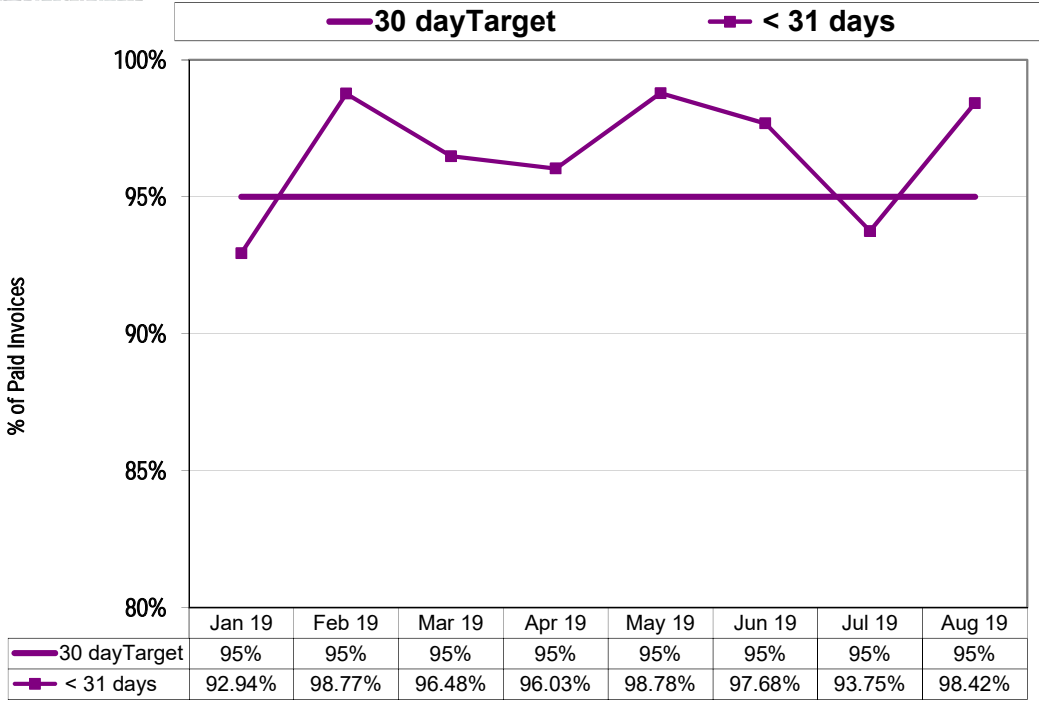
SUMMARY

Through August 2019, SCAG was over-recovered by \$666,588 due to unspent Indirect Cost budget.

Office of the CFO
Invoice Aging



INVOICE AGING



OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was met.

SUMMARY

98.42% of August 2019 payments were made within 30 days of invoice receipt.

At month-end, 33 invoices remained unpaid less than 30 days.

Actual

OVERVIEW

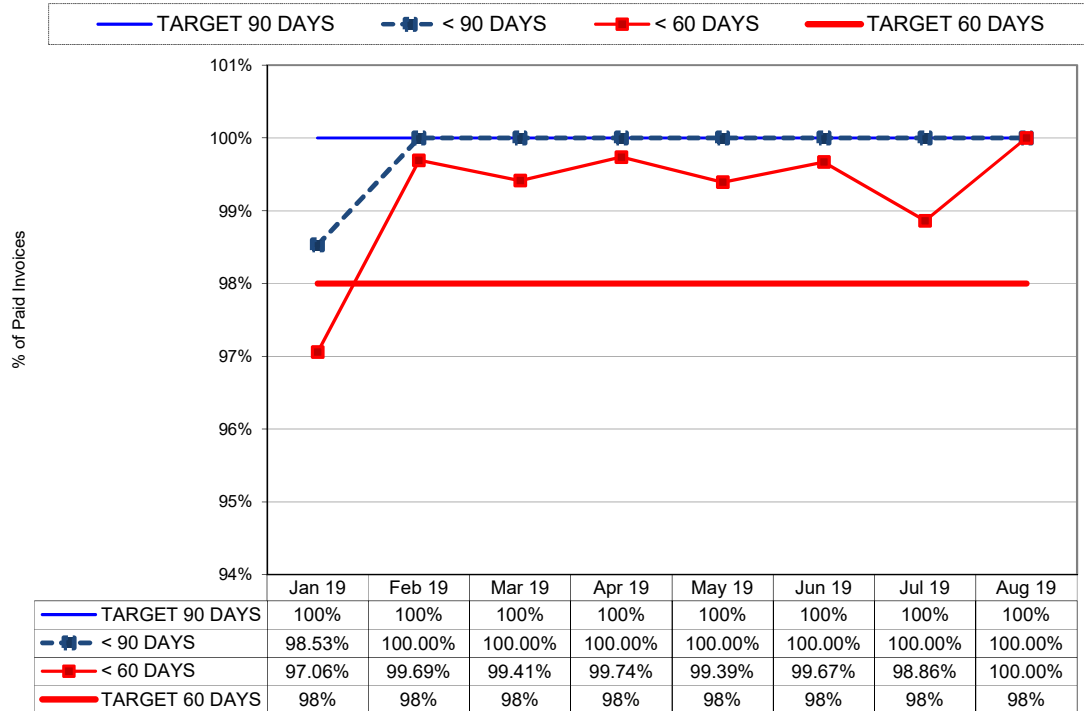
The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

SUMMARY

These goals were met during this period.

98.86% of August 2019's payments were within 60 days of invoice receipt and 100.00% within 90 days. Invoices unpaid 30-60 days totaled 2; 60-90 days: 2; >90 days: 0.

INVOICE AGING



Attachment: August 2019 CFO Monthly Status Report (CFO Monthly Report)



Office of the CFO
Consolidated Balance Sheet

	7/31/2019	8/31/2019	Incr (decr) to equity	COMMENTS
Cash at Bank of the West	\$ 1,295,408	\$ 1,336,988		
LA County Investment Pool	\$ 8,574,092	\$ 6,711,008		
Cash & Investments	\$ 9,869,500	\$ 8,047,996	\$ (1,821,504)	Received \$0.7 million of TDA but spent \$2.7 m on AP.
Accounts Receivable	\$ 9,186,259	\$ 9,454,404	\$ 268,145	Billings of \$1.8M to FHWA partially offset by collections from FTA (\$1.05M) as well as MSRC, SB1 & TDA resulting in a net increase of \$268k.
Other Current Assets	\$ 6,956,190	\$ 6,443,668	\$ (512,522)	Net amort of \$255k in prepaids along with IC fund over-recovered \$257K
Fixed Assets - Net Book Value	\$ 6,672,535	\$ 6,672,535	\$ -	No change.
Total Assets	\$ 32,684,484	\$ 30,618,603	\$ (2,065,881)	
	\$ (2,822,215)			
	\$ -			
Accounts Payable	\$ (2,822,215)	\$ (84,027)	\$ 2,738,189	FY 19 Invoices paid down.
Employee-related Liabilities	\$ (499,677)	\$ (604,538)	\$ (104,861)	July had 8 unpaid working days while August had 10.
Deferred Revenue	\$ (171,559)	\$ (171,559)	\$ -	No change.
Total Liabilities and Deferred Revenue	\$ (3,493,451)	\$ (860,123)	\$ 2,633,328	
Fund Balance	\$ 29,191,033	\$ 29,758,480	\$ 567,447	
WORKING CAPITAL				
	7/31/2019	8/31/2019	Incr (decr) to working capital	
Cash	\$ 9,869,500	\$ 8,047,996	\$ (1,821,504)	
Accounts Receivable	\$ 9,186,259	\$ 7,913,080	\$ (1,273,179)	
Accounts Payable	\$ (2,822,215)	\$ 1,331,022	\$ 4,153,237	
Employee-related Liabilities	\$ (499,677)	\$ (605,300)	\$ (105,623)	
Working Capital	\$ 15,733,867	\$ 16,686,798	\$ 952,931	

Attachment: August 2019 CFO Monthly Status Report (CFO Monthly Report)



Office of the CFO
Fiscal Year-To-Date Expenditure Report Through August 31, 2019

COMPREHENSIVE BUDGET

		Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	Staff & Allocated Fringe Benefits	540,920	540,920	79,808	-	461,112	14.8%
2	51001 Allocated Indirect Costs	674,563	674,563	99,520	-	575,043	14.8%
3	54300 SCAG Consultants	291,400	291,400	-	31,648	259,752	0.0%
4	54340 Legal costs	120,000	120,000	-	15,000	105,000	0.0%
5	55210 Software	-	-	-	-	-	#DIV/0!
6	55441 Payroll, bank fees	12,500	12,500	309	12,191	(0)	2.5%
7	55460 Mat & equip <\$5K	-	-	-	-	-	#DIV/0!
8	55510 Office Supplies	-	-	-	-	-	#DIV/0!
9	55580 Outreach	-	-	-	-	-	#DIV/0!
10	55600 SCAG Memberships	116,000	116,000	8,235	5,772	101,993	7.1%
11	55610 Professional Membership	11,500	11,500	3,156	556	7,788	27.4%
12	55620 Res mat/sub	2,000	2,000	411	-	1,589	20.5%
13	55730 Capital Outlay > \$5,000	-	-	-	-	-	#DIV/0!
14	55801 Recruitment Other	-	-	-	-	-	#DIV/0!
15	55830 Conference - Registration	1,000	1,000	-	-	1,000	0.0%
16	55860 Scholarships	32,000	32,000	-	-	32,000	0.0%
17	55910 RC/Committee Mtgs	25,000	25,000	-	-	25,000	0.0%
18	55912 RC Retreat	10,000	10,000	11,616	-	(1,616)	116.2%
19	55914 RC General Assembly	672,000	672,000	-	-	672,000	0.0%
20	55915 Demographic Workshop	28,000	28,000	-	8	27,992	0.0%
21	55916 Economic Summit	100,000	100,000	15,000	1	84,999	15.0%
22	55918 Housing Summit	20,000	20,000	-	-	20,000	0.0%
23	55919 Go Human	-	-	-	-	-	#DIV/0!
24	55920 Other Meeting Expense	75,000	75,000	7,424	45,487	22,089	9.9%
25	55925 RHNA Subgrl Delegation	500,000	500,000	-	-	500,000	0.0%
26	55xxx Miscellaneous other	101,966	101,966	10,205	19,092	72,669	10.0%
27	55940 Stipend - RC Meetings	210,485	210,485	21,050	-	189,435	10.0%
28	56100 Printing	30,000	30,000	-	-	30,000	0.0%
29	58100 Travel - outside SCAG region	92,500	92,500	1,222	-	91,278	1.3%
30	58101 Travel - local	36,500	36,500	3,432	-	33,068	9.4%
31	58110 Mileage - local	28,500	28,500	3,257	-	25,243	11.4%
32	58150 Travel Lodging	13,500	13,500	3,380	-	10,120	25.0%
33	58800 RC Sponsorships	200,000	200,000	22,195	36,800	141,005	11.1%
34	Total General Fund	3,945,334	3,945,334	290,220	166,556	3,488,558	7.4%
35							
36	Staff & Allocated Fringe Benefits	15,383,005	15,383,005	2,412,532	-	12,970,473	15.7%
37	51001 Allocated Indirect Costs	19,182,124	19,182,124	3,008,427	-	16,173,697	15.7%
38	54300 SCAG Consultants	29,075,454	29,075,454	92,284	5,328,702	23,654,468	0.3%
39	54302 Non-Profits/IHL	485,000	485,000	-	3,731	481,269	0.0%
40	54303 Consultants TC - FTA 5303	6,265,889	6,265,889	-	-	6,265,889	0.0%
41	54340 Legal Services - FTA 5303	200,000	200,000	-	200,000	-	0.0%
42	54360 Pass-through Payments	4,480,619	4,480,619	-	1,950,755	2,529,864	0.0%
43	55210 Software Support	250,000	250,000	17,675	9,230	223,095	7.1%
44	55250 Cloud Services	489,330	489,330	-	240,000	249,330	0.0%
45	5528x Third Party Contributions	5,739,013	5,739,013	628,834	-	5,110,179	11.0%
46	55284 Toll Credits	718,703	718,703	-	-	718,703	0.0%
47	55310 F&F Principal	239,928	239,928	39,184	181,033	19,711	16.3%
48	55315 F&F Interest	27,635	27,635	5,172	19,961	2,502	18.7%
49	55320 AV Principal	133,703	133,703	21,783	111,920	-	16.3%
50	55325 AV Interest	6,390	6,390	1,187	5,203	-	18.6%
51	55xxx Office Expenses	2,000	2,000	151	-	1,849	7.6%
52	55520 Hardware Supp	5,000	5,000	-	843	4,157	0.0%
53	55580 Outreach/Advertisement	50,000	50,000	-	-	50,000	0.0%
54	55610 Professional Memberships	2,500	2,500	-	-	2,500	0.0%
55	55620 Resource Materials - subscrib	934,455	934,455	4,655	19,048	910,752	0.5%
56	55730 Capital Outlay	300,000	300,000	-	-	300,000	0.0%
57	55810 Public Notices	57,000	57,000	-	187	56,813	0.0%
58	55830 Conf. Registration	3,500	3,500	40	-	3,460	1.1%
59	55920 Other Meeting Expense	54,000	54,000	-	842	53,158	0.0%
60	55930 Miscellaneous	294,228	294,228	-	-	294,228	0.0%
61	56100 Printing	15,000	15,000	-	-	15,000	0.0%
	58xxx Travel	293,750	293,750	9,954	-	283,796	3.4%
66	59090 Exp - Local Other	6,268,529	6,268,529	-	-	6,268,529	0.0%
	Total OWP & TDA Capital	90,956,755	90,956,755	6,241,879	8,071,454	76,643,422	6.9%
	Comprehensive Budget	94,902,089	94,902,089	6,532,099	8,238,010	80,131,980	6.9%

Attachment: August 2019 CFO Monthly Status Report (CFO Monthly Report)



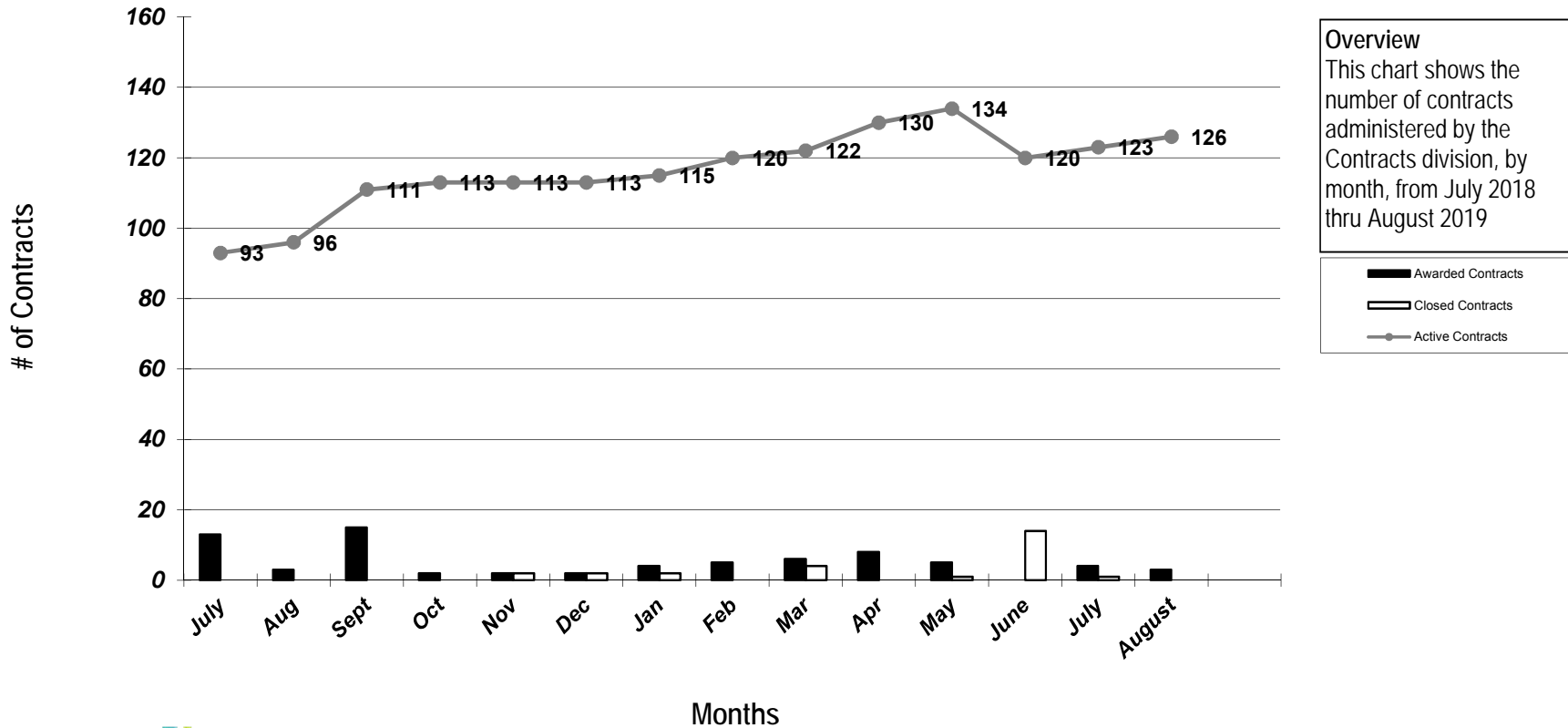
Office of the CFO
Fiscal Year-To-Date Expenditure Report Through August 31, 2019

INDIRECT COST EXPENDITURES

			Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	50010	Regular Staff	5,649,706	5,649,706	1,136,979		4,512,727	20.1%
2	50013	Regular OT	1,000	1,000	769		231	76.9%
3	50014	Interns, Temps, Annuit	75,000	75,000	22,010		52,990	29.3%
4	50030	Severance	80,000	80,000	-		80,000	0.0%
5	51xxx	Allocated Fringe Benefits	4,507,099	4,507,099	744,145	-	3,762,954	16.5%
6	54300	SCAG Consultants	292,150	292,150	1,998	4,800	285,353	0.7%
7	54301	Consultants - Other	1,041,600	1,041,600	3,424	84,962	953,215	0.3%
8	54340	Legal	40,000	40,000	-	1,500	38,500	0.0%
9	55210	Software Support	519,400	519,400	71,401	9,825	438,174	13.7%
10	55220	Hardware Supp	415,000	415,000	57,689	183,883	173,428	13.9%
11	55230	Computer Maintenance	250,000	250,000	-	-	250,000	0.0%
12	55240	Repair & Maint Non-IT	26,500	26,500	2,792	23,708	0	10.5%
13	55270	Software Purchases		-	-	-	0	#DIV/0!
14	55315	F&F Interest	11,604	11,604	2,172	-	9,432	18.7%
15	55325	AV Interest	19,745	19,745	3,669	-	16,076	18.6%
16	55400	Office Rent DTLA	1,538,000	1,538,000	232,889	1,305,111	0	15.1%
17	55410	Office Rent Satellite	260,000	260,000	41,143	218,857	0	15.8%
18	55415	Offsite Storage	5,000	5,000	368	2,132	2,500	7.4%
19	55420	Equip Leases	100,000	100,000	3,295	66,206	30,499	3.3%
20	55430	Equip Repairs & Maint	1,000	1,000	-	1,000	0	0.0%
21	55435	Security Services	100,000	100,000	4,785	61,730	33,486	4.8%
22	55440	Insurance	238,385	238,385	47,655	-	190,730	20.0%
23	55441	Payroll / Bank Fees	15,000	15,000	1,151	13,849	0	7.7%
24	55445	Taxes	5,000	5,000	-	-	5,000	0.0%
25	55460	Mater & Equip < \$5,000 *	64,000	64,000	-	1,230	62,770	0.0%
26	55510	Office Supplies	73,800	73,800	4,889	68,912	(0)	6.6%
27	55520	Graphic Supplies	2,500	2,500	-	-	2,500	0.0%
28	55530	Telephone	195,000	195,000	25,924	108,934	60,142	13.3%
29	55540	Postage	10,000	10,000	-	10,000	0	0.0%
30	55550	Delivery Svc	5,000	5,000	301	4,699	0	6.0%
31	55580	Outreach/Advertisement		-	-	-	0	#DIV/0!
32	55600	SCAG Memberships	76,200	76,200	-	25,163	51,037	0.0%
33	55610	Prof Memberships	1,500	1,500	240	-	1,260	16.0%
34	55611	Prof Dues	1,350	1,350	120	-	1,230	8.9%
35	55620	Res Mats/Subscrip	70,800	70,800	9,224	9,150	52,426	13.0%
36	55700	Deprec - Furn & Fixt	185,000	185,000	-	-	185,000	0.0%
37	55710	Deprec - Computer Equipment		-	-	-	0	#DIV/0!
38	55715	Amortiz - Software	1,684	1,684	-	-	1,684	0.0%
39	55720	Amortiz - Leasehold Improvements	62,500	62,500	-	-	62,500	0.0%
40	55800	Recruitment Notices	25,000	25,000	-	5,289	19,711	0.0%
41	55801	Recruitment - other	45,000	45,000	2,040	42,685	275	4.5%
42	55810	Public Notices	2,500	2,500	-	-	2,500	0.0%
43	55820	In House Training	30,000	30,000	-	-	30,000	0.0%
44	55830	Networking Meetings/Special Events	22,500	22,500	1,861	-	20,639	8.3%
45	55840	Training Registration	65,000	65,000	9,659	-	55,341	14.9%
46	55920	Other Mtg Exp	2,500	2,500	-	-	2,500	0.0%
47	55950	Temp Help	105,000	105,000	3,412	-	101,588	3.2%
	55930	Misc. Other	6,500	6,500	-	-	6,500	0.0%
	58200	Travel - Reg Fees		-	-	-	0	#DIV/0!
48	55xxx	Miscellaneous - other	6,500	6,500	-	-	6,500	0.0%
49	56100	Printing	23,000	23,000	713	-	22,287	3.1%
50	58100	Travel - Outside	82,800	82,800	3,415	-	79,385	4.1%
51	58101	Travel - Local	19,500	19,500	227	-	19,273	1.2%
52	58110	Mileage - Local	23,500	23,500	803	-	22,697	3.4%
53	58120	Travel Agent Fees	3,000	3,000	181	-	2,819	6.0%
54		Total Indirect Cost	16,396,323	16,396,323	2,441,342	2,253,623	11,701,358	14.9%

Attachment: August 2019 CFO Monthly Status Report (CFO Monthly Report)

SCAG Contracts (Year to Date)



Summary

The chart shows that the Contracts Department is managing One hundred-twenty-six. Fifty-five are Cost Plus Fixed Fee contracts, 32 are fixed price contracts, and the remaining 3 are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately 60 contracts for FY 2019-20. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.

Office of the CFO
Staffing Report as of September 1, 2019



GROUPS	Authorized Positions	Filled Positions	Vacant Positions
Executive	8	7	1
Legal	2	2	0
Policy & Public Affairs	18	17	1
Administration	48	43	5
Planning & Programs	70	64	6
Total	146	133	13

OTHER POSITIONS

GROUPS	Limited Term Positions	Interns or Volunteers	Temp Positions	Agency Temps
Executive	0	0	0	0
Legal	0	0	0	0
Policy & Public Affairs	1	2	4	0
Administration	0	0	1	0
Planning & Programs	3	7	1	0
Total	4	9	6	0

Attachment: August 2019 CFO Monthly Status Report (CFO Monthly Report)